# Categories

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# February 27, 2023

#### INTRODUCTION

This chapter contains basic material about categories, functors, natural transformations, adjunctions, the Yoneda Lemma, monomorphisms, and epimorphisms.

#### **NOTES TO MYSELF**

### TODO:

1. Adjoints to the Yoneda embedding

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# 1 Categories

# 1.1 Foundations

#### **DEFINITION 1.1.1** ► CATEGORIES

A **category**  $(C, \circ^C, \mathbb{1}^C)$  consists of<sup>1,2</sup>

- Objects. A class Obj(C) of objects;
- · Morphisms. For each  $A, B \in \mathsf{Obj}(C)$ , a class  $\mathsf{Hom}_C(A, B)$ , called the **class** of morphisms of C from A to B;
- · *Identities.* For each  $A \in Obj(C)$ , a map of sets

$$\mathbb{F}_A^C$$
: pt  $\longrightarrow \text{Hom}_C(A, A)$ ,

called the **unit map of** C **at** A, determining a morphism

$$id_A: A \longrightarrow A$$

of C, called the **identity morphism of** A;

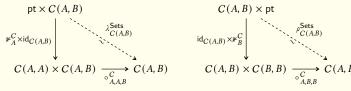
· Composition. For each  $A, B, C \in Obj(C)$ , a map of sets

$$\circ_{A.B.C}^C$$
:  $\operatorname{Hom}_C(B,C) \times \operatorname{Hom}_C(A,B) \longrightarrow \operatorname{Hom}_C(A,C)$ ,

called the **composition map of** C **at** (A, B, C);

such that the following conditions are satisfied:

1. Unitality of Composition. The diagrams

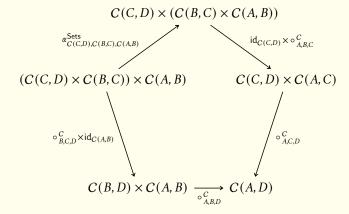


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commute, i.e. for each morphism  $f: A \longrightarrow B$  of C, we have

$$id_B \circ f = f$$
  
 $f \circ id_A = f$ .

2. Associativity of Composition. The diagram



commutes, i.e. for each composable triple (f,g,h) of morphisms of  $\mathcal{C}$ , we have

$$(f \circ g) \circ h = f \circ (g \circ h).$$

#### DEFINITION 1.1.2 ► SIZE CONDITIONS ON CATEGORIES

Let  $\kappa$  be a regular cardinal. A category C is

- 1. **Locally small** if, for each  $A, B \in Obj(C)$ , the class  $Hom_C(A, B)$  is a set;
- 2. **Locally essentially small** if, for each  $A, B \in Obj(C)$ , the class

$$Hom_C(A, B)/\{isomorphisms\}$$

is a set;

3. **Small** if C is locally small and Obj(C) is a set;

<sup>&</sup>lt;sup>1</sup> Further Notation: We also write C(A, B) for  $Hom_C(A, B)$ .

<sup>&</sup>lt;sup>2</sup> Further Notation: We write Mor(C) for the class of all morphisms of C.

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4.  $\kappa$ -Small if C is locally small, Obj(C) is a set, and

$$|\mathsf{Obj}(C)| < \kappa$$
.

#### **EXAMPLE 1.1.3** ► THE PUNCTUAL CATEGORY

The **punctual category**<sup>1</sup> is the category pt where

· Objects. We have

$$Obj(pt) \stackrel{\text{def}}{=} \{ \star \};$$

· Morphisms. The unique Hom-set of pt is defined by

$$\mathsf{Hom}_{\mathsf{pt}}(\star,\star) \stackrel{\mathsf{def}}{=} \{\mathsf{id}_{\star}\};$$

· Identities. The unit map

$$\mathbb{F}^{\mathsf{pt}}_{\star} \colon \mathsf{pt} \longrightarrow \mathsf{Hom}_{\mathsf{pt}}(\star, \star)$$

of pt at ★ is defined by

$$id_{\star}^{pt} \stackrel{\text{def}}{=} id_{\star};$$

· Composition. The composition map

$$\circ^{\text{pt}}_{\star,\star,\star}$$
:  $\operatorname{\mathsf{Hom}}_{\operatorname{pt}}(\star,\star) \times \operatorname{\mathsf{Hom}}_{\operatorname{pt}}(\star,\star) \longrightarrow \operatorname{\mathsf{Hom}}_{\operatorname{pt}}(\star,\star)$ 

of pt at  $(\star, \star, \star)$  is given by the bijection pt  $\times$  pt  $\cong$  pt.

# Example 1.1.4 ► Monoids as One-Object Categories

We have an isomorphism of categories1

Mon 
$$\cong$$
 pt  $\times$  Cats,  $\longrightarrow$  Cats  $\longrightarrow$   $\longrightarrow$  Object  $\longrightarrow$  pt  $\longrightarrow$  Sets

via the delooping functor B: Mon  $\longrightarrow$  Cats of ?? of ??.

<sup>&</sup>lt;sup>1</sup>Further Terminology: Also called the **singleton category**.

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<sup>1</sup>This can be enhanced to an isomorphism of 2-categories

$$\mathsf{Mon}_{2\text{-disc}} \cong \mathsf{pt}_{bi} \underset{\mathsf{Sets}_{2\text{-disc}}}{\times} \mathsf{Cats}_{2,*}, \qquad \qquad \bigvee_{\mathsf{obj}} \mathsf{Obj}$$

$$\mathsf{pt}_{bi} \xrightarrow{\mathsf{fntl}} \mathsf{Sets}_{2\text{-disc}}$$

between the discrete 2-category  $\mathsf{Mon}_{2\text{-disc}}$  on  $\mathsf{Mon}$  and the 2-category of pointed categories with one object.

#### **EXAMPLE 1.1.5** ► THE EMPTY CATEGORY

The **empty category** is the category  $\emptyset_{cat}$  where

· Objects. We have

$$Obj(\emptyset_{cat}) \stackrel{\text{def}}{=} \emptyset;$$

· Morphisms. We have

$$Mor(\emptyset_{cat}) \stackrel{\text{def}}{=} \emptyset;$$

· Identities and Composition. Having no objects,  $\emptyset_{cat}$  has no unit nor composition maps.

#### **EXAMPLE 1.1.6** ▶ **ORDINAL CATEGORIES**

The *n*th ordinal category is the category  $\ltimes$  where<sup>1</sup>

· Objects. We have

$$\mathsf{Obj}(\ltimes) \stackrel{\mathsf{def}}{=} \{[0], \dots, [n]\};$$

· Morphisms. For each [i],  $[j] \in Obj(\ltimes)$ , we have

$$\operatorname{Hom}_{\kappa}([i],[j]) \stackrel{\text{def}}{=} \begin{cases} \left\{ \operatorname{id}_{[i]} \right\} & \text{if } [i] = [j], \\ \left\{ [i] \longrightarrow [j] \right\} & \text{if } [j] < [i], \\ \emptyset & \text{if } [j] > [i]; \end{cases}$$

· Identities. For each  $[i] \in Obj(\ltimes)$ , the unit map

$$\mathbb{F}_{[i]}^{\ltimes} : \mathsf{pt} \longrightarrow \mathsf{Hom}_{\ltimes}([i],[i])$$

1.2 Subcategories

of  $\ltimes$  at [i] is defined by

$$id_{[i]}^{\ltimes} \stackrel{\text{def}}{=} id_{[i]};$$

· Composition. For each [i], [j],  $[k] \in Obj(\ltimes)$ , the composition map

$$\circ_{[i],[j],[k]}^{\ltimes} \colon \operatorname{Hom}_{\ltimes}([j],[k]) \times \operatorname{Hom}_{\ltimes}([i],[j]) \longrightarrow \operatorname{Hom}_{\ltimes}([i],[k])$$
 of  $\ltimes$  at  $([i],[j],[k])$  is defined by

$$id_{[i]} \circ id_{[i]} = id_{[i]},$$

$$([j] \longrightarrow [k]) \circ ([i] \longrightarrow [j]) = ([i] \longrightarrow [k]).$$

$$[0] \longrightarrow [1] \longrightarrow \cdots \longrightarrow [n-1] \longrightarrow [n].$$

The category  $\bowtie$  for  $n \geq 2$  may also be defined in terms of 0 and joins: we have isomorphisms of categories

$$l \cong 0 \star 0,$$

$$2 \cong l \star 0$$

$$\cong (0 \star 0) \star 0,$$

$$3 \cong 2 \star 0$$

$$\cong (l \star 0) \star 0$$

$$\cong ((0 \star 0) \star 0) \star 0,$$

$$4 \cong 3 \star 0$$

$$\cong (2 \star 0) \star 0$$

$$\cong ((l \star 0) \star 0) \star 0$$

$$\cong (((0 \star 0) \star 0) \star 0) \star 0,$$

and so on.

# 1.2 Subcategories

Let C be a category.

#### **DEFINITION 1.2.1** ► SUBCATEGORIES

A **subcategory** of C is a category  $\mathcal A$  satisfying the following conditions:

1. Objects. We have  $Obj(\mathcal{A}) \subset Obj(C)$ .

<sup>&</sup>lt;sup>1</sup>In other words, ⋉ is the category associated to the poset

2. Morphisms. For each  $A, B \in Obj(\mathcal{A})$ , we have

$$\operatorname{Hom}_{\mathcal{A}}(A,B)\subset \operatorname{Hom}_{\mathcal{C}}(A,B).$$

3. *Identities.* For each  $A \in Obj(\mathcal{A})$ , we have

$$\mathbb{F}_A^{\mathcal{A}} = \mathbb{F}_A^{\mathcal{C}}$$
.

4. *Composition*. For each  $A, B, C \in Obj(\mathcal{A})$ , we have

$$\circ_{A,B,C}^{\mathcal{A}} = \circ_{A,B,C}^{C}.$$

#### DEFINITION 1.2.2 ► FULL SUBCATEGORIES

A subcategory  $\mathcal{A}$  of C is **full** if the canonical inclusion functor  $\mathcal{A} \longrightarrow C$  is full.

# DEFINITION 1.2.3 ► STRICTLY FULL SUBCATEGORIES

A subcategory  $\mathcal A$  of a category  $\mathcal C$  is **strictly full** if it satisfies the following conditions:

- 1. Fullness. The subcategory  $\mathcal{A}$  is full.
- 2. Closedness Under Isomorphisms. The class  $Obj(\mathcal{A})$  is closed under isomorphisms<sup>1</sup>.

#### DEFINITION 1.2.4 ► WIDE SUBCATEGORIES

A subcategory  $\mathcal{A}$  of C is **wide**<sup>1</sup> if  $Obj(\mathcal{A}) = Obj(C)$ .

# 1.3 Skeletons of Categories

#### DEFINITION 1.3.1 ► SKELETONS OF CATEGORIES

A<sup>1</sup> **skeleton** of a category C is a full subcategory Sk(C) with one object from each isomorphism class of objects of C.

<sup>&</sup>lt;sup>1</sup>That is, given  $A \in \text{Obj}(\mathcal{A})$  and  $C \in \text{Obj}(C)$  with  $C \cong A$ , we have  $C \in \text{Obj}(\mathcal{A})$ .

<sup>&</sup>lt;sup>1</sup>Further Terminology: Or **lluf**.

 $^1$ Due to Item 2 of Proposition 1.3.3, we often refer to any such full subcategory Sk(C) of C as the skeleton of C.

#### DEFINITION 1.3.2 ► SKELETAL CATEGORIES

A category C is **skeletal** if  $C \cong Sk(C)$ .<sup>1</sup>

<sup>1</sup>That is, C is **skeletal** if isomorphic objects of C are equal.

#### PROPOSITION 1.3.3 ► PROPERTIES OF SKELETONS OF CATEGORIES

Let C be a category.

1. Pseudofunctoriality. The assignment  $C \mapsto Sk(C)$  defines a pseudofunctor

Sk: 
$$Cats_2 \longrightarrow Cats_2$$
.

- 2. Uniqueness Up to Equivalence. Any two skeletons of C are equivalent.
- 3. Inclusions of Skeletons Are Equivalences. The  $Sk(C) \hookrightarrow C$  of a skeleton of C into C is an equivalence of categories.

# PROOF 1.3.4 ► PROOF OF PROPOSITION 1.3.3

Item 1: Pseudofunctoriality

See [nLab23d, Skeletons as an Endo-Pseudofunctor on  $\mathfrak{Cat}$ ].

Item 2: Uniqueness Up to Equivalence

Clear.

Item 3: Inclusions of Skeletons Are Equivalences

Clear.

# 1.4 Precomposition and Postcomposition

Let C be a category, let  $A, B, C \in \mathsf{Obj}(C)$ , and let  $f \colon A \longrightarrow B$  and  $g \colon B \longrightarrow C$  be morphisms of C.

#### **DEFINITION 1.4.1** ▶ **PRECOMPOSITION**

The **precomposition function associated to** f is the function

$$f^*: \operatorname{Hom}_C(B,C) \longrightarrow \operatorname{Hom}_C(A,C)$$

defined by

$$f^*(\phi) \stackrel{\text{def}}{=} \phi \circ f$$

for each  $\phi \in \text{Hom}_{\mathcal{C}}(B, \mathcal{C})$ .

#### **DEFINITION 1.4.2** ▶ **POSTCOMPOSITION**

The **postcomposition function associated to** g is the function

$$g_* : \operatorname{Hom}_{\mathcal{C}}(A, B) \longrightarrow \operatorname{Hom}_{\mathcal{C}}(A, C)$$

defined by

$$g_*(\phi) \stackrel{\text{def}}{=} g \circ \phi$$

for each  $\phi \in \text{Hom}_{\mathcal{C}}(A, B)$ .

#### PROPOSITION 1.4.3 ► PROPERTIES OF PRE/POSTCOMPOSITION

Let  $A, B, C, D \in \mathsf{Obj}(C)$  and let  $f \colon A \longrightarrow B$  and  $g \colon B \longrightarrow C$  be morphisms of C.

1. Interaction Between Precomposition and Postcomposition. We have

$$g_* \circ f^* = f^* \circ g_*, \qquad \begin{array}{c} \operatorname{Hom}_C(B,C) & \xrightarrow{g_*} & \operatorname{Hom}_C(B,D) \\ \\ f^* \downarrow & & \downarrow f^* \\ \operatorname{Hom}_C(A,C) & \xrightarrow{g_*} & \operatorname{Hom}_C(A,D). \end{array}$$

2. Interaction With Composition I. We have

$$(g \circ f)^* = f^* \circ g^*, \qquad \underset{(g \circ f)_*}{\longleftarrow} \operatorname{Hom}_{\mathcal{C}}(X, B)$$

$$+ \operatorname{Hom}_{\mathcal{C}}(X, C), \qquad \underset{(g \circ f)_*}{\longleftarrow} \operatorname{Hom}_{\mathcal{C}}(X, C), \qquad \underset{(g \circ$$

$$(g \circ f)_* = g_* \circ f_*, \qquad \begin{array}{c} \operatorname{Hom}_C(C,X) \xrightarrow{g^*} \operatorname{Hom}_C(B,X) \\ \\ (g \circ f)^* & \downarrow f^* \\ \operatorname{Hom}_C(A,X). \end{array}$$

3. Interaction With Composition II. We have

$$\operatorname{pt} \xrightarrow{[g \circ f]} \operatorname{Hom}_{C}(A,B) \qquad \operatorname{pt} \xrightarrow{[g]} \operatorname{Hom}_{C}(B,C)$$

$$[g \circ f] = g_{*} \circ [f], \qquad \operatorname{pt} \xrightarrow{[g]} \operatorname{Hom}_{C}(B,C)$$

$$[g \circ f] = f^{*} \circ [g], \qquad \operatorname{Hom}_{C}(A,C).$$

4. Interaction With Composition III. We have

$$f^* \circ \circ_{A,B,C}^{C} = \circ_{X,B,C}^{C} \circ (f^* \times \operatorname{id}), \qquad f^* \times \operatorname{id} \downarrow \qquad \qquad \downarrow f^* \\ \operatorname{Hom}_{C}(A,B) \times \operatorname{Hom}_{C}(B,C) \xrightarrow{\circ_{A,B,C}^{C}} \operatorname{Hom}_{C}(A,C) \\ \downarrow f^* \\ \operatorname{Hom}_{C}(X,B) \times \operatorname{Hom}_{C}(B,C) \xrightarrow{\circ_{X,B,C}^{C}} \operatorname{Hom}_{C}(X,C), \\ \operatorname{Hom}_{C}(A,B) \times \operatorname{Hom}_{C}(B,C) \xrightarrow{\circ_{A,B,C}^{C}} \operatorname{Hom}_{C}(A,C) \\ \downarrow g^* \circ \circ_{A,B,C}^{C} = \circ_{A,B,D}^{C} \circ (\operatorname{id} \times g_*), \qquad \operatorname{id} \times g_* \downarrow \qquad \downarrow g^* \\ \operatorname{Hom}_{C}(A,B) \times \operatorname{Hom}_{C}(B,D) \xrightarrow{\circ_{A,B,D}^{C}} \operatorname{Hom}_{C}(A,D).$$

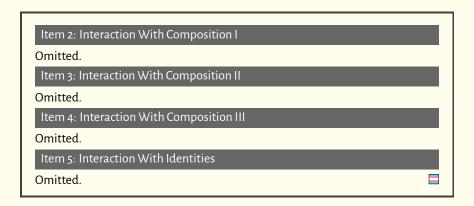
5. Interaction With Identities. We have

$$(id_A)^* = id_{Hom_C(A,B)},$$
  
 $(id_B)_* = id_{Hom_C(A,B)}.$ 

#### PROOF 1.4.4 ▶ PROOF OF PROPOSITION 1.4.3

Item 1: Interaction Between Precomposition and Postcomposition

Omitted.



# 1.5 The Fundamental Quadruple Adjunction

#### 1.5.1 Statement

Let C be a category.

### Proposition 1.5.1 ► A QUADRUPLE ADJUNCTION BETWEEN Sets AND Cats

We have a quadruple adjunction

$$(\pi_0 + (-)_{\text{disc}} + \text{Obj} + (-)_{\text{indisc}})$$
: Sets  $(-)_{\text{disc}}$  Cats,  $(-)_{\text{indisc}}$ 

witnessed by bijections of sets

$$\begin{split} \operatorname{Hom}_{\mathsf{Sets}}(\pi_0(C),X) &\cong \operatorname{Hom}_{\mathsf{Cats}}(C,X_{\mathsf{disc}}), \\ \operatorname{Hom}_{\mathsf{Cats}}(X_{\mathsf{disc}},C) &\cong \operatorname{Hom}_{\mathsf{Sets}}(X,\operatorname{Obj}(C)), \\ \operatorname{Hom}_{\mathsf{Sets}}(\operatorname{Obj}(C),X) &\cong \operatorname{Hom}_{\mathsf{Cats}}(C,X_{\mathsf{indisc}}), \end{split}$$

natural in  $C \in Obj(Cats)$  and  $X \in Obj(Sets)$ , where

- $\pi_0$ , the **connected components functor**, is the functor sending a category C to the set  $\pi_0(C)$  of connected components of C of Definition 1.5.4;
- $\cdot$  (-)<sub>disc</sub>, the **discrete category functor** is the functor sending a set X to the discrete category  $X_{\text{disc}}$  associated to X of Definition 1.5.8;

- · Obj is the functor sending a category to its set of objects;
- $(-)_{indisc}$ , the **indiscrete category functor** is the functor sending a set X to the indiscrete category  $X_{indisc}$  associated to X of Definition 1.5.11.

#### PROOF 1.5.2 ➤ PROOF OF PROPOSITION 1.5.1

Omitted.



#### 1.5.2 Connected Components of Categories

Let C be a category.

#### Definition 1.5.3 ► Connected Components of Categories

A **connected component** of C is a full subcategory I of C satisfying the following conditions:<sup>1</sup>

- 1. Non-Emptiness. We have  $Obj(\mathcal{I}) \neq \emptyset$ .
- 2. Connectedness. There exists a zigzag of arrows between any two objects of  ${\cal I}$  .

 $^1$ In other words, a **connected component** of C is an element of the set  $\mathrm{Obj}(C)/\sim$  with  $\sim$  the equivalence relation generated by the relation  $\sim'$  obtained by declaring  $A \sim' B$  iff there exists a morphism of C from A to B.

#### 1.5.3 Sets of Connected Components of Categories

Let C be a category.

#### DEFINITION 1.5.4 ► SETS OF CONNECTED COMPONENTS OF CATEGORIES

The **set of connected components of** C is the set  $\pi_0(C)$  whose elements are the connected components of C.

#### PROPOSITION 1.5.5 ► PROPERTIES OF SETS OF CONNECTED COMPONENTS

Let C be a category.

1. Functoriality. The assignment  $C \mapsto \pi_0(C)$  defines a functor

 $\pi_0$ : Cats  $\longrightarrow$  Sets.

2. Adjointness<sup>1</sup>. We have a quadruple adjunction

$$(\pi_0 + (-)_{\text{disc}} + \text{Obj} + (-)_{\text{indisc}})$$
: Sets  $(-)_{\text{disc}}$  Cats.

3. Interaction With Groupoids. If C is a groupoid, then we have an isomorphism of categories

$$\pi_0(C) \cong \mathsf{K}(C).$$

4. Preservation of Colimits. The functor  $\pi_0$  of Item 1 preserves colimits. In particular, we have bijections of sets

$$\begin{split} \pi_0(C \coprod \mathcal{D}) &\cong \pi_0(C) \coprod \pi_0(\mathcal{D}), \\ \pi_0(C \coprod_{\mathcal{E}} \mathcal{D}) &\cong \pi_0(C) \coprod_{\pi_0(\mathcal{E})} \pi_0(\mathcal{D}), \\ \pi_0\bigg(\mathsf{CoEq}\bigg(C \overset{F}{\underset{G}{\Longrightarrow}} \mathcal{D}\bigg)\bigg) &\cong \mathsf{CoEq}\bigg(\pi_0(C) \overset{\pi_0(F)}{\underset{\pi_0(G)}{\Longrightarrow}} \pi_0(\mathcal{D})\bigg), \end{split}$$

natural in  $C, \mathcal{D}, \mathcal{E} \in \mathsf{Obj}(\mathsf{Cats})$ .

5. Symmetric Strong Monoidality With Respect to Coproducts. The connected components functor of <a href="Item1">Item 1</a> has a symmetric strong monoidal structure

$$\left(\pi_0, \pi_0^{\coprod}, \pi_{0|\mathbb{F}}^{\coprod}\right)$$
: (Cats,  $\coprod$ ,  $\emptyset_{\mathsf{cat}}$ )  $\longrightarrow$  (Sets,  $\coprod$ ,  $\emptyset$ ),

being equipped with isomorphisms

$$\pi_{0\mid C,\mathcal{D}}^{\coprod} \colon \pi_0(C) \coprod \pi_0(\mathcal{D}) \xrightarrow{\cong} \pi_0(C \coprod \mathcal{D}),$$
$$\pi_{0\mid \mathcal{F}}^{\coprod} \colon \emptyset \xrightarrow{\cong} \pi_0(\emptyset_{\mathsf{cat}}),$$

natural in  $C, \mathcal{D} \in Obj(Cats)$ .

6. Symmetric Strong Monoidality With Respect to Products. The connected components functor of <a href="Item1">Item 1</a> has a symmetric strong monoidal structure

$$\left(\pi_0, \pi_0^{\otimes}, \pi_{0|_{\mathbb{F}}}^{\otimes}\right)$$
: (Cats,  $\times$ , pt)  $\longrightarrow$  (Sets,  $\times$ , pt),

being equipped with isomorphisms

$$\begin{split} \pi_{0|C,\mathcal{D}}^{\otimes} \colon \pi_{0}(C) \times \pi_{0}(\mathcal{D}) &\stackrel{\cong}{\longrightarrow} \pi_{0}(C \times \mathcal{D}), \\ \pi_{0|\mathbb{k}}^{\otimes} \colon \mathsf{pt} &\stackrel{\cong}{\longrightarrow} \pi_{0}(\mathsf{pt}), \end{split}$$

natural in  $C, \mathcal{D} \in \mathsf{Obj}(\mathsf{Cats})$ .

<sup>1</sup>This is a repetition of Proposition 1.5.1.

#### PROOF 1.5.6 ➤ PROOF OF PROPOSITION 1.5.5

Item 1: Functoriality

Omitted.

Item 2: Adjointness

Omitted.

Item 3: Interaction With Groupoids

Clear.

Item 4: Preservation of Colimits

This follows from Item 2 and Item 4 of Proposition 6.1.3.

Item 5: Symmetric Strong Monoidality With Respect to Coproducts

Omitted.

Item 6: Symmetric Strong Monoidality With Respect to Products

Omitted.

#### 1.5.4 Connected Categories

### **DEFINITION 1.5.7** ► **CONNECTED CATEGORIES**

A category C is **connected** if  $\pi_0(C) \cong \mathsf{pt.}^{1,2}$ 

#### 1.5.5 Discrete Categories

Let X be a set.

<sup>&</sup>lt;sup>1</sup>Further Terminology: Moreover, a category is **disconnected** if it is not connected.

<sup>&</sup>lt;sup>2</sup>Example: A groupoid is connected iff any two of its objects are isomorphic.

#### DEFINITION 1.5.8 ► THE DISCRETE CATEGORY ON A SET

The **discrete category on a set** X is the category  $X_{\text{disc}}$  where

· Objects. We have

$$Obj(X_{disc}) \stackrel{\text{def}}{=} X;$$

· Morphisms. For each  $A, B \in Obj(X_{disc})$ , we have

$$\operatorname{Hom}_{X_{\operatorname{disc}}}(A,B) \stackrel{\mathrm{def}}{=} \begin{cases} \operatorname{id}_A & \operatorname{if} A = B, \\ \emptyset & \operatorname{if} A \neq B; \end{cases}$$

· *Identities.* For each  $A \in Obj(X_{disc})$ , the unit map

$$\mathbb{F}_A^{X_{\mathsf{disc}}} \colon \mathsf{pt} \longrightarrow \mathsf{Hom}_{X_{\mathsf{disc}}}(A,A)$$

of  $X_{\text{disc}}$  at A is defined by

$$id_A^{X_{\text{disc}}} \stackrel{\text{def}}{=} id_A;$$

· Composition. For each  $A, B, C \in Obj(X_{disc})$ , the composition map

$$\circ_{ABC}^{X_{\mathrm{disc}}} : \operatorname{\mathsf{Hom}}_{X_{\mathrm{disc}}}(B,C) \times \operatorname{\mathsf{Hom}}_{X_{\mathrm{disc}}}(A,B) \longrightarrow \operatorname{\mathsf{Hom}}_{X_{\mathrm{disc}}}(A,C)$$

of  $X_{\mathsf{disc}}$  at (A, B, C) is defined by

$$id_A \circ id_A \stackrel{\text{def}}{=} id_A.$$

#### PROPOSITION 1.5.9 ► PROPERTIES OF DISCRETE CATEGORIES ON SETS

Let X be a set.

1. Functoriality. The assignment  $X \mapsto X_{\text{disc}}$  defines a functor

$$(-)_{disc}$$
: Sets  $\longrightarrow$  Cats.

2. Symmetric Strong Monoidality With Respect to Coproducts. The functor of <a href="Item1">Item1</a> has a symmetric strong monoidal structure

$$\left((-)_{\mathsf{disc}},(-)^{\coprod}_{\mathsf{disc}},(-)^{\coprod}_{\mathsf{disc}|_{\mathbb{F}}}\right) \colon (\mathsf{Sets}, \coprod, \emptyset) \longrightarrow (\mathsf{Cats}, \coprod, \emptyset_{\mathsf{cat}}),$$

being equipped with isomorphisms

$$(-)_{\mathsf{disc}|X,Y}^{\coprod} \colon X_{\mathsf{disc}} \coprod Y_{\mathsf{disc}} \xrightarrow{\cong} (X \coprod Y)_{\mathsf{disc}},$$
$$(-)_{\mathsf{disc}|\mathbb{F}}^{\coprod} \colon \emptyset_{\mathsf{cat}} \xrightarrow{\cong} \emptyset_{\mathsf{disc}},$$

natural in  $X, Y \in Obj(Sets)$ .

3. Symmetric Strong Monoidality With Respect to Products. The functor of Item 1 has a symmetric strong monoidal structure

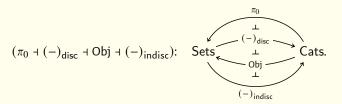
$$\left((-)_{\mathsf{disc}}, (-)_{\mathsf{disc}}^{\otimes}, (-)_{\mathsf{disc}|_{\mathbb{F}}}^{\otimes}\right) \colon (\mathsf{Sets}, \mathsf{x}, \mathsf{pt}) \longrightarrow (\mathsf{Cats}, \mathsf{x}, \mathsf{pt}),$$

being equipped with isomorphisms

$$(-)_{\mathsf{disc}|X,Y}^{\otimes} \colon X_{\mathsf{disc}} \times Y_{\mathsf{disc}} \xrightarrow{\cong} (X \times Y)_{\mathsf{disc}},$$
$$(-)_{\mathsf{disc}|F}^{\otimes} \colon \mathsf{pt} \xrightarrow{\cong} \mathsf{pt}_{\mathsf{disc}},$$

natural in  $X, Y \in Obj(Sets)$ .

4. Adjointness<sup>1</sup>. We have a quadruple adjunction



<sup>&</sup>lt;sup>1</sup>This is a repetition of Proposition 1.5.1.

#### PROOF 1.5.10 ► PROOF OF PROPOSITION 1.5.9

Item 1: Functoriality

Omitted.

Item 2: Symmetric Strong Monoidality With Respect to Coproducts

Omitted.

Item 3: Symmetric Strong Monoidality With Respect to Products

Omitted.

# Item 4: Adjointness

This was proved in its repetition, Proposition 1.5.1.

#### 1.5.6 Indiscrete Categories

#### DEFINITION 1.5.11 ▶ THE INDISCRETE CATEGORY ON A SET

The **indiscrete category on a set**  $X^1$  is the category  $X_{indisc}$  where

· Objects. We have

$$Obj(X_{indisc}) \stackrel{\text{def}}{=} X;$$

· Morphisms. For each  $A, B \in Obj(X_{indisc})$ , we have

$$\operatorname{Hom}_{X_{\operatorname{disc}}}(A,B) \stackrel{\operatorname{def}}{=} \{ [A] \longrightarrow [B] \};$$

· Identities. For each  $A \in Obj(X_{indisc})$ , the unit map

$$\mathbb{F}_A^{X_{\mathsf{indisc}}} \colon \mathsf{pt} \longrightarrow \mathsf{Hom}_{X_{\mathsf{indisc}}}(A,A)$$

of  $X_{\text{indisc}}$  at A is defined by

$$\operatorname{id}_A^{X_{\operatorname{indisc}}} \stackrel{\operatorname{def}}{=} \{[A] \longrightarrow [A]\};$$

· Composition. For each  $A, B, C \in Obj(X_{indisc})$ , the composition map

$$\circ^{X_{\mathsf{indisc}}}_{A,B,C} : \mathsf{Hom}_{X_{\mathsf{indisc}}}(B,C) \times \mathsf{Hom}_{X_{\mathsf{indisc}}}(A,B) \longrightarrow \mathsf{Hom}_{X_{\mathsf{indisc}}}(A,C)$$

of  $X_{\text{disc}}$  at (A, B, C) is defined by

$$([B] \longrightarrow [C]) \circ ([A] \longrightarrow [B]) \stackrel{\text{def}}{=} ([A] \longrightarrow [C]).$$

#### Proposition 1.5.12 ▶ Properties of Indiscrete Categories on Sets

Let *X* be a set.

1. Functoriality. The assignment  $X \mapsto X_{\text{indisc}}$  defines a functor

$$(-)_{indisc}$$
: Sets  $\longrightarrow$  Cats.

<sup>&</sup>lt;sup>1</sup> Further Terminology: Also called the **chaotic category on** X.

2. Symmetric Strong Monoidality With Respect to Products. The functor of <a href="Item1">Item1</a> has a symmetric strong monoidal structure

$$\Big((-)_{\mathsf{indisc}},(-)_{\mathsf{indisc}}^\otimes,(-)_{\mathsf{indisc}|_{\mathbb{F}}}^\otimes\Big)\colon(\mathsf{Sets},\times,\mathsf{pt})\longrightarrow(\mathsf{Cats},\times,\mathsf{pt}),$$

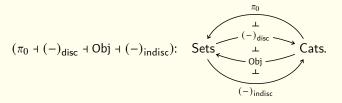
being equipped with isomorphisms

$$(-)_{\mathsf{indisc}|X,Y}^{\otimes} \colon X_{\mathsf{indisc}} \times Y_{\mathsf{indisc}} \xrightarrow{\cong} (X \times Y)_{\mathsf{indisc}},$$

$$(-)_{\mathsf{indisc}|F}^{\otimes} \colon \mathsf{pt} \xrightarrow{\cong} \mathsf{pt}_{\mathsf{indisc}},$$

natural in  $X, Y \in Obj(Sets)$ .

3. Adjointness<sup>1</sup>. We have a quadruple adjunction



<sup>1</sup>This is a repetition of Proposition 1.5.1.

#### PROOF 1.5.13 ► PROOF OF PROPOSITION 1.5.12

Item 1: Functoriality

Omitted.

Item 2: Symmetric Strong Monoidality With Respect to Products

Omitted.

Item 3: Adjointness

This was proved in its repetition, Proposition 1.5.1.

# 1.6 Groupoids

#### 1.6.1 Foundations

Let C be a category.

#### DEFINITION 1.6.1 ► ISOMORPHISMS

A morphism  $f: A \longrightarrow B$  of C is an **isomorphism** if there exists a morphism  $f^{-1}: B \longrightarrow A$  of C such that

$$f \circ f^{-1} = \mathrm{id}_B,$$
  
 $f^{-1} \circ f = \mathrm{id}_A.$ 

#### **DEFINITION 1.6.2** ► **GROUPOIDS**

A groupoid is a category in which every morphism is an isomorphism.

#### 1.6.2 The Groupoid Completion of a Category

Let C be a category.

#### DEFINITION 1.6.3 ► THE GROUPOID COMPLETION OF A CATEGORY

The **groupoid completion of**  $C^1$  is the pair  $(K_0(C), \iota_C)$  consisting of

- · A groupoid  $K_0(C)$ ;
- · A functor  $\iota_C : C \longrightarrow \mathsf{K}_0(C)$ ;

satisfying the following universal property:

(UP) Given another such pair  $(\mathcal{G}, i)$ , there exists a unique functor  $K_0(C) \xrightarrow{\exists !} \mathcal{G}$  making the diagram



commute.

 $<sup>^1</sup>$ Further Terminology: Also called the **Grothendieck groupoid of** C or the **Grothendieck groupoid completion of** C.

#### PROPOSITION 1.6.4 ► PROPERTIES OF GROUPOID COMPLETION

Let C be a category.

1. Functoriality. The assignment  $C \mapsto K_0(C)$  defines a functor

$$K_0$$
: Cats  $\longrightarrow$  Grpd.

2. Adjointness. We have an adjunction

$$(K_0 \dashv \iota)$$
: Cats  $\xrightarrow{K_0}$  Grpd,

forming, together with the core functor Core of <a href="Item1">Item1</a> of <a href="Proposition1.6.9">Proposition 1.6.9</a>, a triple adjunction

$$(\mathsf{K}_0 \dashv \iota \dashv \mathsf{Core}) \colon \quad \mathsf{Cats} \overset{\mathsf{K}_0}{\underset{\mathsf{Core}}{\longleftarrow}} \mathsf{Grpd}.$$

3. Interaction With Classifying Spaces. We have an isomorphism of groupoids

$$K_0(C) \cong \Pi_{<1}(BC),$$

natural in  $C \in Obj(Cats)$ ; i.e. the diagram

commutes up to natural isomorphism.

4. Symmetric Strong Monoidality With Respect to Coproducts. The groupoid completion functor of Item 1 has a symmetric strong monoidal structure

$$\left(\mathsf{K}_0,\mathsf{K}_0^{\coprod},\mathsf{K}_{0|_{\mathbb{F}}}^{\coprod}\right)\!\colon(\mathsf{Cats},\coprod,\emptyset_{\mathsf{cat}})\longrightarrow(\mathsf{Grpd},\coprod,\emptyset_{\mathsf{cat}})$$

being equipped with isomorphisms

natural in  $C, \mathcal{D} \in \mathsf{Obj}(\mathsf{Cats})$ .

5. Symmetric Strong Monoidality With Respect to Products. The groupoid completion functor of Item 1 has a symmetric strong monoidal structure

$$\left(\mathsf{K}_0,\mathsf{K}_0^\times,\mathsf{K}_{0|\mathbb{F}}^\times\right)\!\colon\left(\mathsf{Cats},\times,\mathsf{pt}\right)\longrightarrow\left(\mathsf{Grpd},\times,\mathsf{pt}\right)$$

being equipped with isomorphisms

$$\begin{split} \mathsf{K}_{0|\mathcal{C},\mathcal{D}}^{\times} \colon \; \mathsf{K}_{0}(\mathcal{C}) \times \mathsf{K}_{0}(\mathcal{D}) &\stackrel{\cong}{\longrightarrow} \mathsf{K}_{0}(\mathcal{C} \times \mathcal{D}), \\ \mathsf{K}_{0|_{I\!\!F}}^{\times} \colon \mathsf{pt} &\stackrel{\cong}{\longrightarrow} \mathsf{K}_{0}(\mathsf{pt}), \end{split}$$

natural in  $C, \mathcal{D} \in \mathsf{Obj}(\mathsf{Cats})$ .

#### PROOF 1.6.5 ▶ PROOF OF PROPOSITION 1.6.4

Item 1: Functoriality

Omitted.

Item 2: Adjointness

Omitted.

Item 3: Interaction With Classifying Spaces

See Corollary 18.33 of https://web.ma.utexas.edu/users/dafr/M392C-2012/Notes/lecture18.pdf.

Item 4: Symmetric Strong Monoidality With Respect to Coproducts

Omitted.

Item 5: Symmetric Strong Monoidality With Respect to Products

Omitted.



#### 1.6.3 The Core of a Category

Let C be a category.

#### DEFINITION 1.6.6 ► THE CORE OF A CATEGORY

The **core** of *C* is the pair  $(Core(C), \iota_C)^1$  consisting of

- A groupoid Core(C);
- 2. A functor  $\iota_C$ : Core $(C) \hookrightarrow C$ ;

satisfying the following universal property:

(UP) Given another such pair  $(\mathcal{G},i)$ , there exists a unique functor  $\mathcal{G} \xrightarrow{\exists !}$  Core $(\mathcal{C})$  making the diagram



commute.

<sup>1</sup>Further Notation: Also written  $C^{\sim}$ .

#### CONSTRUCTION 1.6.7 ► CONSTRUCTION OF THE CORE OF A CATEGORY

The core of C is the unique subcategory of C where<sup>1</sup>

1. Objects. We have

$$Obj(Core(C)) \stackrel{\text{def}}{=} Obj(C);$$

2. Morphisms. The morphisms of Core(C) are the isomorphisms of C.

<sup>1</sup>In other words, Core(C) is the maximal subgroupoid of C.

#### PROOF 1.6.8 ► PROOF OF CONSTRUCTION 1.6.7

This follows from the fact that functors preserve isomorphisms.



#### PROPOSITION 1.6.9 ► PROPERTIES OF THE CORE OF A CATEGORY

Let C be a category.

1. Functoriality. The assignment  $C \mapsto Core(C)$  defines a functor

Core: Cats 
$$\longrightarrow$$
 Grpd.

2. Adjointness. We have an adjunction

$$(\iota \dashv \mathsf{Core})$$
: Grpd  $\overset{\iota}{\underset{\mathsf{Core}}{\longleftarrow}}$  Cats,

forming, together with the groupoid completion functor  $K_0$  of Item 1 of Proposition 1.6.4, a triple adjunction

$$(\mathsf{K}_0 \dashv \iota \dashv \mathsf{Core}) \colon \quad \mathsf{Cats} \underset{\mathsf{Core}}{\overset{\mathsf{K}_0}{\smile}} \mathsf{Grpd}.$$

3. Symmetric Strong Monoidality With Respect to Products. The core functor of <a href="Item1">Item1</a> has a symmetric strong monoidal structure

$$(Core, Core^{\times}, Core^{\times}_{\iota \iota}) : (Cats, \times, pt) \longrightarrow (Grpd, \times, pt)$$

being equipped with isomorphisms

$$\mathsf{Core}_{C,\mathcal{D}}^{\times} \colon \mathsf{Core}(C) \times \mathsf{Core}(\mathcal{D}) \xrightarrow{\cong} \mathsf{Core}(C \times \mathcal{D}),$$

$$\mathsf{Core}_{\mathscr{U}}^{\times} \colon \mathsf{pt} \xrightarrow{\cong} \mathsf{Core}(\mathsf{pt}),$$

natural in  $C, \mathcal{D} \in \mathsf{Obj}(\mathsf{Cats})$ .

#### PROOF 1.6.10 ► PROOF OF PROPOSITION 1.6.9

#### Item 1: Functoriality

Clear.

#### Item 2: Adjointness

The adjunction  $(K_0 \dashv \iota)$  follows from the universal property of the Gabriel–Zisman localisation of a category with respect to a class of morphisms (??), while the adjunction  $(\iota \dashv Core)$  is a reformulation of the universal property of the core

of a category (Definition 1.6.6).

Item 3: Symmetric Strong Monoidality With Respect to Products

Omitted.

1 Reference: [Rie17, Example 4.1.15]

# 2 Functors and Natural Transformations

#### 2.1 Functors

#### 2.1.1 Foundations

Let C and D be categories.

#### **DEFINITION 2.1.1** ► FUNCTORS

A functor  $F: \mathcal{C} \longrightarrow \mathcal{D}$  from  $\mathcal{C}$  to  $\mathcal{D}^1$  consists of  $\mathcal{C}$ 

1. Action on Objects. A map of sets

$$F : \mathsf{Obj}(\mathcal{C}) \longrightarrow \mathsf{Obj}(\mathcal{D}),$$

called the **action on objects of** F;

2. Action on Hom-sets. For each  $A, B \in Obj(C)$ , a map

$$F_{A,B}: \operatorname{Hom}_{\mathcal{C}}(A,B) \longrightarrow \operatorname{Hom}_{\mathcal{D}}(F_A,F_B),$$

called the **action on** Hom-sets of F at (A, B);

satisfying the following conditions:

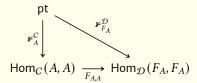
1. Preservation of Composition. For each  $A, B, C \in Obj(C)$ , the diagram

$$\begin{array}{cccc} \operatorname{Hom}_{C}(B,C) \times \operatorname{Hom}_{C}(A,B) & \xrightarrow{\circ^{C}_{A,B,C}} & \operatorname{Hom}_{C}(A,C) \\ & & \downarrow^{F_{B,C} \times F_{A,B}} & & \downarrow^{F_{A,C}} \\ \operatorname{Hom}_{\mathcal{D}}(F_{B},F_{C}) \times \operatorname{Hom}_{\mathcal{D}}(F_{A},F_{B}) & \xrightarrow{\circ^{\mathcal{D}}_{A,B,C}} & \operatorname{Hom}_{\mathcal{D}}(F_{A},F_{C}) \end{array}$$

commutes, i.e. for each composable pair (g, f) of morphisms of C, we have

$$F_{g\circ f}=F_g\circ F_f.$$

2. Preservation of Identities. For each  $A \in Obj(C)$ , the diagram



commutes, i.e. we have

$$F_{\mathsf{id}_A} = \mathsf{id}_{F_A}$$
.

#### **EXAMPLE 2.1.2** ► **IDENTITY FUNCTORS**

The **identity functor** of a category C is the functor  $id_C : C \longrightarrow C$  where

1. Action on Objects. For each  $A \in Obj(C)$ , we have

$$id_C(A) \stackrel{\text{def}}{=} A;$$

2. Action on Morphisms. For each  $A, B \in \mathsf{Obj}(C)$ , the action on morphisms map

$$(\mathrm{id}_C)_{A,B} \colon \operatorname{Hom}_C(A,B) \longrightarrow \underbrace{\operatorname{Hom}_C(\mathrm{id}_C(A),\mathrm{id}_C(B))}_{\overset{\mathrm{def}}{=} \operatorname{Hom}_C(A,B)}$$

of  $id_C$  at (A, B) is defined by

$$(id_C)_{A,B} \stackrel{\text{def}}{=} id_{\text{Hom}_C(A,B)}$$
.

#### Proof 2.1.3 ▶ Proof of Example 2.1.2

#### Preservation of Identities

We have  $id_C(id_A) \stackrel{\text{def}}{=} id_A$  for each  $A \in Obj(C)$  by definition.

#### **Preservation of Compositions**

<sup>&</sup>lt;sup>1</sup> Further Terminology: Also called a **covariant functor**.

<sup>&</sup>lt;sup>2</sup> Einstein Notation: Given functors  $F: \mathcal{C} \longrightarrow \mathcal{D}$  and  $G: \mathcal{C}^{op} \longrightarrow \mathcal{D}$ , we write  $F_A$  for F(A) (resp.  $G^A$  for G(A)) and  $F_f$  for F(f) (resp.  $G^f$  for G(f)).

For each composable pair  $A \xrightarrow{f} B \xrightarrow{g} B$  of morphisms of C, we have

$$id_C(g \circ f) \stackrel{\text{def}}{=} g \circ f$$

$$\stackrel{\text{def}}{=} id_C(g) \circ id_C(f).$$

This finishes the proof.

#### Proposition-Definition 2.1.4 ► Composition of Functors

The **composition** of two functors  $F \colon \mathcal{C} \longrightarrow \mathcal{D}$  and  $G \colon \mathcal{D} \longrightarrow \mathcal{E}$  is the functor  $G \circ F$  where

· Action on Objects. For each  $A \in Obj(C)$ , we have

$$(G \circ F)_A \stackrel{\text{def}}{=} G_{F_A};$$

· Action on Morphisms. For each  $A, B \in \mathsf{Obj}(\mathcal{C})$ , the action on morphisms map

$$(G \circ F)_{A,B} \colon \operatorname{Hom}_{\mathcal{C}}(A,B) \longrightarrow \operatorname{Hom}_{\mathcal{E}}(G_{F_A},G_{F_B})$$

of  $G \circ F$  at (A, B) is defined by

$$(G \circ F)_f \stackrel{\text{def}}{=} G_{F_f}.$$

#### PROOF 2.1.5 ▶ PROOF OF PROPOSITION-DEFINITION 2.1.4

#### Preservation of Identities

For each  $A \in Obj(C)$ , we have

$$G_{F_{\mathrm{id}_A}} = G_{\mathrm{id}_{F_A}}$$
 (by the functoriality of  $F$ ) 
$$= \mathrm{id}_{G_{F_A}}.$$
 (by the functoriality of  $G$ )

#### Preservation of Composition

For each composable pair (g, f) of morphisms of C, we have

$$G_{F_g \circ f} = G_{F_g \circ F_f}$$
 (by the functoriality of  $F$ ) 
$$= G_{F_g} \circ G_{F_f}.$$
 (by the functoriality of  $G$ )

This finishes the proof.

#### 2.1.2 Conditions on Functors

#### **DEFINITION 2.1.6** ► CONDITIONS ON FUNCTORS

A functor  $F: \mathcal{C} \longrightarrow \mathcal{D}$  is

1. **Faithful** if, for each  $A, B \in Obj(C)$ , the action on morphisms map

$$F_{A,B} : \operatorname{Hom}_{\mathcal{C}}(A,B) \longrightarrow \operatorname{Hom}_{\mathcal{D}}(F_A,F_B)$$

of F at (A, B) is injective.

2. **Full** if, for each  $A, B \in Obj(C)$ , the action on morphisms map

$$F_{A,B}: \operatorname{Hom}_{\mathcal{C}}(A,B) \longrightarrow \operatorname{Hom}_{\mathcal{D}}(F_A,F_B)$$

of F at (A, B) is surjective.

3. **Fully faithful** if F is full and faithful, i.e. if, for each  $A, B \in Obj(C)$ , the action on morphisms map

$$F_{A,B}: \operatorname{Hom}_{\mathcal{C}}(A,B) \longrightarrow \operatorname{Hom}_{\mathcal{D}}(F_A,F_B)$$

of F at (A, B) is bijective.

- 4. **Conservative** if whenever  $F_f$  is an isomorphism in  $\mathcal{D}$ , so is f in  $C^1$
- 5. **Essentially surjective** if, for each  $D \in \mathsf{Obj}(\mathcal{D})$ , there exists some object A of C such that  $F_A \cong D$ .

(f is an isomorphism)  $\iff$  ( $F_f$  is an isomorphism).

#### PROPOSITION 2.1.7 ► FULLY FAITHFUL FUNCTORS ARE CONSERVATIVE

Every fully faithful functor is conservative.

 $<sup>^1 {\</sup>sf Since}$  functors preserve isomorphisms, we see that F is conservative iff, for each  $f \in {\sf Mor}(C)$  , we have

#### PROOF 2.1.8 ▶ PROOF OF PROPOSITION 2.1.7

Let  $F: C \longrightarrow \mathcal{D}$  be a fully faithful functor,  $f: A \longrightarrow B$  be a morphism of C, and suppose that  $F_f$  is an isomorphism. Then we have

$$F_{id_B} = id_{F_B}$$

$$= F_f \circ F_f^{-1}$$

$$= F_{f \circ f^{-1}}.$$

Similarly,  $F_{\mathrm{id}_A} = F_{f^{-1} \circ f}$ . As F is fully faithful, we have

$$f \circ f^{-1} = \mathrm{id}_B,$$
  
 $f^{-1} \circ f = \mathrm{id}_A.$ 

Hence f is an isomorphism and F is conservative.

#### 2.1.3 The Natural Transformation Associated to a Functor

#### PROPOSITION 2.1.9 ► THE NATURAL TRANSFORMATION ASSOCIATED TO A FUNCTOR

Every functor  $F \colon C \longrightarrow \mathcal{D}$  defines a natural transformation

$$C^{\mathsf{op}} \times C \xrightarrow{F^{\mathsf{op}} \times F} \mathcal{D}^{\mathsf{op}} \times \mathcal{D}$$
 
$$F^{\dagger} \colon \mathsf{Hom}_{C} \Longrightarrow \mathsf{Hom}_{\mathcal{D}} \circ (F^{\mathsf{op}} \times F), \qquad \mathsf{Hom}_{C} \downarrow \qquad \qquad \mathsf{Hom}_{\mathcal{D}}$$
 
$$\mathsf{Sets} = \mathsf{Sets},$$

called the **natural transformation associated to** F, consisting of the collection

$$\left\{F_{A,B}^{\dagger}\colon \operatorname{Hom}_{C}(A,B) \longrightarrow \operatorname{Hom}_{\mathcal{D}}(F_{A},F_{B})\right\}_{(A,B)\in\operatorname{Obj}(C^{\operatorname{op}}\times C)}$$

with

$$F_{A,B}^{\dagger} \stackrel{\text{def}}{=} F_{A,B}$$
.

#### PROOF 2.1.10 ▶ PROOF OF PROPOSITION 2.1.9

The naturality condition for  $F^{\dagger}$  is the requirement that for each morphism

$$(\phi, \psi) : (X, Y) \longrightarrow (A, B)$$

of  $C^{op} \times C$ , the diagram

acting on elements as

$$f \longmapsto \psi \circ f \circ \phi$$

$$\downarrow \qquad \qquad \downarrow$$

$$F_{f} \longmapsto F_{\psi} \circ F_{f} \circ F_{\phi} = F_{\psi \circ f \circ \phi}$$

commutes, which follows from the functoriality of F.

#### 2.2 Natural Transformations

#### 2.2.1 Foundations

Let C and  $\mathcal{D}$  be categories and  $F,G:C \Longrightarrow \mathcal{D}$  be functors.

#### **DEFINITION 2.2.1** ► TRANSFORMATIONS

A **transformation**<sup>1,2</sup>  $\alpha : F \stackrel{\text{unnat}}{\Longrightarrow} G$  **from** F **to** G is a collection

$$\{\alpha_A \colon F_A \longrightarrow G_A\}_{A \in \mathsf{Obi}(C)}$$

of morphisms of  $\mathcal{D}$ .

<sup>&</sup>lt;sup>1</sup>Further Terminology: Also called an **unnatural transformation** for emphasis.

<sup>&</sup>lt;sup>2</sup> Further Notation: We write  $\mathsf{UnNat}(F,G)$  for the set of unnatural transformations from F to G.

#### **DEFINITION 2.2.2** ► NATURAL TRANSFORMATIONS

A natural transformation  $\alpha: F \Longrightarrow G$  from F to G is a transformation

$$\{\alpha_A \colon F_A \longrightarrow G_A\}_{A \in \mathsf{Obj}(C)}$$

from F to G such that, for each morphism  $f: A \longrightarrow B$  of C, the diagram

$$F_{A} \xrightarrow{F_{f}} F_{B}$$

$$\downarrow^{\alpha_{A}} \qquad \downarrow^{\alpha_{B}}$$

$$G_{A} \xrightarrow{G_{f}} G_{B}$$

commutes.2,3

<sup>1</sup>Pictured in diagrams as



<sup>2</sup>Further Terminology: The morphism  $\alpha_A \colon F_A \longrightarrow G_A$  is called the **component of**  $\alpha$  **at** A.

<sup>3</sup> Further Notation: We write Nat(F,G) for the set of natural transformations from F to G.

#### **EXAMPLE 2.2.3** ► **IDENTITY NATURAL TRANSFORMATIONS**

The **identity natural transformation**  $id_F \colon F \Longrightarrow F$  of F is the natural transformation consisting of the collection

$$\{id_{F_A}: F_A \longrightarrow F_A\}_{A \in Obi(C)}$$
.

#### Proof 2.2.4 ▶ Proof of Example 2.2.3

The naturality condition for  $id_F$  is the requirement that, for each morphism  $f: A \longrightarrow B$  of C, the diagram

$$\begin{array}{ccc} F_{A} & \xrightarrow{F_{f}} & F_{B} \\ \operatorname{id}_{F_{A}} \downarrow & & & \downarrow \operatorname{id}_{F_{B}} \\ F_{A} & \xrightarrow{F_{f}} & F_{B} \end{array}$$

commutes, which follows from unitality of the composition of C.

#### DEFINITION 2.2.5 ► VERTICAL COMPOSITION OF NATURAL TRANSFORMATIONS

The **vertical composition** of two natural transformations  $\alpha \colon F \implies G$  and  $\beta \colon G \implies H$  as in the diagram

$$C \xrightarrow{G} \mathcal{D}$$

is the natural transformation  $\beta \circ \alpha : F \Longrightarrow H$  consisting of the collection

$$\{(\beta \circ \alpha)_A \colon F_A \longrightarrow H_A\}_{A \in \mathsf{Obj}(C)}$$

with

$$(\beta \circ \alpha)_A \stackrel{\text{def}}{=} \beta_A \circ \alpha_A$$

for each  $A \in Obj(C)$ .

#### PROOF 2.2.6 ▶ PROOF OF DEFINITION 2.2.5

The naturality condition for  $\beta\circ\alpha$  is the requirement that the boundary of the diagram

$$F_{A} \xrightarrow{F_{f}} F_{B}$$

$$\alpha_{A} \downarrow \qquad (1) \qquad \downarrow \alpha_{B}$$

$$G_{A} - G_{f} \rightarrow G_{B}$$

$$\beta_{A} \downarrow \qquad (2) \qquad \downarrow \beta_{B}$$

$$H_{A} \xrightarrow{H_{f}} H_{B}$$

commutes. Since

- 1. Subdiagram (1) commutes by the naturality of  $\alpha$ ;
- 2. Subdiagram (2) commutes by the naturality of  $\beta$ ;

so does the boundary diagram. Hence  $\beta \circ \alpha$  is a natural transformation.

#### DEFINITION 2.2.7 ► HORIZONTAL COMPOSITION OF NATURAL TRANSFORMATIONS

The **horizontal composition**<sup>1</sup> of two natural transformations  $\alpha \colon F \Longrightarrow G$  and  $\beta \colon H \Longrightarrow K$  as in the diagram

$$C \xrightarrow{G} \mathcal{D} \xrightarrow{H} \mathcal{E}$$

of  $\alpha$  and  $\beta$  is the natural transformation

$$\beta * \alpha : (H \circ F) \Longrightarrow (K \circ G),$$

as in the diagram

$$C \xrightarrow{\beta \star \alpha} \mathcal{E},$$

consisting of the collection

$$\{(\beta \star \alpha)_A \colon H_{F_A} \longrightarrow K_{G_A}\}_{A \in Obi(C)},$$

of morphisms of  ${\mathcal E}$  with

$$(\beta \star \alpha)_{A} \stackrel{\text{def}}{=} \beta_{G_{A}} \circ H_{\alpha_{A}}$$

$$= K_{\alpha_{A}} \circ \beta_{F_{A}}, \qquad \downarrow^{\beta_{F_{A}}} \downarrow^{\beta_{G_{A}}}$$

$$K_{F_{A}} \xrightarrow{K_{\alpha_{A}}} K_{G_{A}}.$$

<sup>&</sup>lt;sup>1</sup> Further Terminology: Also called the **Godement product** of  $\alpha$  and  $\beta$ .

#### Proof 2.2.8 ▶ Proof of Definition 2.2.7

The naturality condition for  $\beta \star \alpha$  is the requirement that the boundary of the diagram

$$\begin{array}{c|c} H_{F_A} & \xrightarrow{H_{F_f}} & H_{F_B} \\ \downarrow & \downarrow & \downarrow \\ H_{G_A} & -H_{G_f} & \downarrow & \downarrow \\ \downarrow & \downarrow & \downarrow \\ K_{G_A} & & (2) & \downarrow & \downarrow \\ \downarrow & & \downarrow & \downarrow \\ K_{G_B} & \xrightarrow{K_{G_f}} & K_{G_B} \end{array}$$

commutes. Since

- 1. Subdiagram (1) commutes by the naturality of  $\alpha$ ;
- 2. Subdiagram (2) commutes by the naturality of  $\beta$ ;

so does the boundary diagram. Hence  $\beta \circ \alpha$  is a natural transformation.<sup>1</sup>

<sup>1</sup>Reference: [Bor94b, Proposition 1.3.4].

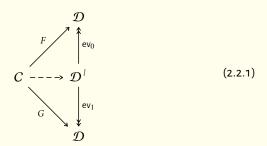
### 2.2.2 Properties of Natural Transformations

#### PROPOSITION 2.2.9 ► NATURAL TRANSFORMATIONS AS HOMOTOPIES

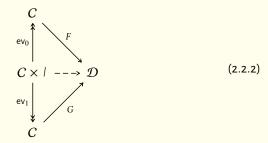
<sup>1</sup>Let  $F,G\colon C\Longrightarrow \mathcal{D}$  be functors. The following data are equivalent:

1. A natural transformation  $\alpha: F \Longrightarrow G$ .

2. A functor  $[\alpha]: C \longrightarrow \mathcal{D}^{\perp}$  filling the diagram



3. A functor  $[\alpha]: C \times I \longrightarrow \mathcal{D}$  filling the diagram



<sup>1</sup>Taken from [MO MO64365].

### PROOF 2.2.10 ▶ PROOF OF PROPOSITION 2.2.9

# ltem 1 ← ltem 2

By  $\ref{By:}$ , we may identify  $\mathcal{D}^{\perp}$  with  $\operatorname{Arr}(\mathcal{D})$ . Given a natural transformation  $\alpha\colon F\Longrightarrow$ 

G, we have a functor

$$[\alpha]: C \longrightarrow \mathcal{D}^{\uparrow}$$

$$A \longmapsto \alpha_{A}$$

$$(f: A \longrightarrow B) \longmapsto \begin{pmatrix} F_{A} & \xrightarrow{F_{f}} & F_{B} \\ & & & & \\ & & & \\ &$$

making Diagram (2.2.1) commute. Conversely, every such functor gives rise to a natural transformation from F to G.

#### Item 2 ← Item 3

This follows from ?? of Proposition 2.3.2.

# Proposition 2.2.11 ► Properties of Composition of Natural Transformations

Let C,  $\mathcal{D}$ , and  $\mathcal{E}$  be categories.

1. Vertical Composition Is Strictly Associative and Unital. Let  $F, G, H, K : C \Longrightarrow \mathcal{D}$  be functors and

$$F \stackrel{\alpha}{\Longrightarrow} G \stackrel{\beta}{\Longrightarrow} H \stackrel{\gamma}{\Longrightarrow} K$$

be natural transformations. Then

$$id_{G} \circ \alpha = \alpha,$$

$$\alpha \circ id_{F} = \alpha,$$

$$(\gamma \circ \beta) \circ \alpha = \gamma \circ (\beta \circ \alpha).$$

2. Horizontal Composition of Natural Transformations Preserves Identities. Let  $F\colon C\longrightarrow \mathcal{D}$  and  $G\colon \mathcal{D}\longrightarrow \mathcal{E}$  be functors. We have

$$\mathrm{id}_G \star \mathrm{id}_F = \mathrm{id}_{G \circ F}.$$

3. Middle Four Exchange. Given natural transformations  $\alpha$ ,  $\alpha'$ ,  $\beta$ , and  $\beta'$  as in the diagram

$$C \xrightarrow{F'} D \xrightarrow{G'} \mathcal{E}$$

$$C \xrightarrow{F''} \mathcal{D} \xrightarrow{\beta' \downarrow} \mathcal{E}$$

we have

$$(\beta' \star \alpha') \circ (\beta \star \alpha) = (\beta' \circ \beta) \star (\alpha' \circ \alpha).$$

#### PROOF 2.2.12 ▶ PROOF OF PROPOSITION 2.2.11

#### Item 1: Vertical Composition Is Strictly Associative and Unital

This follows from the fact that these identities hold at each component. In detail, given  $A \in \text{Obj}(C)$ , we have

$$(\mathrm{id}_G \circ \alpha)_A = \mathrm{id}_G \circ \alpha_A = \alpha_A,$$
  

$$(\alpha \circ \mathrm{id}_F)_A = \alpha_A \circ \mathrm{id}_F = \alpha_A.$$

Similarly, we have

$$\begin{split} \left( \left( \gamma \circ \beta \right) \circ \alpha \right)_A &= \left( \gamma_A \circ \beta_A \right) \circ \alpha_A \\ &= \gamma_A \circ \left( \beta_A \circ \alpha_A \right) \\ &= \left( \gamma \circ \left( \beta \circ \alpha \right) \right)_A. \end{split}$$

### Item 2: Horizontal Composition of Natural Transformations Preserves Identitie

For each  $A \in Obj(C)$ , we have

$$(\mathrm{id}_{G} \star \mathrm{id}_{F})_{A} \stackrel{\mathrm{def}}{=} (\mathrm{id}_{G})_{F_{A}} \circ G_{(\mathrm{id}_{F})_{A}}$$

$$\stackrel{\mathrm{def}}{=} \mathrm{id}_{G_{F_{A}}} \circ G_{\mathrm{id}_{F_{A}}}$$

$$= \mathrm{id}_{G_{F_{A}}} \circ \mathrm{id}_{G_{F_{A}}}$$

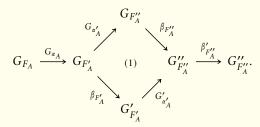
$$= \mathrm{id}_{G_{F_{A}}}$$

$$\stackrel{\mathrm{def}}{=} (\mathrm{id}_{G \circ F})_{A}.$$

Hence  $id_G * id_F = id_{G \circ F}$ .

#### Item 3: Middle Four Exchange

Let  $A \in \mathsf{Obj}(C)$  and consider the diagram



The top composition is  $((\beta' \circ \beta) \star (\alpha' \circ \alpha))_A$  and the bottom composition is  $((\beta' \star \alpha') \circ (\beta \star \alpha))_A$ . Since Subdiagram (1) commutes, they are equal.

#### DEFINITION 2.2.13 ► EQUALITY OF NATURAL TRANSFORMATIONS

Two natural transformations  $\alpha, \beta \colon F \Longrightarrow G$  are **equal** if, for each  $A \in \mathsf{Obj}(C)$ , we have

$$\alpha_A = \beta_A$$
.

#### 2.2.3 Natural Isomorphisms

#### DEFINITION 2.2.14 ► NATURAL ISOMORPHISMS

A natural transformation  $\alpha\colon F\Longrightarrow G$  between functors  $F,G\colon C\longrightarrow \mathcal{D}$  between categories C and  $\mathcal{D}$  is a **natural isomorphism** if there exists a natural transformation  $\alpha^{-1}\colon G\Longrightarrow F$  such that

$$\alpha \circ \alpha^{-1} = \mathrm{id}_G,$$
  
 $\alpha^{-1} \circ \alpha = \mathrm{id}_F.$ 

#### PROPOSITION 2.2.15 ► PROPERTIES OF NATURAL ISOMORPHISMS

Let  $\alpha: F \Longrightarrow G$  be a natural transformation.

- 1. Characterisations. The following conditions are equivalent:
  - (a) The natural transformation  $\alpha$  is a natural isomorphism.

(b) For each  $A \in \mathsf{Obj}(C)$ , the morphism  $\alpha_A \colon F_A \longrightarrow G_A$  is an isomorphism.

#### PROOF 2.2.16 ► PROOF OF PROPOSITION 2.2.15

Omitted.



### 2.3 Categories of Categories

#### 2.3.1 Functor Categories

Let C be a category and  $\mathcal{D}$  be a small category.

#### **DEFINITION 2.3.1** ► FUNCTOR CATEGORIES

The **category of functors from** C **to**  $\mathcal{D}^1$  is the category  $\operatorname{Fun}(C,\mathcal{D})^2$  where

- · Objects. The objects of  $Fun(C, \mathcal{D})$  are functors from C to  $\mathcal{D}$ ;
- · Morphisms. For each  $F, G \in \mathsf{Obj}(\mathsf{Fun}(C, \mathcal{D}))$ , we have

$$\mathsf{Hom}_{\mathsf{Fun}(C,\mathcal{D})}(F,G) \stackrel{\mathsf{def}}{=} \mathsf{Nat}(F,G);$$

· Identities. For each  $F \in \mathsf{Obj}(\mathsf{Fun}(\mathcal{C},\mathcal{D}))$ , the unit map

$$\mathbb{F}_F^{\mathsf{Fun}(\mathcal{C},\mathcal{D})} : \mathsf{pt} \longrightarrow \mathsf{Nat}(F,F)$$

of  $\operatorname{Fun}(\mathcal{C}, \mathcal{D})$  at F is given by

$$\operatorname{id}_F^{\operatorname{Fun}(C,\mathcal{D})}\stackrel{\operatorname{def}}{=}\operatorname{id}_F,$$

where  $id_F: F \Longrightarrow F$  is the identity natural transformation of F of Example 2.2.3;

· Composition. For each  $F, G, H \in \mathsf{Obj}(\mathsf{Fun}(\mathcal{C}, \mathcal{D}))$ , the composition map

$$\circ_{F,G,H}^{\mathsf{Fun}(C,\mathcal{D})}$$
:  $\mathsf{Nat}(G,H) \times \mathsf{Nat}(F,G) \longrightarrow \mathsf{Nat}(F,H)$ 

of  $\operatorname{Fun}(C, \mathcal{D})$  at (F, G, H) is given by

$$\beta \circ_{F,G,H}^{\operatorname{Fun}(C,\mathcal{D})} \alpha \stackrel{\text{def}}{=} \beta \circ \alpha,$$

where  $\beta \circ \alpha$  is the vertical composition of  $\alpha$  and  $\beta$  of Definition 2.2.5.

<sup>&</sup>lt;sup>1</sup>Or the **functor category** Fun( $\mathcal{C}, \mathcal{D}$ ).

<sup>&</sup>lt;sup>2</sup> Further Notation: Also written  $\mathcal{D}^C$  and  $[C, \mathcal{D}]$ .

#### PROPOSITION 2.3.2 ▶ PROPERTIES OF FUNCTOR CATEGORIES

Let C and  $\mathcal{D}$  be categories and let  $F: C \longrightarrow \mathcal{D}$  be a functor.

1. Functoriality. The assignments  $C,\mathcal{D},(C,\mathcal{D})\mapsto \operatorname{Fun}(C,\mathcal{D})$  define functors

Fun
$$(C, -_2)$$
: Cats  $\longrightarrow$  Cats,  
Fun $(-_1, \mathcal{D})$ : Cats<sup>op</sup>  $\longrightarrow$  Cats,  
Fun $(-_1, -_2)$ : Cats<sup>op</sup>  $\times$  Cats  $\longrightarrow$  Cats.

2. 2-Functoriality. The assignments  $C, \mathcal{D}, (C, \mathcal{D}) \mapsto \mathsf{Fun}(C, \mathcal{D})$  define 2-functors

$$\begin{split} & \operatorname{\mathsf{Fun}}(C, -_2) \colon \operatorname{\mathsf{Cats}}_2 \longrightarrow \operatorname{\mathsf{Cats}}_2, \\ & \operatorname{\mathsf{Fun}}(-_1, \mathcal{D}) \colon \operatorname{\mathsf{Cats}}_2^{\operatorname{\mathsf{op}}} \longrightarrow \operatorname{\mathsf{Cats}}_2, \\ & \operatorname{\mathsf{Fun}}(-_1, -_2) \colon \operatorname{\mathsf{Cats}}_2^{\operatorname{\mathsf{op}}} \times \operatorname{\mathsf{Cats}}_2 \longrightarrow \operatorname{\mathsf{Cats}}_2. \end{split}$$

3. 2-Adjointness. We have 2-adjunctions

$$(C \times - \dashv \operatorname{\mathsf{Fun}}(C,-)) \colon \operatorname{\mathsf{Cats}}_2 \xrightarrow{\stackrel{C \times -}{\operatorname{\mathsf{Fun}}(C,-)}} \operatorname{\mathsf{Cats}}_2,$$
 
$$(- \times \mathcal{D} \dashv \operatorname{\mathsf{Fun}}(\mathcal{D},-)) \colon \operatorname{\mathsf{Cats}}_2 \xrightarrow{\stackrel{- \times \mathcal{D}}{\operatorname{\mathsf{Cats}}_2}} \operatorname{\mathsf{Cats}}_2,$$

witnessed by isomorphisms of categories

$$\begin{aligned} \mathsf{Fun}(\mathcal{C} \times \mathcal{D}, \mathcal{E}) &\cong \mathsf{Fun}(\mathcal{D}, \mathsf{Fun}(\mathcal{C}, \mathcal{E})), \\ \mathsf{Fun}(\mathcal{C} \times \mathcal{D}, \mathcal{E}) &\cong \mathsf{Fun}(\mathcal{C}, \mathsf{Fun}(\mathcal{D}, \mathcal{E})), \end{aligned}$$

natural in  $C, \mathcal{D}, \mathcal{E} \in \mathsf{Obj}(\mathsf{Cats}_2)$ .

4. Adjointness. We have adjunctions

$$(C \times - \dashv \operatorname{\mathsf{Fun}}(\mathcal{C}, -)) \colon \operatorname{\mathsf{Cats}} \xrightarrow{\mathcal{L}} \operatorname{\mathsf{Cats}} \operatorname{\mathsf{Cats}},$$

$$(- \times \mathcal{D} \dashv \operatorname{\mathsf{Fun}}(\mathcal{D}, -)) \colon \operatorname{\mathsf{Cats}} \xrightarrow{\bot} \operatorname{\mathsf{Cats}},$$

$$\operatorname{\mathsf{Fun}}(\mathcal{D}, -)$$

witnessed by bijections of sets

$$\begin{aligned} & \mathsf{Hom}_{\mathsf{Cats}}(C \times \mathcal{D}, \mathcal{E}) \cong \mathsf{Hom}_{\mathsf{Cats}}(\mathcal{D}, \mathsf{Fun}(\mathcal{C}, \mathcal{E})), \\ & \mathsf{Hom}_{\mathsf{Cats}}(C \times \mathcal{D}, \mathcal{E}) \cong \mathsf{Hom}_{\mathsf{Cats}}(\mathcal{C}, \mathsf{Fun}(\mathcal{D}, \mathcal{E})), \end{aligned}$$

natural in  $C, \mathcal{D}, \mathcal{E} \in \mathsf{Obj}(\mathsf{Cats})$ .

5. Trivial Functor Categories. We have a canonical isomorphism of categories

$$\operatorname{\mathsf{Fun}}(\operatorname{\mathsf{pt}},\mathcal{C})\cong\mathcal{C},$$

natural in  $C \in Obj(Cats)$ .

- Characterisations of Fully Faithfulness. The following conditions are equivalent:
  - (a) The functor  $F: C \longrightarrow \mathcal{D}$  is fully faithful.
  - (b) For each  $X \in Obj(Cats)$ , the functor

$$F^*: \operatorname{Fun}(\mathcal{D}, \mathcal{X}) \longrightarrow \operatorname{Fun}(\mathcal{C}, \mathcal{X})$$

is fully faithful.

(c) For each  $X \in Obj(Cats)$ , the functor

$$F_* : \operatorname{\mathsf{Fun}}(\mathcal{X}, \mathcal{C}) \longrightarrow \operatorname{\mathsf{Fun}}(\mathcal{X}, \mathcal{D})$$

is fully faithful.

7. Objectwise Computation of Co/Limits. Let

$$D: I \longrightarrow \operatorname{Fun}(C, \mathcal{D})$$

be a diagram in  $\operatorname{Fun}(\mathcal{C},\mathcal{D})$ . We have isomorphisms

$$\lim(D)_A \cong \lim_{i \in I} (D_i(A)),$$
$$\operatorname{colim}(D)_A \cong \underset{i \in I}{\operatorname{colim}} (D_i(A)),$$

naturally in  $A \in Obj(C)$ .

- 8. Bicompleteness. If  $\mathcal{E}$  is co/complete, then so is  $\operatorname{Fun}(C,\mathcal{E})$ .
- 9. Abelianness. If  $\mathcal{E}$  is abelian, then so is  $\operatorname{Fun}(\mathcal{C},\mathcal{E})$ .

- 10. Monomorphisms and Epimorphisms. Let  $\alpha \colon F \implies G$  be a morphism of Fun $(C,\mathcal{D})$ . The following conditions are equivalent:
  - (a) The natural transformation

$$\alpha: F \Longrightarrow G$$

is a monomorphism (resp. epimorphism) in  $Fun(C, \mathcal{D})$ .

(b) For each  $A \in Obj(C)$ , the morphism

$$\alpha_A \colon F_A \longrightarrow G_A$$

is a monomorphism (resp. epimorphism) in  $\mathcal{D}$ .

#### PROOF 2.3.3 ► PROOF OF PROPOSITION 2.3.2

Item 1: Functoriality

Omitted.

Item 2: 2-Functoriality

Omitted.

Item 3: 2-Adjointness

Omitted.

Item 4: Adjointness

Omitted.

Item 5: Trivial Functor Categories

Omitted.

Item 6: Characterisations of Fully Faithfulness

See [Low15, Propositions A.I.5].

Item 7: Objectwise Computation of Co/Limits

Omitted.

Item 8: Bicompleteness

This follows from ??.

Item 9: Abelianness

Omitted.

#### Item 10: Monomorphisms and Epimorphisms

Omitted.



#### 2.3.2 The Category of Categories and Functors

#### DEFINITION 2.3.4 ► THE CATEGORY OF CATEGORIES AND FUNCTORS

The category of (small) categories and functors is the category Cats where

- · Objects. The objects of Cats are small categories;
- · Morphisms. For each  $C, \mathcal{D} \in \mathsf{Obj}(\mathsf{Cats})$ , we have

$$\mathsf{Hom}_{\mathsf{Cats}}(\mathcal{C},\mathcal{D}) \stackrel{\scriptscriptstyle\mathsf{def}}{=} \mathsf{Obj}(\mathsf{Fun}(\mathcal{C},\mathcal{D}));$$

· Identities. For each  $C \in Obj(Cats)$ , the unit map

$$\mathbb{F}_{C}^{\mathsf{Cats}} \colon \mathsf{pt} \longrightarrow \mathsf{Hom}_{\mathsf{Cats}}(C,C)$$

of Cats at C is defined by

$$id_C^{Cats} \stackrel{\text{def}}{=} id_C$$
,

where  $id_C: C \longrightarrow C$  is the identity functor of C of Example 2.1.2;

· Composition. For each  $C, \mathcal{D}, \mathcal{E} \in \mathsf{Obj}(\mathsf{Cats})$ , the composition map

$$\circ^{\mathsf{Cats}}_{\mathcal{C},\mathcal{D},\mathcal{E}} \colon \mathsf{Hom}_{\mathsf{Cats}}(\mathcal{D},\mathcal{E}) \times \mathsf{Hom}_{\mathsf{Cats}}(\mathcal{C},\mathcal{D}) \longrightarrow \mathsf{Hom}_{\mathsf{Cats}}(\mathcal{C},\mathcal{E})$$

of Cats at  $(C, \mathcal{D}, \mathcal{E})$  is given by

$$G \circ_{C,\mathcal{D},\mathcal{E}}^{\mathsf{Cats}} F \stackrel{\mathsf{def}}{=} G \circ F$$
,

where  $G \circ F \colon \mathcal{C} \longrightarrow \mathcal{E}$  is the composition of F and G of Proposition-Definition 2.1.4.

#### PROPOSITION 2.3.5 ▶ PROPERTIES OF THE CATEGORY Cats

Let C be a category.

1. Co/Completeness. The category Cats is complete and cocomplete.

2. Cartesian Monoidal Structure. The quadruple (Cats,  $\times$ , pt, Fun) is a Cartesian closed monoidal category.

#### PROOF 2.3.6 ➤ PROOF OF PROPOSITION 2.3.5

#### Item 1: Co/Completeness

See [Lor21, Proposition A.4.20].

#### Item 2: Cartesian Monoidal Structure

Omitted.



#### 2.3.3 The 2-Category of Categories, Functors, and Natural Transformations

### DEFINITION 2.3.7 ► THE 2-CATEGORY OF CATEGORIES

The 2-category of (small) categories, functors, and natural transformations is the 2-category  $\mathsf{Cats}_2$  where

- · Objects. The objects of Cats<sub>2</sub> are small categories;
- · Hom-Categories. For each  $C, \mathcal{D} \in \mathsf{Obj}(\mathsf{Cats}_2)$ , we have

$$\mathsf{Hom}_{\mathsf{Cats}_2}(\mathcal{C},\mathcal{D}) \stackrel{\mathsf{def}}{=} \mathsf{Fun}(\mathcal{C},\mathcal{D});$$

· Identities. For each  $C \in Obj(Cats_2)$ , the unit functor

$$\mathbb{F}_{C}^{\mathsf{Cats}_2} \colon \mathsf{pt} \longrightarrow \mathsf{Fun}(C,C)$$

of Cats<sub>2</sub> at C is the functor picking the identity functor  $id_C: C \longrightarrow C$  of C;

· Composition. For each  $C, \mathcal{D}, \mathcal{E} \in \mathsf{Obj}(\mathsf{Cats}_2)$ , the composition bifunctor

$$\circ^{\mathsf{Cats}_2}_{C,\mathcal{D},\mathcal{E}} \colon \operatorname{\mathsf{Hom}}_{\mathsf{Cats}_2}(\mathcal{D},\mathcal{E}) \times \operatorname{\mathsf{Hom}}_{\mathsf{Cats}_2}(C,\mathcal{D}) \longrightarrow \operatorname{\mathsf{Hom}}_{\mathsf{Cats}_2}(C,\mathcal{E})$$

of Cats<sub>2</sub> at  $(C, \mathcal{D}, \mathcal{E})$  is the functor where

· Action on Objects. For each object  $(G, F) \in \text{Obj}(\mathsf{Hom}_{\mathsf{Cats}_2}(\mathcal{O}, \mathcal{E}) \times \mathsf{Hom}_{\mathsf{Cats}_2}(\mathcal{C}, \mathcal{D}))$ , we have

$$\circ_{G,\mathcal{D},\mathcal{E}}^{\mathsf{Cats}_2}(G,F) \stackrel{\mathsf{def}}{=} G \circ F;$$

· Action on Morphisms. For each morphism  $(\beta, \alpha)$ :  $(K, H) \Longrightarrow (G, F)$  of  $\mathsf{Hom}_{\mathsf{Cats}_2}(\mathcal{D}, \mathcal{E}) \times \mathsf{Hom}_{\mathsf{Cats}_2}(C, \mathcal{D})$ , we have

$$\circ_{C,\mathcal{D},\mathcal{E}}^{\mathsf{Cats}_2}(\beta,\alpha) \stackrel{\mathsf{def}}{=} \beta * \alpha,$$

where  $\beta \star \alpha$  is the horizontal composition of  $\alpha$  and  $\beta$  of Definition 2.2.7.

#### 2.3.4 The Category of Groupoids

#### DEFINITION 2.3.8 ► THE CATEGORY OF SMALL GROUPOIDS

The **category of small groupoids** is the full subcategory Grpd of Cats spanned by the groupoids.

#### 2.3.5 The 2-Category of Groupoids

#### DEFINITION 2.3.9 ► THE 2-CATEGORY OF SMALL GROUPOIDS

The 2-category of small groupoids is the full sub-2-category  $\mathsf{Grpd}_2$  of  $\mathsf{Cats}_2$  spanned by the groupoids.

## 2.4 Equivalences of Categories

#### DEFINITION 2.4.1 ► EQUIVALENCES OF CATEGORIES

An **equivalence of categories** consists of a pair of functors

$$F: \mathcal{C} \rightleftarrows \mathcal{D}: G$$

together with natural isomorphisms  $F \circ G \cong id_{\mathcal{D}}$  and  $G \circ F \cong id_{\mathcal{C}}$ .

#### Proposition 2.4.2 ► Properties of Equivalences of Categories

Let  $F: \mathcal{C} \longrightarrow \mathcal{D}$  be a functor.

1. Characterisations. If C and D are small<sup>1</sup>, then the following conditions are equivalent:<sup>2</sup>

<sup>&</sup>lt;sup>1</sup>In this situation, some authors call the functor G a **quasi-inverse** to F.

- (a) The functor F is an equivalence of categories.
- (b) The functor *F* is fully faithful and essentially surjective.
- (c) The induced functor  $F|_{\mathsf{Sk}(C)}\colon \mathsf{Sk}(C)\longrightarrow \mathsf{Sk}(\mathcal{D})$  is an isomorphism of categories.
- 2. Two-Out-of-Three. Let

$$C \xrightarrow{G \circ F} \mathcal{E}$$

$$F \downarrow G$$

$$\mathcal{D}$$

$$(2.4.1)$$

be a diagram in Cats. If two out of the three functors among F, G, and  $G \circ F$  in Diagram (2.4.1) are equivalences of categories, then so is the third.

3. Stability Under Composition. Let

$$C \stackrel{F}{\underset{G}{\longleftarrow}} \mathcal{D} \stackrel{F'}{\underset{G'}{\longleftarrow}} \mathcal{E}$$

be a diagram in Cats. If (F,G) and (F',G') are equivalences of categories, then so is their composite  $(F' \circ F, G' \circ G)$ .

4. Equivalences vs. Adjoint Equivalences. Every equivalence of categories can be promoted to an adjoint equivalence.<sup>3</sup>

 $^{1}$ Otherwise there will be size issues here. One can also work with large categories and universes, or require F to be *constructively* essentially surjective; see [MSE 1465107].

<sup>2</sup>In ZFC, the equivalence between Item (a) and Item (b) is equivalent to the axiom of choice; see [MO 119454].

In Univalent Foundations, this is true without requiring neither the axiom of choice nor the law of the excluded middle.

<sup>3</sup>More precisely, we can promote an equivalence of categories  $(F, G, \eta, \varepsilon)$  to adjoint equivalences  $(F, G, \eta', \varepsilon)$  and  $(F, G, \eta, \varepsilon')$ .

#### PROOF 2.4.3 ► PROOF OF PROPOSITION 2.4.2

#### Item 1: Characterisations

We claim that Items (a) to (c) are indeed equivalent:

- 1. Item (a)  $\Longrightarrow$  Item (b). Clear.
- 2. Item (b)  $\implies$  Item (a). Since F is essentially surjective and C and D are

small, we can choose, using the axiom of choice, for each  $B \in \text{Obj}(\mathcal{D})$ , an object  $j_B$  of  $\mathcal{C}$  and an isomorphism  $i_B \colon B \longrightarrow F_{j_B}$  of  $\mathcal{D}$ .

Since F is fully faithful, we can extend the assignment  $B\mapsto j_B$  to a unique functor  $j\colon \mathcal{D}\longrightarrow C$  such that the isomorphisms  $i_B\colon B\longrightarrow F_{j_B}$  assemble into a natural isomorphism  $\eta\colon \mathrm{id}_{\mathcal{D}}\stackrel{\cong}{\Longrightarrow} F\circ j$ , with a similar natural isomorphism  $\varepsilon\colon \mathrm{id}_{C}\stackrel{\cong}{\Longrightarrow} j\circ F$ . Hence F is an equivalence.

3.  $Item(a) \Longrightarrow Item(c)$ . This follows from ??.

#### Item 2: Two-Out-of<u>-Three</u>

Omitted.

#### Item 3: Stability Under Composition

Clear.

#### Item 4: Equivalences vs. Adjoint Equivalences

See [Rie17, Proposition 4.4.5].

#### 2.4.1 Isomorphisms of Categories

#### DEFINITION 2.4.4 ► ISOMORPHISMS OF CATEGORIES

An **isomorphism of categories** is a pair of functors

$$F: C \rightleftarrows \mathcal{D}: G$$

such that  $F \circ G = id_{\mathcal{D}}$  and  $G \circ F = id_{\mathcal{C}}$ .

#### EXAMPLE 2.4.5 ► EQUIVALENT BUT NON-ISOMORPHIC CATEGORIES

For an example of two categories which are equivalent but non-isomorphic, see [Lor21, Example A.3.12].

#### Proposition 2.4.6 ➤ Properties of Isomorphisms of Categories

Let  $F: \mathcal{C} \longrightarrow \mathcal{D}$  be a functor.

1. Characterisations. If C and  $\mathcal D$  are small, then the following conditions are equivalent:

- (a) The functor F is an isomorphism of categories.
- (b) The functor *F* is fully faithful and a bijection on objects.

#### PROOF 2.4.7 ▶ PROOF OF PROPOSITION 2.4.6

#### Item 1: Characterisations

Omitted, but similar to Item 1 of Proposition 2.4.2.

# 3 Profunctors

#### 3.1 Foundations

Let C and D be categories.

#### **DEFINITION 3.1.1** ► **PROFUNCTORS**

A **profunctor**<sup>1</sup>  $\mathfrak{p}: C \longrightarrow \mathcal{D}$  from C to  $\mathcal{D}$  is a functor  $\mathfrak{p}: \mathcal{D}^{op} \times C \longrightarrow \mathsf{Sets}$ .

<sup>1</sup>Further Terminology: Also called a **distributor**, a **bimodule**, a **correspondence**, or a **relator**.

#### REMARK 3.1.2 ► EQUIVALENT DEFINITIONS OF PROFUNCTORS

Equivalently, we may define a profunctor from C to  $\mathcal D$  as:

- 1. A functor  $\mathfrak{p} \colon \mathcal{D}^{\mathsf{op}} \times \mathcal{C} \longrightarrow \mathsf{Sets};$
- 2. A functor  $\mathfrak{p} \colon C \longrightarrow \mathsf{PSh}(\mathcal{D});$
- 3. A functor  $\mathfrak{p} \colon \mathcal{D}^{\mathsf{op}} \longrightarrow \mathsf{Fun}(\mathcal{C},\mathsf{Sets});$
- 4. A cocontinuous functor  $\mathfrak{p} \colon \mathsf{PSh}(C) \longrightarrow \mathsf{PSh}(\mathcal{D});$

That is, we have isomorphisms of categories

$$Prof(C, \mathcal{D}) \cong Fun(C, PSh(\mathcal{D})),$$

$$\cong Fun(\mathcal{D}^{op}, CoPSh(C)),$$

$$\cong Fun^{cocont}(PSh(C), PSh(\mathcal{D})),$$

natural in  $C, \mathcal{D} \in Obj(Cats)$ .

#### PROOF 3.1.3 ▶ PROOF OF REMARK 3.1.2

We claim that Items 1 to 4 are indeed equivalent:

• The equivalence between <a href="Items1">Items1</a> and 2 is an instance of currying, following from the isomorphisms of categories

$$\mathsf{Fun}\big(\mathcal{D}^\mathsf{op} \times C, \mathsf{Sets}\big) \cong \mathsf{Fun}\big(C, \mathsf{Fun}\big(\mathcal{D}^\mathsf{op}, \mathsf{Sets}\big)\big) \qquad \qquad (\mathsf{Item\,3\,of\,Proposition\,2.3.2}) \\ \stackrel{\mathsf{def}}{=} \mathsf{Fun}(C, \mathsf{PSh}(\mathcal{D})).$$

• The equivalence between <a href="Items">Items</a> 1 and 3 is also an instance of currying, following from the isomorphisms of categories

$$\operatorname{\mathsf{Fun}}(\mathcal{D}^{\operatorname{\mathsf{op}}} \times C, \operatorname{\mathsf{Sets}}) \cong \operatorname{\mathsf{Fun}}(\mathcal{D}^{\operatorname{\mathsf{op}}}, \operatorname{\mathsf{Fun}}(C, \operatorname{\mathsf{Sets}})) \qquad (\operatorname{\mathsf{Item 3}} \operatorname{\mathsf{of}} \operatorname{\mathsf{Proposition 2.3.2}})$$

$$\stackrel{\mathsf{def}}{=} \operatorname{\mathsf{Fun}}(\mathcal{D}^{\operatorname{\mathsf{op}}}, \operatorname{\mathsf{Fun}}(C, \operatorname{\mathsf{Sets}})).$$

• The equivalence between ltems 1 and 4 follows from the universal property of the category PSh(C) of presheaves on C as the free cocompletion of C via the Yoneda embedding

$$\sharp : C^{\mathsf{op}} \hookrightarrow \mathsf{PSh}(C)$$

of C into PSh(C) (?? of Proposition 7.3.2).

This finishes the proof.

3.2

# The Bicategory of Profunctors

#### DEFINITION 3.2.1 ► THE BICATEGORY OF PROFUNCTORS

The **bicategory of profunctors** is the bicategory Prof where<sup>1</sup>

- 1. Objects. The objects of Prof are categories;
- 2. 1-Morphisms. The 1-morphisms of Prof are profunctors;
- 3. 2-Morphisms. The 2-morphisms of Prof are natural transformations between profunctors;
- 4. *Identities*. For each  $C \in Obj(Prof)$ , we have

$$id_{\mathcal{C}}^{\mathsf{Prof}} \stackrel{\mathsf{def}}{=} \mathsf{Hom}_{\mathcal{C}}(-,-);$$

5. Composition. For each  $C, \mathcal{D}, \mathcal{E} \in \mathsf{Obj}(\mathsf{Prof})$ , the composition bifunctor

$$\diamond \colon \mathsf{Prof}(\mathcal{D}, \mathcal{E}) \times \mathsf{Prof}(\mathcal{C}, \mathcal{D}) \longrightarrow \mathsf{Prof}(\mathcal{C}, \mathcal{E})$$

is defined on objects by sending profunctors  $\mathfrak{p}\colon C \longrightarrow \mathcal{D}$  and  $\mathfrak{q}\colon \mathcal{D} \longrightarrow \mathcal{E}$  to the profunctor  $\mathfrak{q} \diamond \mathfrak{p}$  of Definition 3.3.2.

<sup>1</sup>The bicategory Prof admits a nice strictification to a 2-category: it is biequivalent to the subbicategory of Cats spanned by the presheaf categories, cocontinuous functors between them, and natural transformation between these.

#### Proof 3.2.2 ▶ Proof of Definition 3.2.1

See Enriched Categories, Proposition-Definition 4.1.4.

# 3.3 Operations With Profunctors

#### 3.3.1 The Domain and Range of a Profunctor

#### DEFINITION 3.3.1 ► THE DOMAIN AND RANGE OF A PROFUNCTOR

Let  $\mathfrak{p}: C \longrightarrow \mathcal{D}$  be a profunctor.<sup>1</sup>

1. The **domain of**  $\mathfrak{p}$  is the presheaf dom( $\mathfrak{p}$ ):  $\mathcal{D}^{\mathsf{op}} \longrightarrow \mathsf{Sets}$  on  $\mathcal{D}$  defined by

$$\operatorname{dom}(\mathfrak{p})^{-} \stackrel{\text{def}}{=} \operatorname{colim}_{B \in \mathcal{D}} (\mathfrak{p}_{B}^{-}).$$

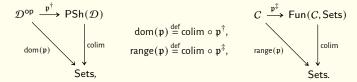
2. The **range of**  $\mathfrak{p}$  is the copresheaf range( $\mathfrak{p}$ ):  $C \longrightarrow \mathsf{Sets}$  on C defined by

$$\mathsf{range}(\mathfrak{p})_{-} \stackrel{\mathsf{def}}{=} \underset{A \in \mathcal{D}}{\mathsf{colim}} \Big( \mathfrak{p}_{-}^{A} \Big).$$

<sup>1</sup>In other words, the domain and range of p are the functors

$$dom(\mathfrak{p}) \colon \mathcal{D}^{op} \longrightarrow \mathsf{Sets},$$
  
 $range(\mathfrak{p}) \colon \mathcal{C} \longrightarrow \mathsf{Sets}$ 

defined by



#### 3.3.2 Composition of Profunctors

Let  $\mathcal{C}$ ,  $\mathcal{D}$ , and  $\mathcal{E}$  be categories and let  $\mathfrak{p}: \mathcal{C} \to \mathcal{D}$  and  $\mathfrak{q}: \mathcal{D} \to \mathcal{E}$  be profunctors.

#### **DEFINITION 3.3.2** ► COMPOSITION OF PROFUNCTORS

The **composition of**  $\mathfrak{p}$  **and**  $\mathfrak{q}$  is the profunctor  $\mathfrak{q} \diamond \mathfrak{p} \colon C \longrightarrow \mathcal{E}$  defined by

$$(\mathfrak{q} \diamond \mathfrak{p})_{-2}^{-1} \stackrel{\text{def}}{=} \int^{B \in \mathcal{D}} \mathfrak{q}_B^{-1} \times \mathfrak{p}_{-2}^B.$$

<sup>1</sup>Alternatively, we may define  $q \diamond p$  (using the equivalent definition of Item 2 of Remark 3.1.2) by

$$(\mathfrak{q} \diamond \mathfrak{p})^{\dagger} \stackrel{\text{def}}{=} \mathsf{Lan}_{\, \sharp} \left( \mathfrak{p}^{\dagger} \right) \circ \mathfrak{q}^{\dagger}, \qquad \qquad \downarrow \qquad \qquad \downarrow \\ \mathcal{E} \xrightarrow{\mathfrak{q}^{\dagger}} \mathsf{PSh}(\mathcal{D})$$

#### 3.3.3 Representable Profunctors

#### DEFINITION 3.3.3 ► THE REPRESENTABLE PROFUNCTOR ASSOCIATED TO A FUNCTOR

The **representable profunctor associated to a functor**  $F: \mathcal{C} \longrightarrow \mathcal{D}$  is the profunctor  $\widehat{F}^*: \mathcal{C} \longrightarrow \mathcal{D}$  defined as the adjunct of the composition

$$C \xrightarrow{F} \mathcal{D} \xrightarrow{\sharp} \mathsf{PSh}(\mathcal{D})$$

under the adjunction

$$\operatorname{\mathsf{Fun}}(\mathcal{D}^{\operatorname{\mathsf{op}}} \times \mathcal{C}, \operatorname{\mathsf{Sets}}) \cong \operatorname{\mathsf{Fun}}(\mathcal{C}, \operatorname{\mathsf{PSh}}(\mathcal{D}))$$

of Item 3 of Proposition 2.3.2.1

$$\widehat{F}^* \stackrel{\text{def}}{=} \text{Hom}_{\mathcal{D}}(-_1, F_{-_2}).$$

#### **DEFINITION 3.3.4** ► **REPRESENTABLE PROFUNCTORS**

A profunctor is **representable** if it is isomorphic to a representable profunctor.

<sup>&</sup>lt;sup>1</sup>That is, we have

# DEFINITION 3.3.5 ► THE COREPRESENTABLE PROFUNCTOR ASSOCIATED TO A FUNCTOR

The **corepresentable**<sup>1</sup> **profunctor associated to a functor**  $F: \mathcal{C} \longrightarrow \mathcal{D}$  is the profunctor  $\widehat{F}_*: \mathcal{D} \longrightarrow \mathcal{C}$  defined as the adjunct of the composition

$$C^{\mathsf{op}} \xrightarrow{F^{\mathsf{op}}} \mathcal{D}^{\mathsf{op}} \xrightarrow{:} \mathsf{CoPSh}(\mathcal{D})$$

under the adjunction

$$\operatorname{\mathsf{Fun}}(\mathcal{C}^{\operatorname{\mathsf{op}}} \times \mathcal{D}, \operatorname{\mathsf{Sets}}) \cong \operatorname{\mathsf{Fun}}(\mathcal{C}^{\operatorname{\mathsf{op}}}, \operatorname{\mathsf{CoPSh}}(\mathcal{D}))$$

of Item 3 of Proposition 2.3.2.2

$$\widehat{F}_* \stackrel{\text{def}}{=} \text{Hom}_{\mathcal{D}}(F_{-1}, -2, ).$$

#### **DEFINITION 3.3.6** ► **COREPRESENTABLE PROFUNCTORS**

A profunctor is **corepresentable** if it is isomorphic to a corepresentable profunctor.

#### 3.3.4 Collages

Let C and  $\mathcal{D}$  be categories.

#### **DEFINITION 3.3.7** ► THE COLLAGE OF A PROFUNCTOR

The **collage** of a profunctor  $\mathfrak{p}: \mathcal{C} \longrightarrow \mathcal{D}$  is the category  $Coll(\mathfrak{p})^1$  where<sup>2</sup>

· Objects. We have

$$Obj(Coll(\mathfrak{p})) \stackrel{\text{def}}{=} Obj(C) \coprod Obj(\mathfrak{D});$$

· Morphisms. For each  $A, B \in \mathsf{Obj}(\mathsf{Coll}(\mathfrak{p}))$ , we have

$$\mathsf{Hom}_{\mathsf{Coll}(\mathfrak{p})}(A,B) \stackrel{\mathsf{def}}{=} \begin{cases} \mathsf{Hom}_{C}(A,B) & \mathsf{if}\, A,B \in \mathsf{Obj}(C), \\ \mathsf{Hom}_{\mathcal{D}}(A,B) & \mathsf{if}\, A,B \in \mathsf{Obj}(\mathcal{D}), \\ \mathfrak{p}(A,B) & \mathsf{if}\, A \in \mathsf{Obj}(C) \, \mathsf{and} \, B \in \mathsf{Obj}(\mathcal{D}), \\ \emptyset & \mathsf{if}\, A \in \mathsf{Obj}(\mathcal{D}) \, \mathsf{and} \, B \in \mathsf{Obj}(C); \end{cases}$$

· *Identities.* For each  $A \in Obj(Coll(\mathfrak{p}))$ , the unit map

$$\mathbb{F}_{A}^{\mathsf{Coll}(\mathfrak{p})} : \mathsf{pt} \longrightarrow \mathsf{Hom}_{\mathsf{Coll}(\mathfrak{p})}(A, A)$$

<sup>&</sup>lt;sup>1</sup>Some authors call both  $\widehat{F}^*$  and  $\widehat{F}_*$  the **representable profunctors associated to** F.

<sup>&</sup>lt;sup>2</sup>That is:

of  $Coll(\mathfrak{p})$  at A is defined by

$$id_{A} \stackrel{\text{def}}{=} \begin{cases} id_{A}^{C} & \text{if } A \in Obj(C), \\ id_{A}^{D} & \text{if } A \in Obj(D); \end{cases}$$

· Composition. For each  $A, B, C \in \mathsf{Obj}(\mathsf{Coll}(\mathfrak{p}))$ , the composition map

$$\circ_{A,B,C}^{\operatorname{coll}(\mathfrak{p})} \colon \operatorname{Hom}_{\operatorname{Coll}(\mathfrak{p})}(B,C) \times \operatorname{Hom}_{\operatorname{Coll}(\mathfrak{p})}(A,B) \longrightarrow \operatorname{Hom}_{\operatorname{Coll}(\mathfrak{p})}(A,C)$$
 of  $\operatorname{Coll}(\mathfrak{p})$  at  $(A,B,C)$  is defined by<sup>3</sup>

$$\circ^{\operatorname{Coll}(\mathfrak{p})}_{A,B,C} \stackrel{\operatorname{def}}{=} \begin{cases} \circ^{C}_{A,B,C} & \text{if } A,B,C \in \operatorname{Obj}(C), \\ \mathfrak{p}^{A,B}_{C} & \text{if } A,B \in \operatorname{Obj}(C) \text{ and } C \in \operatorname{Obj}(\mathcal{D}), \\ \iota & \text{if } A,C \in \operatorname{Obj}(C) \text{ and } B \in \operatorname{Obj}(\mathcal{D}), \\ \iota & \text{if } B,C \in \operatorname{Obj}(C) \text{ and } A \in \operatorname{Obj}(\mathcal{D}), \\ \mathfrak{p}^{A}_{B,C} & \text{if } A \in \operatorname{Obj}(C) \text{ and } B,C \in \operatorname{Obj}(\mathcal{D}), \\ \iota & \text{if } B \in \operatorname{Obj}(C) \text{ and } A,C \in \operatorname{Obj}(\mathcal{D}), \\ \iota & \text{if } C \in \operatorname{Obj}(C) \text{ and } A,B \in \operatorname{Obj}(\mathcal{D}), \\ \circ^{\mathcal{D}}_{A,B,C} & \text{if } A,B,C \in \operatorname{Obj}(\mathcal{D}). \end{cases}$$

· Actions on Objects. For each  $A \in Obj(Coll(\mathfrak{p}))$ , we have

$$\phi_A \stackrel{\text{def}}{=} \begin{cases} [0] & \text{if } A \in \mathsf{Obj}(C), \\ [1] & \text{if } A \in \mathsf{Obj}(\mathcal{D}). \end{cases}$$

· Actions on Morphisms. For each  $A, B \in Obj(Coll(\mathfrak{p}))$ , the action on morphisms

$$\phi_{A,B} : \operatorname{\mathsf{Hom}}_{\operatorname{\mathsf{Coll}}(\mathfrak{p})}(A,B) \longrightarrow \operatorname{\mathsf{Hom}}_{\operatorname{\mathsf{Coll}}(\mathfrak{p})}(\phi_A,\phi_B)$$

of  $\phi$  at (A, B) is given by

$$\phi_{A,B}(f) \stackrel{\mathrm{def}}{=} \begin{cases} \mathrm{id}_{[0]} & \text{if } A,B \in \mathrm{Obj}(\mathcal{C}), \\ \mathrm{id}_{[1]} & \text{if } A,B \in \mathrm{Obj}(\mathcal{D}), \\ [0] \to [1] & \text{if } A \in \mathrm{Obj}(\mathcal{C}) \text{ and } B \in \mathrm{Obj}(\mathcal{D}). \end{cases}$$

If  $A \in \text{Obj}(\mathcal{D})$  and  $B \in \text{Obj}(C)$ , we have  $\phi_{A,B} \stackrel{\text{def}}{=} \text{id}_{\emptyset}$ .

 ${}^3 ext{Here the maps }\mathfrak{p}_C^{A,B} ext{ and }\mathfrak{p}_{B,C}^A ext{ are the maps}$ 

$$\begin{split} \mathfrak{p}_{C}^{A,B} \colon \mathfrak{p}_{C}^{B} \times \operatorname{Hom}_{C}(A,B) &\longrightarrow \mathfrak{p}_{C}^{A}, \\ \mathfrak{p}_{B,C}^{A} \colon \operatorname{Hom}_{\mathcal{D}}(B,C) \times \mathfrak{p}_{B}^{A} &\longrightarrow \mathfrak{p}_{C}^{A}, \end{split}$$

coming from the profunctor structure of  $\mathfrak p$  and the  $\imath$ 's are inclusions of the empty set into the appropriate Hom sets.

<sup>&</sup>lt;sup>1</sup> Further Notation: Also written  $C \star^{\mathfrak{p}} \mathcal{D}$ , notably in [Luro9, Section 2.3.1].

<sup>&</sup>lt;sup>2</sup>We also have a functor  $\phi$ : Coll( $\mathfrak{p}$ )  $\longrightarrow$  / where

#### Example 3.3.8 $\blacktriangleright$ The Collage of $\Delta_{pt}$ ([Luro9, Remark 2.3.1.1])

If  $\mathfrak{p}$  is the constant functor  $\Delta_{pt} \colon \mathcal{D}^{op} \times C \longrightarrow \mathsf{Sets}$  with value pt, then  $\mathsf{Coll}(\mathfrak{p})$  is the join  $C \star \mathcal{D}$  of C and D of C?.

#### PROPOSITION 3.3.9 ► PROPERTIES OF COLLAGES

Let  $\mathfrak{p} \colon C \longrightarrow \mathcal{D}$  be a profunctor.

1. Functoriality. The assignment  $\mathfrak{p} \mapsto \operatorname{Coll}(\mathfrak{p})$  defines a functor<sup>1</sup>

$$Coll_{\mathcal{C},\mathcal{D}} : Prof(\mathcal{C},\mathcal{D}) \longrightarrow Cats_{//}(\mathcal{C},\mathcal{D}),$$

where

· Action on Objects. For each  $\mathfrak{p} \in \mathsf{Obj}(\mathsf{Prof}(\mathcal{C},\mathcal{D}))$ , we have

$$[Coll](\mathfrak{p}) \stackrel{\text{def}}{=} Coll(\mathfrak{p});$$

· Action on Morphisms. For each  $\mathfrak{p},\mathfrak{q}\in\mathsf{Obj}(\mathsf{Prof}(C,\mathcal{D})),$  the action on Hom-sets

$$Coll_{\mathfrak{p},\mathfrak{q}} \colon Nat(\mathfrak{p},\mathfrak{q}) \longrightarrow Fun_{//}(Coll(\mathfrak{p}),Coll(\mathfrak{q}))$$

of Coll at  $(\mathfrak{p},\mathfrak{q})$  is the function sending a natural transformation  $\alpha\colon\mathfrak{p}\Longrightarrow\mathfrak{q}$  to the functor

$$Coll(\alpha): Coll(\mathfrak{p}) \longrightarrow Coll(\mathfrak{q})$$

over / where

· Action on Objects. For each  $X \in Obj(Coll(\mathfrak{p}))$ , we have

$$[Coll(\alpha)](X) \stackrel{\text{def}}{=} X;$$

· Action on Morphisms. For each  $X,Y\in \mathsf{Obj}(\mathsf{Coll}(\mathfrak{p}))$ , the action on Hom-sets

$$\operatorname{Coll}(\alpha)_{X,Y} \colon \operatorname{Hom}_{\operatorname{Coll}(\mathfrak{p})}(X,Y) \longrightarrow \underbrace{\operatorname{Hom}_{\operatorname{Coll}(\mathfrak{q})}([\operatorname{Coll}(\alpha)](X),[\operatorname{Coll}(\alpha)](Y))}_{\stackrel{\underline{\operatorname{def}}}{=} \operatorname{Hom}_{\operatorname{Coll}(\mathfrak{q})}(X,Y)}$$

of  $Coll(\alpha)$  at (X, Y) is defined as follows:

· If  $X, Y \in \text{Obj}(C)$  or  $X, Y \in \text{Obj}(\mathcal{D})$ , then we have

$$Coll(\alpha)_{X,Y}(f) \stackrel{\text{def}}{=} f$$

for each  $f \in \text{Hom}_{\text{Coll}(\mathfrak{p})}(X, Y)$ .

· If 
$$X \in \mathsf{Obj}(\mathcal{C})$$
 and  $Y \in \mathsf{Obj}(\mathcal{D})$ , then

$$\operatorname{Coll}(\alpha)_{X,Y} \colon \underbrace{\operatorname{Hom}_{\operatorname{Coll}(\mathfrak{p})}(X,Y)}_{\overset{\operatorname{def}_{X}}{=}\mathfrak{p}_{V}^{X}} \longrightarrow \underbrace{\operatorname{Hom}_{\operatorname{Coll}(\mathfrak{q})}(X,Y)}_{\overset{\operatorname{def}_{X}}{=}\mathfrak{q}_{V}^{X}}$$

is defined by

$$Coll(\alpha)_{X,Y}(f) \stackrel{\text{def}}{=} \alpha_Y^X;$$

· If  $Y \in \mathsf{Obj}(C)$  and  $X \in \mathsf{Obj}(\mathcal{D})$ , then we have

$$Coll(\alpha)_{X,Y}(f) \stackrel{\text{def}}{=} id_{\emptyset}.$$

2. Collages as Lax Colimits. We have an isomorphism of categories

$$Coll(\mathfrak{p}) \cong colim^{lax}(\mathfrak{p}),$$

functorial in  $\mathfrak{p}$ , where the above lax colimit is taken in the bicategory Prof.

3. Profunctors vs. Collages. We have an equivalence of categories

(Coll 
$$\dashv \Gamma$$
):  $\operatorname{Prof}(C, \mathcal{D}) \xrightarrow{\operatorname{Coll}} \operatorname{Cats}_{//}$ 

where  $\Gamma\colon \mathsf{Cats}_{//} \longrightarrow \mathsf{Prof}(\mathcal{C},\mathcal{D})$  is the functor sending a functor  $\mathcal{E} \longrightarrow \mathcal{D}$  to the profunctor

$$\Gamma(\mathfrak{p}): \mathcal{C} \longrightarrow \mathcal{D}$$

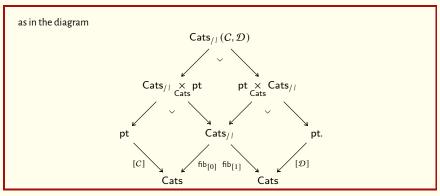
given on objects by

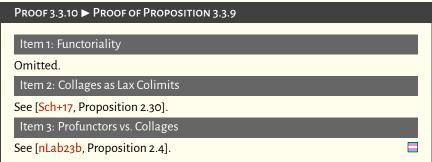
$$\Gamma(\mathfrak{p})_{B}^{A} \stackrel{\text{def}}{=} \operatorname{Hom}_{\mathcal{E}}(A, B)$$

for each  $A, B \in Obj(\mathcal{E})$ .

$$\mathsf{Cats}_{//}(C, \mathcal{D}) \stackrel{\mathsf{def}}{=} \mathsf{pt} \underset{[C].\mathsf{Cats},\mathsf{fibo}}{\times} \mathsf{Cats}_{//} \underset{\mathsf{fib},\mathsf{Cats},[\mathcal{D}]}{\times} \mathsf{pt},$$

 $<sup>^1</sup>$ Here  $\mathsf{Cats}_{//}(C,\mathcal{D})$  is the category defined as the pullback





# **3.4** Properties of Prof

#### PROPOSITION 3.4.1 ► PROPERTIES OF THE BICATEGORY OF PROFUNCTORS

Let C and D be categories.

1. *Self-Duality*. The bicategory Prof is self-dual: we have a biequivalence of bicategories

$$(-)^{op} \colon \mathsf{Prof} \xrightarrow{\cong} \mathsf{Prof}^{op}$$

where

- · Action on Objects. The functor  $(-)^{op}$  sends categories to their opposites;
- · Action on 1-Morphisms. The functor  $(-)^{op}$  sends profunctors to itself under the identification

$$\mathsf{Prof}(\mathcal{C}, \mathcal{D}) \stackrel{\mathsf{def}}{=} \mathsf{Fun}(\mathcal{D}^{\mathsf{op}} \times \mathcal{C}, \mathsf{Sets}),$$

$$\cong \operatorname{\mathsf{Fun}}(C \times \mathcal{D}^{\operatorname{\mathsf{op}}}, \operatorname{\mathsf{Sets}}),$$
  
 $\stackrel{\text{\tiny def}}{=} \operatorname{\mathsf{Prof}}(\mathcal{D}^{\operatorname{\mathsf{op}}}, C^{\operatorname{\mathsf{op}}});$ 

- · Action on 2-Morphisms. The functor  $(-)^{op}$  sends natural transformations between profunctors to themselves.
- 2. *Relation to* Cats. The co/representable profunctor constructions of Definitions 3.3.3 and 3.3.5 define embeddings of bicategories

$$Cats^{op} \hookrightarrow Prof$$
,  
 $Cats^{co} \hookrightarrow Prof$ .

- 3. Equivalences in Prof and Cauchy Completions. Every category is equivalent to its Cauchy completion in Prof.
- 4. Equivalences in Prof. The following conditions are equivalent:
  - (a) The categories C and  $\mathcal{D}$  are equivalent in Prof.
  - (b) The categories PSh(C) and PSh(D) are equivalent in Cats<sub>2</sub>.
  - (c) The Cauchy completions of C and D are equivalent in Cats<sub>2</sub>.
- 5. Adjunctions in Prof. Let C and  $\mathcal D$  be categories. The following data are equivalent:
  - (a) An adjunction in Prof from C to  $\mathcal{D}$ .
  - (b) A functor from C to the Cauchy completion  $\overline{\mathcal{D}}$  of  $\mathcal{D}$ .
  - (c) A semifunctor from C to  $\mathcal{D}$ .
- 6. As a Kleisli Bicategory. We have a biequivalence of bicategories

$$Prof \cong FreePsAlg_{PSh}$$

where PSh is the presheaf category relative pseudomonad of [Fio+18, Example 3.9].

- 7. Closedness. The bicategory Prof is a closed bicategory, where given a profunctor  $\mathfrak{p}: \mathcal{C} \longrightarrow \mathcal{D}$  and a category  $\mathcal{X}:$ 
  - · Right Kan Extensions. The right adjoint

$$Ran_{\mathfrak{p}} \colon Rel(\mathcal{C}, \mathcal{X}) \longrightarrow Rel(\mathcal{D}, \mathcal{X})$$

to the precomposition functor  $\mathfrak{p}^*\colon \operatorname{Rel}(\mathcal{D},\mathcal{X}) \longrightarrow \operatorname{Rel}(C,\mathcal{X})$  is given by

$$\operatorname{\mathsf{Ran}}_{\mathfrak{p}}(\mathfrak{q}) \stackrel{\mathsf{def}}{=} \int_{A \in C} \operatorname{\mathsf{Sets}} \left( \mathfrak{p}_A^{-2}, \mathfrak{q}_A^{-1} \right)$$

for each  $\mathfrak{q} \in \text{Rel}(C, X)$ .

· Right Kan Lifts. The right adjoint to the postcomposition functor

$$\mathsf{Rift}_{\mathfrak{p}} \colon \mathsf{Rel}(\mathcal{X}, \mathcal{D}) \longrightarrow \mathsf{Rel}(\mathcal{X}, \mathcal{C})$$

to the postcomposition functor  $\mathfrak{p}_*\colon \operatorname{Rel}(\mathcal{X},\mathcal{C}) \longrightarrow \operatorname{Rel}(\mathcal{X},\mathcal{D})$  is given by

$$\mathsf{Rift}_{\mathfrak{p}}(\mathfrak{q}) \stackrel{\mathsf{def}}{=} \int_{B \in \mathcal{D}} \mathsf{Sets} \Big( \mathfrak{p}_{-1}^B, \mathfrak{q}_{-2}^B \Big)$$

for each  $\mathfrak{q} \in \operatorname{Rel}(X, \mathcal{D})$ .

8. *Un/Straightening for Profunctors: Two-Sided Discrete Fibrations.* We have an equivalence of categories

$$\mathsf{Prof}(\mathcal{C}, \mathcal{D}) \cong \mathsf{DFib}(\mathcal{C}, \mathcal{D}).$$

#### PROOF 3.4.2 ▶ PROOF OF PROPOSITION 3.4.1

Item 1: Self-Duality

See [Lor21, Proposition 5.3.1].

Item 2: Relation to Cats

See [Lor21, Section 5.2].

Item 3: Equivalences in Prof and Cauchy Completions

See [Bor94a, Theorem 7.9.4].

Item 4: Equivalences in Prof

See [Bor94a, Theorem 7.9.4].

Item 5: Adjunctions in Prof

Omitted.

Item 6: As a Kleisli Bicategory

See [Fio+18, Example 4.2].

#### Item 7: Closedness

Omitted.

Item 8: Un/Straightening for Profunctors: Two-Sided Discrete Fibrations

See [Rie10, Theorem 2.3.2]

# 4 Monomorphisms

### 4.1 Foundations

Let C be a category.

#### **DEFINITION 4.1.1** ► MONOMORPHISMS

A morphism  $m: A \longrightarrow B$  of C is a **monomorphism** if for every commutative<sup>1</sup> diagram of the form

$$C \xrightarrow{f} A \xrightarrow{m} B$$
,

we have f = g.

<sup>1</sup>That is, with  $m \circ f = m \circ g$ .

#### **EXAMPLE 4.1.2** ► **MONOMORPHISMS IN Sets**

Let  $f: A \longrightarrow B$  be a function. The following conditions are equivalent:

- 1. The function *f* is injective.
- 2. The function *f* is a monomorphism in Sets.

#### PROOF 4.1.3 ▶ PROOF OF EXAMPLE 4.1.2

Suppose that f is a monomorphism and consider the following diagram:

$$\{*\} \xrightarrow{[v]} A \xrightarrow{f} B,$$

where [x] and [y] are the morphisms picking the elements x and y of A. Then f(x) = f(y) iff  $f \circ [x] = f \circ [y]$ , implying [x] = [y], and hence x = y. Therefore

f is injective.

Conversely, suppose that f is injective. Proceeding by contrapositive, we claim that given a pair of maps  $g,h:C \Longrightarrow A$  such that  $g \ne h$ , then  $f \circ g \ne f \circ h$ . Indeed, as g and h are different maps, there exists must exist at least one element  $x \in C$  such that  $g(x) \ne h(x)$ . But then we have  $f(g(x)) \ne f(h(x))$ , as f is injective. Thus  $f \circ g \ne f \circ h$ , and we are done.

#### PROPOSITION 4.1.4 ▶ PROPERTIES OF MONOMORPHISMS

Let C be a category with pullbacks and  $f: A \longrightarrow B$  be a morphism of C.

- 1. Characterisations. The following conditions are equivalent:
  - (a) The morphism f is a monomorphism.
  - (b) For each  $X \in Obj(C)$ , the map of sets

$$f_*: \operatorname{\mathsf{Hom}}_{\mathsf{Sets}}(X,A) \longrightarrow \operatorname{\mathsf{Hom}}_{\mathsf{Sets}}(X,B)$$

is injective.

(c) The kernel pair of f is trivial, i.e. we have

$$A \times_B A \cong A$$
,  $A \xrightarrow{\operatorname{id}_A} A$ 

$$A \xrightarrow{\operatorname{id}_A} A \xrightarrow{\operatorname{id}_A} A$$

$$A \xrightarrow{\operatorname{id}_A} B.$$

- 2. Monomorphisms vs. Injective Maps. Let
  - $\cdot C$  be a concrete category;
  - · 志:  $C \longrightarrow \mathsf{Sets}$  be the forgetful functor from C to  $\mathsf{Sets}$ ;
  - $\cdot f: A \longrightarrow B$  be a morphism of C.

If 忘 preserves pullbacks, then the following conditions are equivalent:

- (a) The morphism f is a monomorphism.
- (b) The morphism *f* is injective.
- 3. *Stability Properties*. The class of all monomorphisms of *C* is stable under the following operations:

- (a) Composition. If f and g are monomorphisms, then so is  $g \circ f$ .
- (b) Pullbacks. Let



be a diagram in C. If m is a monomorphism in C, then so is m'.

4. Morphisms From the Terminal Object Are Monomorphisms. If C has a terminal object  $\mathbb{F}_C$ , then every morphism of C from  $\mathbb{F}_C$  is a monomorphism.

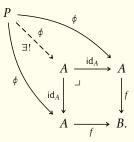
<sup>1</sup>Conversely, if  $g \circ f$  is a monomorphism, then so is f.

#### PROOF 4.1.5 ► PROOF OF PROPOSITION 4.1.4

#### Item 1: Characterisations

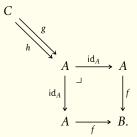
The equivalence between Items (a) and (b) is clear. We claim that Items (a) and (c) are equivalent:

1.  $Item(a) \Longrightarrow Item(c)$ : Suppose that f is a monomorphism. Then A satisfies the universal property of the pullback:

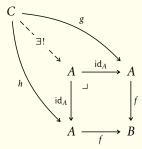


2.  $Item(c) \Longrightarrow Item(a)$ : Suppose that  $A \cong A \times_B A$  and let  $g, h: C \Longrightarrow A$  be

a pair of morphisms. Consider the diagram



The universal property of the pullback says that there exists a unique morphism  $C \longrightarrow A$  making the diagram



commute, which implies g = h. Therefore, f is a monomorphism.

#### Item 2: Monomorphisms vs. Injective Maps

Assume that f is injective. As the forgetful functor from C to Sets is faithful, we see that Proposition 4.2.2 together with  $\ref{eq:continuous}$  imply that f is a monomorphism.

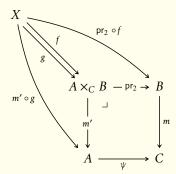
Conversely, assume that f is a monomorphism. As F preserves pullbacks, it also preserves kernel pairs. By  $\ref{eq:properserves}$ , we see that F preserves monomorphisms. Thus  $F_f$  is a monomorphism, and hence is injective by  $\ref{eq:properserves}$ .

#### Item 3: Stability Properties

Let  $f, g: X \Longrightarrow A \times_C B$  be two morphisms such that the diagram

$$X \xrightarrow{f} A \times_C B \xrightarrow{m'} A$$

commutes. It follows that the diagram



also commutes. From the universal property of the pullback, it follows that there must be precisely one morphism from X to  $A \times_C B$  making the above diagram commute. Thus f = g and m' is a monomorphism.

# Item 4: Morphisms From the Terminal Object Are Monomorphisms

Clear.

# 4.2 Monomorphism-Reflecting Functors

#### Definition 4.2.1 ► Monomorphism-Reflecting Functors

A functor  $F: C \longrightarrow \mathcal{D}$  **reflects monomorphisms** if, for each morphism f of C, whenever  $F_f$  is a monomorphism, so is f.

#### Proposition 4.2.2 ► Faithful Functors Reflect Monomorphisms

Let  $F: C \longrightarrow \mathcal{D}$  be a functor. If F is faithful, then it reflects monomorphisms.

#### PROOF 4.2.3 ► PROOF OF PROPOSITION 4.2.2

Let  $f:A\longrightarrow B$  be a morphism of C and suppose that  $F_f:F_A\longrightarrow F_B$  is a monomorphism. Let  $g,h:B\Longrightarrow C$  be two morphisms of C such that  $g\circ f=h\circ f$ . As F is faithful, we must have

$$F_g \circ F_f = F_{g \circ f} = F_{h \circ f} = F_h \circ F_f$$

but as  $F_f$  is a monomorphism, it must be that  $F_g = F_h$ . Using the faithfulness of F again, we see that g = h. Therefore f is a monomorphism.

# 4.3 Split Monomorphisms

Let C be a category.

#### DEFINITION 4.3.1 ► SPLIT MONOMORPHISMS

A morphism  $f: A \longrightarrow B$  of C is a **split monomorphism**<sup>1</sup> if there exists a morphism  $g: B \longrightarrow A$  of  $\mathcal{B}$  such that<sup>2</sup>

$$g \circ f = id_A$$
.

<sup>2</sup> Warning: There exist monomorphisms which are not split monomorphisms, e.g.  $\mathbb{Z}/2 \hookrightarrow \mathbb{Z}/4$  in Ring.

#### Proposition 4.3.2 ► Properties of Split Monomorphisms

Let C be a category.

1. *Split Monomorphisms are Monomorphisms*. If *m* is a split monomorphism, then *m* is a monomorphism.

#### PROOF 4.3.3 ▶ PROOF OF PROPOSITION 4.3.2

#### Item 1: Split Monomorphisms are Monomorphisms

Let  $m:A\longrightarrow B$  be a split monomorphism of C, let  $e:B\longrightarrow A$  be a morphism of C with

$$e \circ m = id_A$$

and let  $f, g: C \Longrightarrow A$  be two morphisms of C such that the diagram

$$C \xrightarrow{f \atop g} A \xrightarrow{m} B$$

commutes. Then we have

$$f = id_A \circ f$$
$$= (e \circ m) \circ f$$

<sup>&</sup>lt;sup>1</sup>Further Terminology: Also called a **section**, or a **split monic** morphism.

$$= e \circ (m \circ f)$$

$$= e \circ (m \circ g)$$

$$= (e \circ m) \circ g$$

$$= id_A \circ g$$

$$= g,$$

showing m to be a monomorphism.

# 5 Epimorphisms

### 5.1 Foundations

Let C be a category.

#### **DEFINITION 5.1.1** ► **EPIMORPHISMS**

A morphism  $f: A \longrightarrow B$  of C is an **epimorphism** if for every commutative<sup>1</sup> diagram of the form

$$A \xrightarrow{f} B \xrightarrow{g} C,$$

we have g = h.

<sup>1</sup>That is, with  $g \circ f = h \circ f$ .

#### **EXAMPLE 5.1.2** ► **EPIMORPHISMS IN** Sets

Let  $f: A \longrightarrow B$  be a function. The following conditions are equivalent:

- 1. The function f is injective.
- 2. The function f is an epimorphism in Sets.

#### Proof 5.1.3 ► Proof of Example 5.1.2

Suppose that f is surjective and let  $g,h: B \Longrightarrow C$  be morphisms such that  $g \circ f = h \circ f$ . Then for each  $a \in A$ , we have

$$g(f(a)) = h(f(a)),$$

but this implies that

$$g(b) = h(b)$$

for each  $b \in B$ , as f is surjective. Thus g = h and f is an epimorphism.

To prove the converse, we proceed by contrapositive. So suppose that f is not surjective and consider the diagram

$$A \xrightarrow{f} B \xrightarrow{g} C$$

where h is the map defined by h(b) = 0 for each  $b \in B$  and g is the map defined by

$$g(b) = \begin{cases} 1 & \text{if } b \in \text{Im}(f), \\ 0 & \text{otherwise.} \end{cases}$$

Then  $h \circ f = g \circ f$ , as h(f(a)) = 1 = g(f(a)) for each  $a \in A$ . However, for any  $b \in B \setminus \text{Im}(f)$ , we have

$$g(b) = 0 \neq 1 = h(b).$$

Therefore  $g \neq h$  and f is not an epimorphism.

#### PROPOSITION 5.1.4 ▶ PROPERTIES OF EPIMORPHISMS

Let *C* be a category.

- 1. Characterisations. Let C be a category with pullbacks and  $f: A \longrightarrow B$  be a morphism of C. The following conditions are equivalent:
  - (a) The morphism f is an epimorphism.
  - (b) For each  $X \in Obj(C)$ , the map of sets

$$f^*: \operatorname{Hom}_{\operatorname{Sets}}(B, X) \longrightarrow \operatorname{Hom}_{\operatorname{Sets}}(A, X)$$

is injective.

(c) The cokernel pair of f is trivial, i.e. we have

$$B \coprod_{A} B \cong B \qquad \qquad \begin{cases} B \longleftarrow B \\ \uparrow \\ B \longleftarrow A \end{cases}$$

- 2. Epimorphisms vs. Surjective Maps. Let
  - $\cdot C$  be a concrete category;
  - · 志:  $C \longrightarrow Sets$  be the forgetful functor from C to Sets;
  - $\cdot f: A \longrightarrow B$  be a morphism of C.

If 忘 preserves pushouts, then the following conditions are equivalent:

- (a) The morphism f is a epimorphism.
- (b) The morphism *f* is surjective.
- 3. Stability Properties. The class of all epimorphisms of  $\mathcal{C}$  is stable under the following operations:
  - (a) Composition. If f and g are epimorphisms, then so is  $g \circ f$ .
  - (b) Pushouts. Let



be a diagram in C. If m is an epimorphism in C, then so is e'.

4. Morphisms to the Initial Object Are Monomorphisms. If C has an initial object  $\varnothing_C$ , then every morphism of C to  $\varnothing_C$  is a epimorphism.

<sup>1</sup>Conversely, if  $g \circ f$  is a epimorphism, then so is g.

#### PROOF 5.1.5 ► PROOF OF PROPOSITION 5.1.4

This is dual to Proposition 4.1.4.



# 5.2 Regular Epimorphisms

PROPOSITION 5.2.1 ► PROPERTIES OF REGULAR EPIMORPHISMS

Let C be a category.

1. Stability Under Pullbacks. Consider the diagram

$$\begin{array}{ccc}
A \times_C B & \longrightarrow & B \\
\downarrow^{e'} & & & \downarrow^{e} \\
A & \longrightarrow & C
\end{array}$$

in C. If e is a regular epimorphism, then so is e'.

#### PROOF 5.2.2 ▶ PROOF OF PROPOSITION 5.2.1

Epimorphisms Need Not Be Stable Under Pullback.

Regular Epimorphisms Are Stable Under Pullback.

# 5.3 Effective Epimorphisms

Let C be a category.

#### **DEFINITION 5.3.1** ► **EFFECTIVE EPIMORPHISMS**

An epimorphism  $f: A \longrightarrow B$  of C is **effective** if we have an isomorphism

$$B \cong \mathsf{CoEq}(A \times_B A \Longrightarrow A).$$

# 5.4 Split Epimorphisms

Let C be a category.

#### **DEFINITION 5.4.1** ► RETRACTIONS

A morphism  $f: A \longrightarrow B$  of C is a **retraction**<sup>1</sup> if there is an arrow  $g: B \longrightarrow A$  such that  $f \circ g = \mathrm{id}_B$ .

<sup>&</sup>lt;sup>1</sup>Further Terminology: Also called a **split epimorphism**.

#### PROPOSITION 5.4.2 ► PROPERTIES OF SPLIT EPIMORPHISMS

Let  $f: A \longrightarrow B$  be a morphism of C.

1. Every split epimorphism is an epimorphism.<sup>1</sup>

#### PROOF 5.4.3 ► PROOF OF PROPOSITION 5.4.2

This is dual to ??.

# **Adjunctions**

### **Foundations**

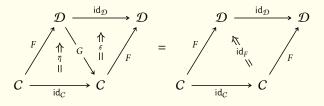
Let C and D be two categories.

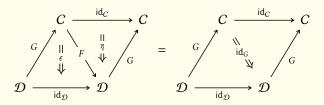
#### DEFINITION 6.1.1 ► ADJUNCTIONS

An **adjunction**<sup>1</sup> is a quadruple  $(F, G, \eta, \epsilon)$  consisting of

- 1. A functor  $F: C \longrightarrow \mathcal{D}$ ;
- 2. A functor  $G: \mathcal{D} \longrightarrow C$ ;
- 3. A natural transformation  $\eta$ :  $id_C \Longrightarrow G \circ F$ ;
- 4. A natural transformation  $\epsilon : F \circ G \Longrightarrow id_{\mathcal{D}}$ ;

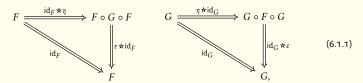
such that we have equalities



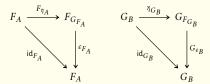


of pasting diagrams in Cats<sub>2</sub>.<sup>2</sup>

<sup>&</sup>lt;sup>2</sup>Equivalently, the diagrams



called the **left** and **right triangle identities**, commute, or, again equivalently, for each  $A \in \mathsf{Obj}(\mathcal{C})$  and each  $B \in \mathsf{Obj}(\mathcal{D})$ , the diagrams



commute.

#### Example 6.1.2 ► Examples of Adjunctions

Here are some examples of adjunctions.

1. We have a triple adjunction

$$(\lceil - \rceil + \iota + \lfloor - \rfloor): \quad \mathbb{R} \leftarrow \iota \longrightarrow \mathbb{Z},$$

where  $\mathbb{Z}$  and  $\mathbb{R}$  are viewed as poset categories and  $\iota \colon \mathbb{Z} \hookrightarrow \mathbb{R}$  is the canonical inclusion.

<sup>&</sup>lt;sup>1</sup>Further Terminology: We also call (G, F) an **adjoint pair**, F a **left adjoint**, G a **right adjoint**,  $\eta$  the **unit** of the adjunction, and  $\varepsilon$  the **counit** of the adjunction.

#### Proposition 6.1.3 ▶ Properties of Adjunctions

Let  $F, L: C \Longrightarrow \mathcal{D}$  and  $G, R: \mathcal{D} \Longrightarrow C$  be functors.

- 1. Characterisations. The following conditions are equivalent:
  - (a) The pair (L, R) is an adjoint pair.
  - (b) We have a natural isomorphism of (pro)functors<sup>1</sup>

$$h^L \cong h_R$$
.

(c) For each  $A \in \mathsf{Obj}(C)$  and each  $B \in \mathsf{Obj}(\mathcal{D})$ , we have an isomorphism

$$\operatorname{Hom}_{\mathcal{D}}(L_A, B) \cong \operatorname{Hom}_{\mathcal{C}}(A, R_B)$$

and the square below-left commutes iff the square below-right commutes:

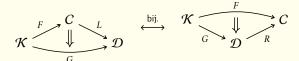
$$\begin{array}{cccc} L_{A} & \xrightarrow{f} & B & & A & \xrightarrow{f} & R_{B} \\ \downarrow^{L_{\psi}} & & \downarrow^{\psi} & & \Longleftrightarrow & \psi & & \downarrow^{R_{\psi}} \\ L_{A'} & \xrightarrow{g} & B' & & A' & \xrightarrow{g} & R_{B'}. \end{array}$$

(d) For each small category  $\mathcal{K}$ , we have an adjunction

$$(L_* \dashv R_*)$$
:  $\operatorname{\mathsf{Fun}}(\mathcal{K},\mathcal{C}) \underbrace{\stackrel{L_*}{\underset{R_*}{\longleftarrow}}} \operatorname{\mathsf{Fun}}(\mathcal{K},\mathcal{D})$ 

as witnessed by a natural isomorphism

$$Nat(L \circ F, G) \cong Nat(F, R \circ G)$$



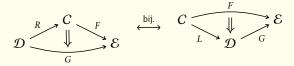
natural in  $\mathcal{K} \xrightarrow{F} C$  and  $\mathcal{K} \xrightarrow{G} \mathcal{D}$ .

(e) For each locally small category  $\mathcal{E}$ , we have an adjunction

$$(R^* \dashv L^*)$$
:  $\operatorname{Fun}(C, \mathcal{E}) \xrightarrow{L^*} \operatorname{Fun}(\mathcal{D}, \mathcal{E})$ 

as witnessed by a natural isomorphism

$$Nat(F \circ R, G) \cong Nat(F, G \circ L)$$



natural in  $C \xrightarrow{F} \mathcal{E}$  and  $\mathcal{D} \xrightarrow{G} \mathcal{E}$ .

- 2. Uniqueness. If G admits left/right adjoints  $F_1$  and  $F_2$ , then  $F_1 \cong F_2$ .
- 3. Stability Under Composition. If  $F_1 + G_1$  and  $F_2 + G_2$ , then  $(F_2 \circ F_1) + (G_2 \circ G_1)$ :

$$C \stackrel{F_1}{\underset{G_1}{\longleftarrow}} \mathcal{D} \stackrel{F_2}{\underset{G_2}{\longleftarrow}} \mathcal{E} \rightsquigarrow C \stackrel{F_2 \circ F_1}{\underset{G_2 \circ G_1}{\longleftarrow}} \mathcal{E}$$

- 4. Interaction With Co/Limits. The following statements are true:
  - (a) Left Adjoints Preserve Colimits (LAPC). If F is a left adjoint, then F preserves all colimits that exist in C.
  - (b) **Right Adjoints Preserve Limits (RAPL).** If *G* is a right adjoint, then *G* preserves all limits that exist in *C*.
- 5. Interaction With Faithfulness. Let  $(F, G, \eta, \varepsilon)$  be an adjunction. The following conditions are equivalent:
  - (a) The functor *F* is faithful.
  - (b) For each  $A \in Obj(C)$ , the morphism

$$\eta_A \colon A \longrightarrow G_{F_A}$$

is a monomorphism.

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Dually, the following conditions are equivalent:

- (a) The functor G is faithful.
- (b) For each  $A \in Obj(C)$ , the morphism

$$\epsilon_A \colon F_{G_A} \longrightarrow A$$

is an epimorphism.

- 6. *Interaction With Fullness*. Let  $(F, G, \eta, \epsilon)$  be an adjunction. The following conditions are equivalent:
  - (a) The functor *F* is full.
  - (b) For each  $A \in Obj(C)$ , the morphism

$$\eta_A \colon A \longrightarrow G_{F_A}$$

is a split epimorphism.

Dually, the following conditions are equivalent:

- (a) The functor *G* is full.
- (b) For each  $A \in Obj(C)$ , the morphism

$$\epsilon_A \colon F_{G_A} \longrightarrow A$$

is a split monomorphism.

- 7. Interaction With Fully Faithfulness I. Let  $(F, G, \eta, \epsilon)$  be an adjunction. The following conditions are equivalent:
  - (a) The functor F is fully faithful.
  - (b) For each  $A \in Obj(C)$ , the morphism

$$\eta_A \colon A \longrightarrow G_{F_A}$$

is an isomorphism.

- (c) The following conditions are satisfied:
  - (i) The natural transformation

$$id_F * \eta * id_G : F \circ G \Longrightarrow F \circ G \circ F \circ G$$

is a natural isomorphism.

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- (ii) The functor *F* is conservative.
- (iii) The functor G is essentially surjective.

Dually, the following conditions are equivalent:

- (a) The functor *G* is fully faithful.
- (b) For each  $A \in Obj(C)$ , the morphism

$$\epsilon_A \colon F_{G_A} \longrightarrow A$$

is an isomorphism.

- (c) The following conditions are satisfied:
  - (i) The natural transformation

$$id_G * \eta * id_F : G \circ F \Longrightarrow G \circ F \circ G \circ F$$

is a natural isomorphism.

- (ii) The functor *G* is conservative.
- (iii) The functor *F* is essentially surjective.
- 8. Interaction With Fully Faithfulness II. Let  $(F, G, \eta, \epsilon)$  be an adjunction.
  - (a) If  $G \circ F$  is fully faithful, then so is F.
  - (b) If  $F \circ G$  is fully faithful, then so is G.

(i) Bijection. For each  $A\in {\sf Obj}(C)$  and each  $B\in {\sf Obj}(\mathcal{D})$ , we have a bijection  ${\sf Hom}_{\mathcal{D}}(L_A,B)\cong {\sf Hom}_C(A,R_B).$ 

(ii) Naturality in  $\mathcal{D}$ . For each morphism  $g: B \longrightarrow B'$  of  $\mathcal{D}$ , the diagram

$$\operatorname{Hom}_{\mathcal{D}}(L_A,B) \xrightarrow{\operatorname{id}_{L_A}} \operatorname{hg}^{\operatorname{id}_{A}} \operatorname{hg}^{\operatorname{id}_{A}}$$
 
$$\operatorname{Hom}_{\mathcal{D}}(L_A,B') \xrightarrow{\operatorname{constant}} \operatorname{Hom}_{\mathcal{C}}(A,R_{B'})$$

commutes.

(iii) Naturality in C. For each morphism  $f: A \longrightarrow A'$  of C, the diagram

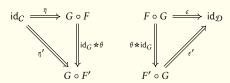
$$\operatorname{Hom}_{\mathcal{D}}(L_A,B)$$
  $\cdots \rightarrow \operatorname{Hom}_{\mathcal{C}}(A,R_B)$   $\downarrow h_{\operatorname{id}_{R_B}}^f$   $\downarrow h_{\operatorname{id}_{R_B}}^f$   $\downarrow h_{\operatorname{id}_{R_B}}^f$   $\downarrow h_{\operatorname{id}_{R_B}}^f$   $\downarrow h_{\operatorname{id}_{R_B}}^f$   $\downarrow h_{\operatorname{id}_{R_B}}^f$ 

commutes.

<sup>&</sup>lt;sup>1</sup>That is, the following conditions are satisfied:

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<sup>2</sup>Moreover, writing  $\theta$ :  $F_1 \stackrel{\cong}{\Longrightarrow} F_2$  for this isomorphism, the diagrams



commute; see [Rie17, Proposition 4.4.1].

#### Proof 6.1.4 ▶ Proof of Proposition 6.1.3

# Item 1: Adjunctions Via Hom-Functors

See [Rie17, Lemma 4.1.3 and Proposition 4.2.6].

# Item 2: Uniqueness of Adjoints

This follows from the Yoneda lemma (Theorem 7.2.4) and its dual (Theorem 8.2.4).

# Item 3: Stability Under Composition

See [Rie17, Proposition 4.4.4].

### Item 4: Interaction With Limits and Colimits, Item (a)

<sup>1</sup>We prove Item (a) only, as Item (b) follows by duality (Limits and Colimits, Item 4 of Proposition 1.6.4). Indeed, let  $F \colon \mathcal{C} \longrightarrow \mathcal{D}$  be a functor admitting a right adjoint  $G \colon \mathcal{D} \longrightarrow \mathcal{C}$ . For each  $Y \in \mathsf{Obj}(\mathcal{D})$ , we have isomorphisms

$$\begin{split} \operatorname{Hom}_{\mathcal{D}}\big(F_{\operatorname{colim}(D)},Y\big) &\cong \operatorname{Hom}_{\mathcal{D}}(\operatorname{colim}(D),G_Y) \\ &\cong \operatorname{lim}(\operatorname{Hom}_{\mathcal{D}}(D,G_Y)) \\ & (\operatorname{Limits} \operatorname{and} \operatorname{Colimits},\operatorname{Item}\operatorname{11} \operatorname{of}\operatorname{Proposition}\operatorname{1.6.4}) \\ &\cong \operatorname{lim}(\operatorname{Hom}_{\mathcal{D}}(F_D,Y)) \\ &\cong \operatorname{Hom}_{\mathcal{D}}(\operatorname{colim}(F_D),Y), \\ & (\operatorname{Limits} \operatorname{and} \operatorname{Colimits},\operatorname{Item}\operatorname{11} \operatorname{of}\operatorname{Proposition}\operatorname{1.6.4}) \end{split}$$

natural in  $Y \in \text{Obj}(\mathcal{D})$ . The result then follows from Categories, ??.

# Item 4: Interaction With Limits and Colimits, Item (b)

This is dual to Item (a).

# Item 5: Interaction With Faithfulness

See [Rie17, Lemma 4.5.13].

### Item 6: Interaction With Fullness

See [Rie17, Lemma 4.5.13].

# Item 7: Interaction With Fully Faithfulness I

See [Rie17, Lemma 4.5.13] and [Lor21, Proposition A.5.9].

### Item 8: Interaction With Fully Faithfulness II

See [de]20, Tag 0FWV], [Lor21, Proposition A.5.9], or [Low15, Propositions A.1.2 and A.1.3].

<sup>1</sup>Reference: See [Rie17, Theorem 4.5.2].

# **6.2** Existence Criteria for Adjoint Functors

Let C and D be categories.

### THEOREM 6.2.1 ► EXISTENCE CRITERIA FOR ADJOINT FUNCTORS

Let  $F: C \longrightarrow \mathcal{D}$  and  $G: \mathcal{D} \longrightarrow C$  be functors.

- 1. *Via Comma Categories*. The following conditions are equivalent:
  - (a) The functor *F* has a right adjoint.
  - (b) For each  $s \in \text{Obj}(\mathcal{D})$ , the comma category  $F \downarrow s \cong \int^C h_s^{F_-}$  has a terminal object.

Dually, the following conditions are equivalent:

- (a) The functor *G* has a left adjoint *F*.
- (b) For each  $s \in \mathrm{Obj}(C)$ , the comma category  $s \downarrow G \cong \int_C h^s_{G_-}$  has an initial object.

Moreover, when these conditions are satisfied, we have isomorphisms

$$F_A \cong \lim_{A \to G_x} (x),$$

$$G_B \cong \underset{F_x \to G_B}{\mathsf{colim}}(x),$$

natural in  $A \in Obj(C)$  and  $B \in Obj(\mathcal{D})$ .

- 2. The General Adjoint Functor Theorem<sup>1</sup>. Suppose that
  - (a) The category  $\mathcal{D}$  has all limits and F commutes with them.
  - (b) The category C is complete and locally small.
  - (c) The Solution Set Condition. For each  $X \in \mathsf{Obj}(\mathcal{D})$ , there exist

- (i) A small set *I*;
- (ii) A set  $\{A_i\}_{i\in I}$  of objects of C;
- (iii) A set  $\{f_i: X \longrightarrow G_{A_i}\}$  of morphisms of  $\mathcal{D}$ ;

such that, for each  $i \in I$  and each morphism  $f: X \longrightarrow G_A$ , there exists a morphism  $\phi_i: A_i \longrightarrow A$  of C together with a factorisation

Then F has a left adjoint.

- 3. The Special Adjoint Functor Theorem. Suppose that
  - (a) The category  $\mathcal{D}$  has all limits and F commutes with them.
  - (b) The category C is complete, locally small, and well-powered.
  - (c) The category C has a small cogenerating set.

Then F has a left adjoint.

- 4. Freyd's Representability Theorem I. Let  $F: C \longrightarrow Sets$  be a functor. If
  - (a) The functor *F* commutes with limits;
  - (b) The category C is complete and locally small;
  - (c) The Solution Set Condition. There exists a set  $\Phi \subset \mathsf{Obj}(C)$  such that, for each  $c \in \mathsf{Obj}(C)$ , there exist
    - ·  $s \in \Phi$ ;
    - $\cdot \quad y \in F_{\mathfrak{s}};$
    - $\cdot f: s \longrightarrow c \text{ in Hom}_{Sets}(s, c);$

such that  $F_{f(v)} = x$ ;

then F is representable.

- 5. Freyd's Representability Theorem II<sup>3</sup>. Let  $F: C \longrightarrow Sets$  be a functor. If
  - (a) The functor *F* commutes with limits;
  - (b) There exist
    - · A collection  $\{x_{\alpha}\}_{\alpha \in I}$  of object of C;

· For each  $\alpha \in I$ , an element  $f_{\alpha}$  of  $F_{x_{\alpha}}$ 

such that for each  $y \in \text{Obj}(C)$  and each  $g \in F_y$ , there exists some  $\alpha \in I$  and some morphism  $\phi \colon x_i \longrightarrow y$  such that  $F_{\phi}(f_{\alpha}) = g$ ;

then F is representable.

- 6. Co/Totality. Suppose that
  - (a) The category C is locally small and cototal and  $\mathcal{D}$  is locally small.

### Proof 6.2.2 ▶ Proof of Theorem 6.2.1

### Item 1: Via Comma Categories

We claim that Items (a) and (b) are indeed equivalent:<sup>1</sup>

· Item (a)  $\Longrightarrow$  Item (b): Let F be a left adjoint of G. Then

$$s \downarrow G \cong \int_C h_{G_-}^s$$
$$\cong \int_C h_-^{F_s},$$

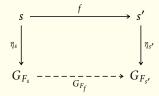
where  $h_{G_-}^s$  is corepresentable by  $F_s$ . By Fibred Categories, Item 10 of Proposition 9.4.1, it follows that the component  $\eta_s: s \longrightarrow G_{F_s}$  of the unit of the adjunction  $F \dashv G$  at s is an initial object of  $s \downarrow G$ .

· Item (b)  $\Longrightarrow$  Item (a): For each  $s \in \text{Obj}(\mathcal{D})$ , write  $\eta_s : s \longrightarrow G_{F_s}$  for an initial object of  $s \downarrow G$ . This gives us a map of sets

$$F \colon \mathsf{Obj}(C) \longrightarrow \mathsf{Obj}(\mathcal{D})$$

$$s \longmapsto F_{\varsigma}.$$

We now extend this map to a functor: given a morphism  $f: s \longrightarrow s'$  of C, we define  $F_f: F_s \longrightarrow F_{s'}$  to be the unique morphism making the diagram



<sup>&</sup>lt;sup>1</sup>Further Terminology: Also called Freyd's adjoint functor theorem.

<sup>&</sup>lt;sup>2</sup>A nice application of this theorem is given in [MSE 276630], where it is used to abstractly show that Cats is cocomplete, avoiding the explicit construction of coequalisers in Cats given in ??.

<sup>&</sup>lt;sup>3</sup>This is the statement of Freyd's representability theorem as found in [de]20, Tag 04HN].

commute (which exists by the initiality of  $\eta_s$ ). By the uniqueness of these morphisms, it follows that the assignment  $s\mapsto F_s$  is indeed functorial. Moreover, we also obtain a natural transformation  $\eta\colon \mathrm{id}_C \Longrightarrow G\circ F$ . We now define a natural transformation

$$\phi \colon \operatorname{Hom}_{\mathcal{D}}(F_{-}, b) \Longrightarrow \operatorname{Hom}_{\mathcal{C}}(-, G_{b})$$

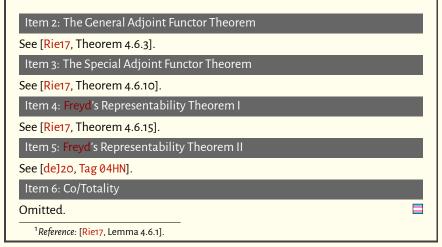
consisting of the collection

$$\{\phi_{s,b} \colon \operatorname{Hom}_{\mathcal{D}}(F_s,b) \Longrightarrow \operatorname{Hom}_{C}(s,G_b)\}_{s \in \operatorname{Obi}(C)},$$

where  $\phi_{s,b}$  is the map sending a morphism  $g: F_s \longrightarrow b$  to the composition

$$s \xrightarrow{\eta_s} G_{F_s} \xrightarrow{G_g} G_b.$$

By the existence and uniqueness of morphisms from  $\eta_s$  to any other object  $s \longrightarrow G_b$  in  $s \downarrow G$ , it follows that the maps  $\phi_{s,b}$  are bijective, showing F to be a left adjoint of G.



# 6.3 Adjoint Strings

To avoid clutter, in this section we will abbreviate long compositions of functors. For instance, we write  $f_1 \circ f_2 \circ f_3 \circ f_4$  as  $f_1 f_2 f_3 f_4$ . Let C and D be categories.

# **DEFINITION 6.3.1** ► ADJOINT STRINGS

An **adjoint string of length**  $n^1$  is an n-tuple  $(f_1, \ldots, f_n)$  of functors between C and D such that

$$f_n \dashv f_{n+1}$$

for each  $n \in \{1, ..., n-1\}$ .

<sup>1</sup> Further Terminology: Also called an **adjoint** n**-tuple**.

### PROPOSITION 6.3.2 ▶ PROPERTIES OF ADJOINT TRIPLES

Let C and D be categories.

- 1. Adjoint Triples as Adjunctions Between Adjunctions. An adjoint triple is equivalently an adjunction  $(F \dashv G) \dashv (G \dashv H)$  between adjunctions. FIXME [nLab23a].<sup>1</sup>
- 2. Adjunctions Induced by an Adjoint Triple. A triple adjunction  $(f_1, f_2, f_3)$  gives rise to two more adjunctions

$$(f_2f_1 + f_2f_3): C \xrightarrow{f_2f_3} C$$

and

$$(f_1f_2 \dashv f_3f_2)$$
:  $\mathcal{D} \underbrace{\downarrow}_{f_3f_2} \mathcal{D}$ 

where  $f_2f_1$  and  $f_2f_3$  are monads in C and  $f_1f_2$  and  $f_3f_2$  are comonads in  $\mathcal{D}$ .

$$f_1 + f_2$$
 $\downarrow$ 
 $f_2 + f_3$ 

to denote the adjunctions  $(f_1 \dashv f_2 \dashv f_3)$  and  $(f_1f_2) \dashv (f_2f_3)$  simultaneously; the first horizontally and the latter vertically.

# PROOF 6.3.3 ► PROOF OF PROPOSITION 6.3.2

### Item 1: Adjoint Triples as Adjunctions Between Adjunctions

Omitted.

<sup>&</sup>lt;sup>1</sup>[nLab23a] suggests writing

# Item 2: Adjunctions Induced by an Adjoint Triple

Omitted.

### Proposition 6.3.4 ▶ Properties of Adjoint Quadruples

Let C and  $\mathcal{D}$  be categories.

1. Adjunctions Induced by a Quadruple Adjunction. An adjoint quadruple  $(f_1 \dashv f_2 \dashv f_3 \dashv f_4)$  gives rise to two adjoint triples

$$(f_2f_1 \dashv f_2f_3 \dashv f_4f_3): C \leftarrow f_2f_3 - C$$

$$\downarrow f_4f_3$$

and

$$(f_1f_2 + f_3f_2 + f_3f_4): \mathcal{D} \leftarrow f_3f_2 - \mathcal{D}$$

$$\downarrow f_3f_4$$

and six adjunctions

$$(f_1f_2f_3 \dashv f_4f_3f_2): \quad C \xrightarrow{f_1f_2f_3} \mathcal{D} \qquad (f_3f_2f_1 \dashv f_2f_3f_4):$$

$$C \xrightarrow{f_3f_2f_1} \mathcal{D}$$

$$f_2f_3f_4 \qquad \mathcal{D}$$

$$(f_2f_3f_2f_1 + f_2f_3f_4f_3)$$
:  $C \xrightarrow{f_2f_3f_2f_1} C$   $(f_3f_2f_1f_2 + f_3f_2f_3f_4)$ :  $C \xrightarrow{f_3f_2f_1f_2} C$   $C \xrightarrow{f_3f_2f_3f_4} C$ 

$$(f_{2}f_{1}f_{2}f_{3} + f_{4}f_{3}f_{2}f_{3}): \mathcal{D} \underbrace{\frac{f_{2}f_{1}f_{2}f_{3}}{\bot}}_{f_{4}f_{3}f_{2}f_{3}} \mathcal{D} \qquad (f_{1}f_{2}f_{3}f_{2} + f_{3}f_{4}f_{3}f_{2}):$$

$$\mathcal{D} \underbrace{\frac{f_{1}f_{2}f_{3}f_{2}}{\bot}}_{f_{3}f_{4}f_{3}f_{2}} \mathcal{D}$$

where  $f_2f_1$ ,  $f_2f_3$ ,  $f_4f_3$ ,  $f_2f_3f_2f_1$ ,  $f_2f_3f_4f_3$ ,  $f_3f_2f_1f_2$ , and  $f_3f_2f_3f_4$  are monads in C and  $f_1f_2$ ,  $f_3f_2$ ,  $f_3f_4$ ,  $f_2f_1f_2f_3$ ,  $f_4f_3f_2f_3$ ,  $f_1f_2f_3f_2$ , and  $f_3f_4f_3f_2$  are comonads in  $\mathcal{D}$ .

### PROOF 6.3.5 ► PROOF OF PROPOSITION 6.3.4

Item 1: Adjunctions Induced by a Quadruple Adjunction

Omitted.



# Proposition 6.3.6 $\blacktriangleright$ Adjunctions Induced by an Adjoint String of Length

n

Let  $(f_1 \dashv \cdots \dashv f_n) : C \stackrel{\longleftarrow}{:} \mathcal{D}$  be an adjoint string.

- 1. For each  $k \in \mathbb{N}$  with  $1 \le k \le n-2$ , we have 2 induced adjoint strings  $f_1f_2 \cdots f_{n-k}f_{n-k+1} \dashv f_{n-k+2}f_{n-k+1} \cdots f_3f_2 \dashv \cdots \dashv f_{k-1}f_k \cdots f_{n-2}f_{n-1} \dashv f_nf_{n-1} \cdots f_{k+1}f_k$   $f_{n-k+1}f_{n-k} \cdots f_2f_1 \dashv f_2f_3 \cdots f_{n-k+1}f_{n-k+2} \dashv \cdots \dashv f_{n-1}f_{n-2} \cdots f_kf_{k-1} \dashv f_kf_{k+1} \cdots f_{n-1}f_n$  of length n-k.
- 2. Inductively applying Item 1 to the induced adjoint strings, we get (including the 2 adjoint strings of Item 1)  $2 \cdot 3^{n-k-1}$  adjoint strings of length  $k^1$ , for a grand total of

$$\sum_{k=2}^{n-1} 2(k-1) \cdot 3^{n-k-1} = \frac{1}{6} (3^n + 3) - n$$

adjunctions.2

- 3. In particular:
  - (a) An adjoint triple induces 2 adjoint pairs.
  - (b) An adjoint quadruple induces

- · 2 adjoint triples,
- · 6 adjoint pairs,

for a grand total of 10 adjunctions.

- (c) An adjoint quintuple induces
  - · 2 adjoint quadruples,
  - · 6 adjoint triples,
  - · 18 adjoint pairs,

for a grand total of 36 adjunctions.

- (d) An adjoint sextuple induces
  - · 2 adjoint quintuples,
  - · 6 adjoint quadruples,
  - · 18 adjoint triples,
  - · 54 adjoint pairs,

for a grand total of 116 adjunctions.

- (e) An adjoint septuple induces
  - · 2 adjoint sextuples,
  - · 6 adjoint quintuples,
  - · 18 adjoint quadruples,
  - · 54 adjoint triples,
  - · 162 adjoint pairs,

for a grand total of 358 adjunctions.

 $f_2f_3f_2f_1 + f_2f_3f_4f_3 + \cdots + f_kf_{k+1}f_kf_{k-1} + f_kf_{k+1}f_{k+2}f_{k+1} + \cdots + f_{n-2}f_{n-1}f_{n-2}f_{n-1} + f_{n-2}f_{n-1}f_nf_{n-1}.$ 

### Proof 6.3.7 ► Proof of Proposition 6.3.6

Omitted.



# 6.4 Reflective Subcategories

Let C be a category.

<sup>&</sup>lt;sup>1</sup>These need not be unique.

 $<sup>^{2}</sup>$ E.g. we have 4 adjoint strings of length n-2, such as

### DEFINITION 6.4.1 ► REFLECTIVE SUBCATEGORIES

A subcategory  $C_0$  of C is **reflective** if the inclusion functor  $i: C_0 \hookrightarrow C$  of  $C_0$  into C admits a left adjoint  $L: C \longrightarrow C_0$ .

<sup>1</sup> Further Terminology: The functor L is called the **reflector** or **localisation** of the adjunction  $L \dashv i$ .

### Example 6.4.2 ► Examples of Reflective Subcategories

Here are some examples of reflective subcategories

CHaus 
 — Top ([Rie17, Example 4.5.14, (i)]). The category CHaus is a reflective subcategory of Top, as witnessed by the adjunction

$$(\beta \dashv \iota)$$
: Top $\xrightarrow{\beta}$  CHaus,

of Topological Spaces, ?? of ??.

2. CMon ← Mon. The category CMon is a reflective subcategory of Ab, as witnessed by the adjunction

$$((-)^{ab} + \iota): Mon \xrightarrow{(-)^{ab}} CMon$$

of Monoids, ?? of ??.

3. Ab  $\hookrightarrow$  Grp ([Rie17, Example 4.5.14, (ii)]). The category Ab is a reflective subcategory of Grp, as witnessed by the adjunction

$$((-)^{ab} + \iota): \operatorname{Grp} \xrightarrow{\stackrel{(-)^{ab}}{\longleftarrow}} \operatorname{Ab}$$

of Groups, ?? of ??.

4. Ab<sup>tf</sup> → Ab ([Rie17, Example 4.5.14, (iii)]). The full subcategory Ab<sup>tf</sup> of Ab spanned by the torsion-free abelian groups is reflective in Ab. This is witnessed by the adjunction

$$((-)^{tf} \dashv \iota): Ab \xrightarrow{(-)^{tf}} Ab^{tf},$$

where  $(-)^{tf}$ : Ab  $\longrightarrow$  Ab $^{tf}$  is the functor defined on objects by sending an abelian group A to the quotient  $A/\mathsf{Tors}(A)$ , where  $\mathsf{Tors}(A)$  is the torsion subgroup of A.

5.  $\operatorname{\mathsf{Mod}}_S \hookrightarrow \operatorname{\mathsf{Mod}}_R([Rie17, Example 4.5.14, (iv)])$ . Let  $\phi \colon R \longrightarrow S$  be a morphism of rings. Then  $\phi^*$  is full iff  $\phi$  is an epimorphism, in which case the adjunction

$$(S \otimes_R (-) \dashv \phi^*)$$
:  $\operatorname{\mathsf{Mod}}_S \underbrace{\overset{S \otimes_R (-)}{\bot}}_{\phi^*} \operatorname{\mathsf{Mod}}_R$ 

witnesses  $Mod_S$  as a reflective subcategory of  $Mod_R$ .

6.  $\mathsf{Shv}(C) \hookrightarrow \mathsf{PSh}(C)$  ([Rie17, Example 4.5.14, (v)]). The category  $\mathsf{Shv}(C)$  of sheaves on a site C is a reflective subcategory of  $\mathsf{PSh}(C)$ , as witnessed by the adjunction

$$((-)^{\#} + \iota): PSh(C) \xrightarrow{(-)^{\#}} Shv(C),$$

of Sites, Section 5.5.

7. Cats  $\hookrightarrow$  sSets([Rie17, Example 4.5.14, (v)]). The category Cats is a reflective subcategory of sSets, as witnessed by the adjunction

(Ho 
$$\dashv$$
 N $_{\bullet}$ ): sSets  $\stackrel{\text{Ho}}{\underset{N_{\bullet}}{\longleftarrow}}$  Cats

of Quasicategories, Item 3 of Proposition 1.5.4.

### PROPOSITION 6.4.3 ► PROPERTIES OF REFLECTIVE SUBCATEGORIES

Let  $C_0$  be a reflective subcategory of C.

1. Characterisations. Let

$$(L+\iota)$$
:  $C \xrightarrow{L} \mathcal{D}$ 

be an adjunction. The following conditions are equivalent:

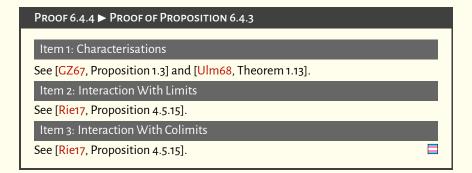
- (a) The functor ι is fully faithful.
- (b) The counit  $\epsilon: L \circ \iota \Longrightarrow \mathrm{id}_{\mathcal{D}}$  is a natural isomorphism.

- (c) The following conditions are satisfied:
  - (i) The monad  $(\iota \circ L, \mathrm{id}_\iota \star \varepsilon \star \mathrm{id}_L, \eta)$  associated to the adjunction  $L \dashv \iota$  is idempotent.
  - (ii) The functor  $\iota$  is conservative.
  - (iii) The functor *L* is essentially surjective.
- (d) The functor L is the Gabriel–Zisman localisation of C with respect to the class S given by

```
S \stackrel{\text{def}}{=} \{ f \in \text{Mor}(C) \mid L(f) \text{ is an isomorphism in } \mathcal{D} \}.
```

- (e) The functor *L* is dense.
- 2. Interaction With Limits. The inclusion  $C_0 \hookrightarrow C$  creates all limits which exist in C.
- 3. Interaction With Colimits. The category  $C_0$  admits all colimits that exist in C: given a diagram  $D: I \longrightarrow C_0$  in  $C_0$ , if  $\operatorname{colim}(i \circ D)$  exists in C, then  $\operatorname{colim}(D)$  exists in  $C_0$  and we have

 $colim(D) \cong L(colim(i \circ D)).$ 



# 6.5 Coreflective Subcategories

Let C be a category.

# DEFINITION 6.5.1 ► COREFLECTIVE SUBCATEGORIES

A subcategory  $C_0$  of C is **coreflective** if the inclusion functor  $i: C_0 \hookrightarrow C$  of  $C_0$  into C admits a right adjoint  $R: C \longrightarrow C_0$ .<sup>1</sup>

 $^1$ Further Terminology: The functor L is called the **coreflector** or **colocalisation** of the adjunction i + R.

# 7 The Yoneda Lemma

### 7.1 Presheaves

Let C be a category.

### DEFINITION 7.1.1 ► PRESHEAVES ON A CATEGORY

A **presheaf on** C is a functor  $\mathcal{F}: C^{\mathsf{op}} \longrightarrow \mathsf{Sets}$ .

### DEFINITION 7.1.2 ➤ THE CATEGORY OF PRESHEAVES ON A CATEGORY

The **category of presheaves on** C is the category PSh(C) defined by

$$PSh(C) \stackrel{\text{def}}{=} Fun(C^{op}, Sets).$$

# REMARK 7.1.3 ► UNWINDING DEFINITION 7.1.2

In detail, the **category of presheaves on** C is the category PSh(C) where

- · Objects. The objects of PSh(C) are presheaves on C;
- · Morphisms. A morphism of  $\mathsf{PSh}(C)$  from  $\mathcal{F}$  to  $\mathcal{G}$  is a natural transformation  $\alpha \colon \mathcal{F} \Longrightarrow \mathcal{G}$ ;
- · Identities. For each  $\mathcal{F} \in \mathsf{Obj}(\mathsf{PSh}(\mathcal{C}))$ , the unit map

$$\mathbb{F}_{\mathfrak{T}}^{\mathsf{PSh}(C)} \colon \mathsf{pt} \longrightarrow \mathsf{Nat}(\mathcal{F}, \mathcal{F})$$

of PSh(C) at  $\mathcal{F}$  is defined by

$$id_{\alpha}^{\mathsf{PSh}(C)} \stackrel{\text{def}}{=} id_{\mathcal{F}};$$

· Composition. For each  $\mathcal{F}, \mathcal{G}, \mathcal{H} \in \mathsf{Obj}(\mathsf{PSh}(\mathcal{C}))$ , the composition map

$$\circ^{\mathsf{PSh}(\mathcal{C})}_{\mathcal{F},\mathcal{C},\mathcal{H}}\colon \mathsf{Nat}(\mathcal{G},\mathcal{H})\times \mathsf{Nat}(\mathcal{F},\mathcal{G})\longrightarrow \mathsf{Nat}(\mathcal{F},\mathcal{H})$$

of PSh(C) at  $(\mathcal{F}, \mathcal{G}, \mathcal{H})$  is defined by

$$\beta \circ_{\mathcal{F},\mathcal{G},\mathcal{H}}^{\mathsf{PSh}(C)} \alpha \stackrel{\mathsf{def}}{=} \beta \circ \alpha.$$

# 7.2 Representable Presheaves

Let C be a category, let  $U, V \in \mathsf{Obj}(C)$ , and let  $f: U \longrightarrow V$  be a morphism of C.

### DEFINITION 7.2.1 ► THE REPRESENTABLE PRESHEAF ASSOCIATED TO AN OBJECT

The **representable presheaf associated to** U is the presheaf  $h_U \colon C^{\operatorname{op}} \longrightarrow \operatorname{Sets}$  on C where

· Action on Objects. For each  $A \in Obj(C)$ , we have

$$h_U(A) \stackrel{\text{def}}{=} \text{Hom}_C(A, U);$$

· Action on Morphisms. For each morphism  $f: A \longrightarrow B$  of C, the image

$$h_U(f): \underbrace{h_U(B)}_{\stackrel{\text{def}}{=} \mathsf{Hom}_C(B,U)} \longrightarrow \underbrace{h_U(A)}_{\stackrel{\text{def}}{=} \mathsf{Hom}_C(A,U)}$$

of f by  $h_U$  is defined by

$$h_U(f) \stackrel{\text{def}}{=} f^*$$
.

# DEFINITION 7.2.2 ► REPRESENTABLE PRESHEAVES

A presheaf  $\mathcal{F}: C^{\mathsf{op}} \longrightarrow \mathsf{Sets}$  is **representable** if  $\mathcal{F} \cong h_U$  for some  $U \in \mathsf{Obj}(C)$ .

<sup>&</sup>lt;sup>1</sup>In such a case, we call U a **representing object** for  $\mathcal{F}$ .

### DEFINITION 7.2.3 ► REPRESENTABLE NATURAL TRANSFORMATIONS

The **representable natural transformation associated to** f is the natural transformation  $h_f: h_U \Longrightarrow h_V$  consisting of the collection

$$\left\{ h_{f|A} \colon \underbrace{h_U(A)}_{\overset{\text{def}}{=} \mathsf{Hom}_C(A,U)} \longrightarrow \underbrace{h_V(A)}_{\overset{\text{def}}{=} \mathsf{Hom}_C(A,V)} \right\}_{A \in \mathsf{Obj}(C}$$

where

$$h_{f|A} \stackrel{\text{def}}{=} f_*$$
.

### THEOREM 7.2.4 ► THE YONEDA LEMMA

Let  $\mathcal{G}: C^{\mathsf{op}} \longrightarrow \mathsf{Sets}$  be a presheaf on C. We have a bijection

$$Nat(h_A, \mathcal{F}) \cong \mathcal{F}_A$$
,

natural in  $A \in Obj(C)$ , determining a natural isomorphism of functors

$$\operatorname{Nat}(h_{(-)},\mathcal{F})\cong\mathcal{F}.$$

### PROOF 7.2.5 ▶ PROOF OF THEOREM 7.2.4

# The Natural Transformation $ev_{(-)}$ : Nat $(h_{(-)}, \mathcal{F}) \Longrightarrow \mathcal{F}$

Let  $\operatorname{ev}_{(-)}\colon\operatorname{Nat}ig(h_{(-)},\mathcal Fig)\Longrightarrow\mathcal F$  be the natural transformation consisting of the collection

$$\{\operatorname{ev}_A \colon \operatorname{\mathsf{Nat}}(h_A, \mathcal{F}) \longrightarrow \mathcal{F}(A)\}_{A \in \operatorname{\mathsf{Obj}}(C)}$$

with

$$ev_A(\alpha) = \alpha_A(id_A)$$

for each  $\alpha: h_A \Longrightarrow \mathcal{F}$  in Nat $(h_A, \mathcal{F})$ .

# The Natural Transformation $\xi_{(-)}\colon \mathcal{F} \Longrightarrow \mathsf{Nat}(h_{(-)},\mathcal{F})$

Let  $\xi_{(-)}\colon \mathcal{F} \Longrightarrow \mathrm{Nat}ig(h_{(-)},\mathcal{F}ig)$  be the natural transformation consisting of the collection

$$\{\xi_A \colon \mathcal{F}(A) \longrightarrow \mathsf{Nat}(h_A, \mathcal{F})\}_{A \in \mathsf{Obj}(C)}$$

where  $\xi_A \colon \mathcal{F}(A) \longrightarrow \operatorname{Nat}(h_A, \mathcal{F})$  is the map sending an element f of  $\mathcal{F}(X)$  to the natural transformation

$$\xi_{A,f}: h_A \Longrightarrow \mathcal{F}$$

consisting of the collection

$$\{(\xi_{A,f})_U : h_A(U) \longrightarrow \mathcal{F}(U)\}_{A \in \mathsf{Obi}(C)}$$

where  $(\xi_{Af})_U : h_A(U) \longrightarrow \mathcal{F}(U)$  is the morphism given by

$$(\xi_{A,f})_U \colon h_A(U) \longrightarrow \mathcal{F}(U)$$
  
 $(h \colon U \longrightarrow A) \longmapsto \mathcal{F}(h)(f)$ 

for each  $f: U \longrightarrow A$  in  $h_A(U)$ .

$$\operatorname{ev}_{(-)} \circ \xi_{(-)} = \operatorname{id}_{\mathcal{F}}$$

Let  $f \in \mathcal{F}(X)$ . We have

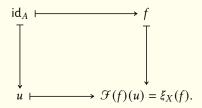
$$\begin{aligned} \left(\xi_{A,f}\right)_{U}(\mathrm{id}_{U}) &= \mathcal{F}(\mathrm{id}_{U})(f), \\ &= \mathrm{id}_{\mathcal{F}(U)}(f) \\ &= f. \end{aligned}$$

# $\xi_{(-)} \circ \operatorname{ev}_{(-)} = \operatorname{id}_{\operatorname{Nat}(h_{(-)},\mathcal{F})}$

Let  $\alpha: h_A \Longrightarrow \mathcal{F} \in \mathsf{Nat}(h_A, \mathcal{F})$  and consider the diagram

$$\begin{array}{c|c} \operatorname{Hom}_{C}(A,A) & \xrightarrow{h_{f}} & \operatorname{Hom}_{C}(A,X) \\ & \downarrow & & \downarrow \\ \xi_{A} & & \downarrow \\ & \mathcal{F}(A) & \xrightarrow{\mathcal{F}(f)} & \mathcal{F}(X) \end{array}$$

defined on elements by



Then it is clear that the natural transformation  $\xi$  is determined by  $\xi_A(\mathrm{id}_A)=u$ , since we must have

$$\xi_X(f) = \mathcal{F}(f)(u)$$

for each  $X \in \text{Obj}(C)$  and each morphism  $f: A \longrightarrow X$  of C.

# 7.3 The Yoneda Embedding

# DEFINITION 7.3.1 ► THE COVARIANT YONEDA EMBEDDING

The **covariant Yoneda embedding of**  $C^1$  is the functor<sup>2</sup>

$$\sharp_{\mathcal{C}} \colon \mathcal{C} \hookrightarrow \mathsf{PSh}(\mathcal{C})$$

where

· Action on Objects. For each  $U \in Obj(C)$ , we have

$$\sharp(U)\stackrel{\mathrm{def}}{=} h_U;$$

· Action on Morphisms. For each morphism  $f: U \longrightarrow V$  of C, the image

$$\sharp(f) \colon \sharp(U) \longrightarrow \sharp(V)$$

of f by  $\sharp$  is defined by

$$\sharp(f) \stackrel{\text{def}}{=} h_f$$
.

### PROPOSITION 7.3.2 ▶ PROPERTIES OF THE YONEDA EMBEDDING

Let C be a category.

- 1. Fully Faithfulness. The Yoneda embedding is fully faithful.<sup>1</sup>
- 2. Preservation and Reflection of Isomorphisms. Let  $A, B \in Obj(C)$ . The following conditions are equivalent:
  - (a) We have  $A \cong B$ .
  - (b) We have  $h_A \cong h_B$ .

<sup>&</sup>lt;sup>1</sup>Further Terminology: Also called simply the **Yoneda embedding**.

<sup>&</sup>lt;sup>2</sup> Further Notation: Also written  $h_{(-)}$ , or simply  $\pounds$ .

- (c) We have  $h^A \cong h^B$ .
- 3. Uniqueness of Representing Objects Up to Isomorphism. Let  $\mathcal{F} \colon C^{\mathrm{op}} \longrightarrow \mathsf{Sets}$  be a presheaf. If there exist objects A and B of C such that we have

$$h_A \cong \mathcal{F},$$
  
 $h_B \cong \mathcal{F}.$ 

then  $A \cong B$ .

- 4. As a Free Cocompletion: The Universal Property. The pair  $(\mathsf{PSh}(C), \mathcal{L})$  consisting of
  - · The category PSh(C) of presheaves on C;
  - · The Yoneda embedding  $\sharp: C \hookrightarrow \mathsf{PSh}(C)$  of C into  $\mathsf{PSh}(C)$ ;

satisfies the following universal property:

- (**UP**) Given another pair  $(\mathcal{A}, F)$  consisting of
  - · A cocomplete category  $\mathcal{A}$ ;
  - · A cocontinuous functor  $F: C \longrightarrow \mathcal{A}$ ;

there exists a cocontinuous functor  $PSh(C) \xrightarrow{\exists !} \mathcal{A}$ , unique up to natural isomorphism, making the diagram



commute, again up to natural isomorphism.

5. As a Free Cocompletion: 2-Adjointness. We have a 2-adjunction

(PSh 
$$\dashv \iota$$
): Cats  $\stackrel{\mathsf{PSh}}{\smile}$  Cats  $\overset{\mathsf{cocomp.}}{\smile}$ ,

witnessed by an adjoint equivalence of categories<sup>2</sup>

natural in  $C \in \mathsf{Obj}(\mathsf{Cats})$  and  $\mathcal{D} \in \mathsf{Obj}(\mathsf{Cats}^{\mathsf{cocomp.}})$ , where

· We have a functor

$$\sharp_{\mathcal{C}}^* \colon \operatorname{\mathsf{Fun}}^{\operatorname{\mathsf{cocont}}}(\operatorname{\mathsf{PSh}}(\mathcal{C}), \mathcal{D}) \longrightarrow \operatorname{\mathsf{Fun}}(\mathcal{C}, \mathcal{D})$$

defined by

$$\sharp_{\mathcal{C}}^*(F) \stackrel{\mathsf{def}}{=} F \circ \sharp_{\mathcal{C}},$$

i.e. by sending a functor  $F \colon \mathsf{PSh}(C) \longrightarrow \mathcal{D}$  to the composition

$$C \stackrel{\sharp_{\mathcal{C}}}{\hookrightarrow} \mathsf{PSh}(\mathcal{C}) \stackrel{F}{\longrightarrow} \mathcal{D};$$

· We have a natural map

$$\mathsf{Lan}_{\mathcal{L}_{\mathcal{C}}} \colon \mathsf{Fun}(\mathcal{C}, \mathcal{D}) \longrightarrow \mathsf{Fun}^{\mathsf{cocont}}(\mathsf{PSh}(\mathcal{C}), \mathcal{D})$$

computed on objects by

$$\begin{split} \left[ \mathsf{Lan}_{\, \not \models_{\, \mathcal{C}}}(F) \right] (\mathcal{F}) & \cong \int^{A \in \mathcal{D}} \mathsf{Nat}(h_A, \mathcal{F}) \odot F_A \\ & \cong \int^{A \in \mathcal{D}} \mathcal{F}^A \odot F_A \end{split}$$

for each  $\mathcal{F} \in \mathsf{Obj}(\mathsf{PSh}(\mathcal{C}))$ .

### PROOF 7.3.3 ► PROOF OF PROPOSITION 7.3.2

# Item 1: Fully Faithfulness

Let  $A, B \in \mathsf{Obj}(C)$ . Applying Theorem 7.2.4 to the functor  $h_B$  (i.e. in the case  $\mathcal{F} = h_B$ ), we have

$$\operatorname{Hom}_C(A, B) \cong \operatorname{Nat}(h_A, h_B).$$

Thus & is fully faithful.

### Item 2: Preservation and Reflection of Isomorphisms

This follows from Item 1 and Proposition 2.1.7.

# Item 3: Uniqueness of Representing Objects Up to Isomorphism

By composing the isomorphisms  $h_A \cong \mathcal{F} \cong h_B$ , we get a natural isomorphism

<sup>&</sup>lt;sup>1</sup>In other words, the Yoneda embedding is indeed an embedding.

 $<sup>^2</sup>$ In this sense, PSh(C) is the free cocompletion of C (although the term "cocompletion" is slightly misleading, as PSh $(PSh(C)) \stackrel{\text{eq.}}{\neq} PSh(C)$ ).

 $\alpha: h_A \stackrel{\cong}{\Longrightarrow} h_B$ . By Item 2, we have  $A \cong B$ .

Item 4: As a Free Cocompletion: The Universal Property

This is a rephrasing of Item 5.

Item 5: As a Free Cocompletion: 2-Adjointness

See [nLab23c, Proposition 2.1].

# 7.4 Universal Objects

### **DEFINITION 7.4.1** ► **UNIVERSAL OBJECTS**

The **universal object** associated to a representable functor  $h_U : C \longrightarrow \mathcal{D}$  is the element  $u \in h_U(U)$  satisfying the following universal property:

**(UP)** For each  $B \in Obj(C)$ , the map

$$h_U(B) \longrightarrow h_U(U)$$
  
 $(f: B \longrightarrow A) \longmapsto h_U(f)(u)$ 

is a bijection.

# REMARK 7.4.2 ► WHY "UNIVERSAL" OBJECTS

In other words, a universal object u associated to a representable functor  $h_U\colon C\longrightarrow \mathcal{D}$  represented by U is universal in the sense that every element of  $h_U(A)$  is equal to the image of u via  $h_U(f)$  for a unique morphism  $f\colon A\longrightarrow U$  of C.

### Example 7.4.3 ► Universal Numerable Principal G-Bundles

Let G be a group and consider the functor  $\operatorname{Bun}_G^{\operatorname{num}}(-)\colon\operatorname{Ho}(\operatorname{Top})^{\operatorname{op}}\longrightarrow\operatorname{Sets}$  sending  $[X]\in\operatorname{Ho}(\operatorname{Top})^{\operatorname{op}}$  to the set of numerable principal G-bundles on X. Then the universal numerable principal G-bundle  $\gamma\colon\operatorname{EG}\longrightarrow\operatorname{BG}$  is a universal object for  $\operatorname{Bun}_G^{\operatorname{num}}(-)$ .

 $<sup>^1</sup>$ This is the element of  $h_U(U)$  corresponding to the identity natural transformation  $\mathrm{id}_{h_U}:h_U\Longrightarrow h_U$  under the isomorphism  $h_U(U)\cong \mathrm{Hom}_{\mathrm{PSh}(C)}(h_U,h_U)$ .

Furthermore, the map sending  $\gamma$  to a principal G -bundle  $P \longrightarrow X$  on X is the pullback

$$f^* \colon \operatorname{Bun}_G^{\operatorname{num}}(\operatorname{BG}) \longrightarrow \operatorname{Bun}_G^{\operatorname{num}}(X)$$

of P along the homotopy class  $[f]: X \longrightarrow \mathsf{BG}$  classifying P of maps  $X \longrightarrow \mathsf{BG}$ . See Algebraic Topology,  $\ref{eq:special}$  for more details.

# 8 The Contravariant Yoneda Lemma

# 8.1 Copresheaves

Let C be a category.

### DEFINITION 8.1.1 ► COPRESHEAVES ON A CATEGORY

A **copresheaf on** C is a functor  $F: C \longrightarrow \mathsf{Sets}$ .

### DEFINITION 8.1.2 ► THE CATEGORY OF COPRESHEAVES ON A CATEGORY

The **category of copresheaves on** C is the category CoPSh(C) defined by

$$CoPSh(C) \stackrel{\text{def}}{=} Fun(C, Sets).$$

### REMARK 8.1.3 ► UNWINDING DEFINITION 8.1.2

In detail, the **category of copresheaves on** C is the category CoPSh(C) where

- · Objects. The objects of CoPSh(C) are presheaves on C;
- *Morphisms*. A morphism of CoPSh(C) from F to G is a natural transformation  $\alpha : F \Longrightarrow G$ ;
- · Identities. For each  $F \in \mathsf{Obj}(\mathsf{CoPSh}(C))$ , the unit map

$$\mathbb{1}_F^{\mathsf{CoPSh}(C)}\colon\mathsf{pt}\longrightarrow\mathsf{Nat}(F,F)$$

of CoPSh(C) at F is defined by

$$id_{E}^{\mathsf{CoPSh}(C)} \stackrel{\mathsf{def}}{=} id_{F};$$

· Composition. For each  $F, G, H \in \mathsf{Obj}(\mathsf{CoPSh}(C))$ , the composition map

$$\circ^{\mathsf{CoPSh}(C)}_{FG,H} \colon \mathsf{Nat}(G,H) \times \mathsf{Nat}(F,G) \longrightarrow \mathsf{Nat}(F,H)$$

of CoPSh(C) at (F, G, H) is defined by

$$\beta \circ_{F,G,H}^{\mathsf{CoPSh}(C)} \alpha \stackrel{\mathsf{def}}{=} \beta \circ \alpha.$$

# 8.2 Corepresentable Copresheaves

Let C be a category, let  $U, V \in \mathsf{Obj}(C)$ , and let  $f: U \longrightarrow V$  be a morphism of C.

# DEFINITION 8.2.1 ► THE COREPRESENTABLE COPRESHEAF ASSOCIATED TO AN OBJECT

The corepresentable copresheaf associated to U is the copresheaf  $h^U\colon C\longrightarrow \operatorname{Sets}$  on C where

· Action on Objects. For each  $A \in Obj(C)$ , we have

$$h^{U}(A) \stackrel{\text{def}}{=} \text{Hom}_{C}(U, A);$$

· Action on Morphisms. For each morphism  $f: A \longrightarrow B$  of C, the image

$$h^U(f): \underbrace{h^U(A)}_{\stackrel{\text{def}}{=} \operatorname{Hom}_C(U,A)} \longrightarrow \underbrace{h^U(B)}_{\stackrel{\text{def}}{=} \operatorname{Hom}_C(U,B)}$$

of f by  $h^U$  is defined by

$$h^U(f) \stackrel{\text{def}}{=} f_*$$
.

### DEFINITION 8.2.2 ► COREPRESENTABLE COPRESHEAVES

A copresheaf  $F \colon C \longrightarrow \mathsf{Sets}$  is **corepresentable** if  $F \cong h^U$  for some  $U \in \mathsf{Obj}(C).^1$ 

<sup>&</sup>lt;sup>1</sup>In such a case, we call U a **corepresenting object** for F.

### DEFINITION 8.2.3 ► COREPRESENTABLE NATURAL TRANSFORMATIONS

The **corepresentable natural transformation associated to** f is the natural transformation  $h^f: h^V \Longrightarrow h^U$  consisting of the collection

$$\left\{ h_A^f \colon \underbrace{h^V(A)}_{\stackrel{\text{def}}{=} \operatorname{Hom}_C(V,A)} \longrightarrow \underbrace{h^U(A)}_{\stackrel{\text{def}}{=} \operatorname{Hom}_C(U,A)} \right\}_{A \in \operatorname{Obj}(C)}$$

where

$$h_A^f \stackrel{\text{def}}{=} f^*.$$

### THEOREM 8.2.4 ► THE CONTRAVARIANT YONEDA LEMMA

Let  $F: C \longrightarrow \mathsf{Sets}$  be a copresheaf on C. We have a bijection

$$\operatorname{Nat}(h^A, F) \cong F^A,$$

natural in  $A \in Obj(C)$ , determining a natural isomorphism of functors

$$\operatorname{Nat}\!\left(h^{(-)},F\right)\cong F.$$

### Proof 8.2.5 ▶ Proof of Theorem 8.2.4

This is dual to Theorem 7.2.4.



# 8.3 The Contravariant Yoneda Embedding

# DEFINITION 8.3.1 ► THE CONTRAVARIANT YONEDA EMBEDDING

The **contravariant Yoneda embedding of** C is the functor<sup>1</sup>

$$\mathcal{F}_C \colon C^{\mathsf{op}} \hookrightarrow \mathsf{Fun}(C,\mathsf{Sets})$$

where

· Action on Objects. For each  $U \in \mathsf{Obj}(C)$ , we have

$$\Upsilon(U) \stackrel{\text{def}}{=} h^U$$
;

· Action on Morphisms. For each morphism  $f: U \longrightarrow V$  of C, the image

$$f(f): f(V) \longrightarrow f(U)$$

of f by  $\Upsilon$  is defined by

$$\Upsilon(f) \stackrel{\text{def}}{=} h^f$$
.

### Proposition 8.3.2 ▶ Properties of the Contravariant Yoneda Embedding

Let C be a category.

- 1. Fully Faithfulness. The contravariant Yoneda embedding is fully faithful.<sup>1</sup>
- 2. Preservation and Reflection of Isomorphisms. Let  $A, B \in \mathrm{Obj}(C)$ . The following conditions are equivalent:
  - (a) We have  $A \cong B$ .
  - (b) We have  $h_A \cong h_B$ .
  - (c) We have  $h^A \cong h^B$ .
- 3. Uniqueness of Representing Objects Up to Isomorphism. Let  $F: C \longrightarrow \mathsf{Sets}$  be a copresheaf. If there exist objects A and B of C such that we have

$$h^A \cong F$$

$$h^B\cong F,$$

then  $A \cong B$ .

- 4. As a Free Completion: The Universal Property. The pair  $(CoPSh(C)^{op}, \mathcal{F})$  consisting of
  - $\cdot \ \, \text{The opposite CoPSh}(\mathcal{C})^{\text{op}} \, \text{of the category of copresheaves on } \mathcal{C};$
  - The contravariant Yoneda embedding  $\mathcal{L}: C \hookrightarrow \mathsf{CoPSh}(C)^\mathsf{op}$  of C into  $\mathsf{CoPSh}(C)^\mathsf{op}$ ;

satisfies the following universal property:

- (**UP**) Given another pair  $(\mathcal{A}, F)$  consisting of
  - · A complete category  $\mathcal{A}$ ;
  - · A continuous functor  $F: C \longrightarrow \mathcal{A}$ :

<sup>&</sup>lt;sup>1</sup> Further Notation: Also written  $h^{(-)}$ , or simply  $\mathcal{L}$ .

there exists a continuous functor  $\operatorname{CoPSh}(C)^{\operatorname{op}} \stackrel{\exists !}{\longrightarrow} \mathcal{A}$ , unique up to natural isomorphism, making the diagram

commute, again up to natural isomorphism.

5. As a Free Completion: 2-Adjointness. We have a 2-adjunction

$$(\mathsf{CoPSh^{op}} \dashv \iota) : \quad \mathsf{Cats} \underbrace{\overset{\mathsf{CoPSh^{op}}}{-\iota}}_{\iota} \mathsf{Cats^{comp.}},$$

witnessed by an adjoint equivalence of categories

$$\Big(\mathsf{Ran}_{\overset{\mathsf{op}}{+}}^{\mathsf{op}} \dashv \overset{\mathsf{r}}{\to}^*\Big) : \quad \mathsf{Fun}^{\mathsf{cont}}\big(\mathsf{CoPSh}(C)^{\mathsf{op}}, \mathcal{D}\big) \underbrace{\overset{\mathsf{Ran}_{\overset{\mathsf{op}}{+}}}{\bot}}_{\overset{\mathsf{r}}{\to}^*} \mathsf{Fun}(C^{\mathsf{op}}, \mathcal{D}),$$

natural in  $C \in Obj(Cats)$  and  $\mathcal{D} \in Obj(Cats^{comp.})$ .

### Proof 8.3.3 ▶ Proof of Proposition 8.3.2

This is dual to Proposition 7.3.2.



<sup>&</sup>lt;sup>1</sup>In other words, the contravariant Yoneda embedding is indeed an embedding.

# **Appendices**

# A Miscellany

# A.1 Concrete Categories

### DEFINITION A.1.1 ► CONCRETE CATEGORIES

A category C is **concrete** if there exists a faithful functor  $F: C \longrightarrow \mathsf{Sets}$ .

# A.2 Balanced Categories

### DEFINITION A.2.1 ► BALANCED CATEGORIES

A category is **balanced** if every morphism which is both a monomorphism and an epimorphism is an isomorphism.

# A.3 Monoid Actions on Objects of Categories

Let A be a monoid, let C be a category, and let  $X \in \text{Obj}(C)$ .

# DEFINITION A.3.1 ► MONOID ACTIONS ON OBJECTS OF CATEGORIES

An *A*-action on *X* is a functor  $\lambda : BA \longrightarrow C$  with  $\lambda(\star) = X$ .

### REMARK A.3.2 ► UNWINDING DEFINITION A.3.1

In detail, an A-action on X is an A-action on  $\operatorname{End}_{\mathcal{C}}(X)$ , consisting of a morphism

$$\lambda: A \longrightarrow \operatorname{End}_{C}(X)$$

$$\stackrel{\text{def}}{=} \operatorname{Hom}_{C}(X,X)$$

satisfying the following conditions:

1. Preservation of Identities. We have

$$\lambda_{1_A} = \mathrm{id}_X$$
.

2. Preservation of Composition. For each  $a, b \in A$ , we have

$$\lambda_b \circ \lambda_a = \lambda_{ab}, \qquad X \xrightarrow{\lambda_a} X$$

$$\downarrow^{\lambda_b}$$

$$X.$$

# A.4 Group Actions on Objects of Categories

Let G be a group, let C be a category, and let  $X \in Obj(C)$ .

### DEFINITION A.4.1 ► GROUP ACTIONS ON OBJECTS OF CATEGORIES

A *G*-action on *X* is a functor  $\lambda : BG \longrightarrow C$  with  $\lambda(\star) = X$ .

# REMARK A.4.2 ► UNWINDING DEFINITION A.4.1

In detail, a G-action on X is a G-action on  $\operatorname{Aut}_C(X)$ , consisting of a morphism

$$\lambda: G \longrightarrow \underbrace{\mathsf{End}_{\mathcal{C}}(X)}_{\underbrace{\mathsf{def}}_{\mathsf{Hom}_{\mathcal{C}}(X,X)}}$$

satisfying the following conditions:

1. Preservation of Identities. We have

$$\lambda_{1_A} = \mathrm{id}_X$$
.

2. Preservation of Composition. For each  $a, b \in A$ , we have

$$\lambda_b \circ \lambda_a = \lambda_{ab}, \qquad X \xrightarrow{\lambda_a} X \\ \downarrow^{\lambda_b} \\ X$$

# **B** Miscellany on Presheaves

# B.1 Limits and Colimits of Presheaves

Let C be a category.

### PROPOSITION B.1.1 ► CO/LIMITS OF PRESHEAVES ARE COMPUTED OBJECTWISE

Let  $U \in Obj(C)$ . The functor

$$\mathsf{PSh}(C) \longrightarrow \mathsf{Sets}$$

$$\mathscr{F} \longmapsto \mathscr{F}(U)$$

commutes with limits and colimits: given a diagram  $\mathcal{F}\colon I\longrightarrow \mathsf{PSh}(\mathcal{C})$  of presheaves on  $\mathcal{C}$ , we have

$$\begin{aligned} & \lim(\mathcal{F})_{U} = \lim_{i \in I} (\mathcal{F}_{i}(U)), \\ & \operatorname{colim}(\mathcal{F})_{U} = \underset{i \in I}{\operatorname{colim}} (\mathcal{F}_{i}(U)) \end{aligned}$$

for each  $U \in Obj(C)$ .

### PROOF B.1.2 ▶ PROOF OF PROPOSITION B.1.1

Omitted.



# **B.2** Injective and Surjective Morphisms of Presheaves

#### DEFINITION B.2.1 ► INJECTIVE AND SURJECTIVE MORPHISMS OF PRESHEAVES

Let C be a category.

1. A map  $\phi \colon \mathcal{F} \longrightarrow \mathcal{G}$  of presheaves is **injective** if for each  $U \in \mathsf{Obj}(\mathcal{C})$ , the map

$$\phi_U : \mathcal{F}(U) \longrightarrow \mathcal{C}(U)$$

is injective.

2. A map  $\phi \colon \mathcal{F} \longrightarrow \mathcal{G}$  of presheaves is **surjective** if for each  $U \in \mathsf{Obj}(\mathcal{C})$ , the map

$$\phi_U \colon \mathcal{F}(U) \longrightarrow \mathcal{G}(U)$$

is surjective.

#### Proposition B.2.2 ► Monomorphisms and Epimorphisms of Presheaves

Let  $\phi \colon \mathcal{F} \longrightarrow \mathcal{C}$  be a morphism of presheaves on  $\mathcal{C}$ .

- 1. Monomorphisms of Presheaves. The following conditions are equivalent:
  - (a) The morphism  $\phi$  is a monomorphism in PSh( $\mathcal{C}$ ).
  - (b) The morphism  $\phi$  is injective.
- 2. Epimorphisms of Presheaves. The following conditions are equivalent:
  - (a) The morphism  $\phi$  is an epimorphism in PSh( $\mathcal{C}$ ).
  - (b) The morphism  $\phi$  is surjective.
- 3. *Isomorphisms of Presheaves*. The following conditions are equivalent:
  - (a) The morphism  $\phi$  is an isomorphism in PSh( $\mathcal{C}$ ).
  - (b) The morphism  $\phi$  is injective and surjective.
- 4. *Epi-Mono Factorisation for Presheaves*. The morphism  $\phi$  factors as an epimorphism followed by a monomorphism, i.e. there exists a factorisation of  $\phi$  of the form

$$\mathcal{F} \xrightarrow{\phi} \mathcal{G}$$

$$\varepsilon \nearrow_{m}$$

with e an epimorphism and m a monomorphism.

### Proof B.2.3 ► Proof of Proposition B.2.2

# Item 1: Monomorphisms of Presheaves

We claim that Items (a) and (b) are indeed equivalent:<sup>1</sup>

· Item (a)  $\Longrightarrow$  Item (b). Suppose that  $\phi$  is injective, and let  $f,g: \mathcal{E} \rightrightarrows \mathcal{F}$  be two presheaf morphisms such that  $\phi \circ f = \phi \circ g$ . For each  $U \in \mathrm{Obj}(C)$ , we have

$$\phi_U \circ f_U = (\phi \circ f)_U = (\phi \circ g)_U = \phi_U \circ g_U.$$

Since  $\phi$  is injective, so is  $\phi_U$ . As injective morphisms are precisely the monomorphisms in Sets (Example 4.1.2), we have

$$f_U = g_U$$

for each  $U \in \mathsf{Obj}(C)$ . Therefore f = g and  $\phi$  is a monomorphism.

• Item (b)  $\Longrightarrow$  Item (a). Conversely, suppose that  $\phi$  is a monomorphism and let  $U \in \text{Obj}(C)$  and  $a, b \in \mathcal{F}(U)$  such that  $\phi_U(a) = \phi_U(b)$ . By the Yoneda lemma (Theorem 7.2.4), the sections a and b of  $\mathcal{F}$  over U correspond to natural transformations

$$a': h_U \Longrightarrow \mathcal{F},$$
  
 $b': h_U \Longrightarrow \mathcal{F}.$ 

Similarly, the sections  $\phi_U(a)$  and  $\phi_U(b)$  of G over U correspond to natural transformations

$$\phi \circ a' : h_U \Longrightarrow \mathcal{G}$$
$$\phi \circ b' : h_U \Longrightarrow \mathcal{G}.$$

As  $\phi_U(a) = \phi_U(b)$ , we have  $\phi \circ a' = \phi \circ b'$ , and hence a' = b', as  $\phi$  is a monomorphism. Therefore, a = b and  $\phi$  is injective.

### Item 2: Epimorphisms of Presheaves

We claim that Items (a) and (b) are indeed equivalent:<sup>2</sup>

· Item (a)  $\Longrightarrow$  Item (b). Suppose that  $\phi$  is surjective, and let  $f,g: \mathcal{G} \rightrightarrows \mathcal{H}$  be two presheaf morphisms such that  $f \circ \phi = g \circ \phi$ . For each  $U \in \mathrm{Obj}(C)$ , we have

$$f_U \circ \phi_U = (f \circ \phi)_U = (g \circ \phi)_U = g_U \circ \phi_U.$$

Since  $\phi$  is surjective, so is  $\phi_U$ . As surjective morphisms are precisely the epimorphisms in Sets (Example 5.1.2), we have

$$f_U = g_U$$

for each  $U \in \text{Obj}(C)$ . Therefore f = g and  $\phi$  is an epimorphism.

·  $Item(b) \Longrightarrow Item(a)$ . Conversely, suppose that  $\phi$  is an epimorphism. Consider the presheaf  $\mathcal{H}: C \longrightarrow \mathsf{Sets}$  defined by

$$\mathcal{H}(U) = \mathcal{G}(U) \coprod_{\mathcal{F}(U)} \mathcal{G}(U)$$

for each  $U \in C$ . Note that the action of  $\mathcal{H}$  on morphisms is obtained by the functoriality of the pushout. By the definition of the pushout, we have

$$i_1 \circ \phi_U = i_2 \circ \phi_U$$
,

which implies  $i_1 = i_2$ , since  $\phi$  is an epimorphism. By Limits and Colimits, Lemma 3.5.2,  $\phi$  is surjective.

### Item 3: Isomorphisms of Presheaves

We claim that Items (a) and (b) are indeed equivalent:3

- · Item (a)  $\implies$  ??. Suppose that  $\phi$  is an isomorphism. Then so is  $\phi_U \colon \mathcal{F}(U) \longrightarrow \mathcal{G}(U)$  for each  $U \in \mathsf{Obj}(C)$ . As isomorphisms in Sets are the maps that are both injective and surjective,  $\phi_U$  is injective and surjective for each  $U \in \mathsf{Obj}(C)$ . Therefore  $\phi$  is injective and surjective.
- · Item (b)  $\Longrightarrow$  ??. Conversely, suppose that  $\phi$  is injective and surjective. Then so is  $\phi_U$  for each  $U \in \operatorname{Obj}(\mathcal{C})$ . Furthermore, each  $\phi_U$  is an isomorphism. This enables us to construct a natural transformation  $\phi^{-1} \colon \mathcal{G} \longrightarrow \mathcal{F}$  consisting of the maps  $\{\phi_U^{-1} \colon \mathcal{G}(U) \longrightarrow \mathcal{F}(U)\}$ , which is an inverse to  $\phi$ . Therefore  $\phi$  is an isomorphism.

### Item 4: Epi-Mono Factorisation for Presheaves

See [de]20, Tag 00V9].



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<sup>1</sup>Reference: [de]20, Tag 00V7].

<sup>2</sup>Reference: [de]20, Tag 00V7].
```

# **B.3** Subpresheaves

Let C be a category.

<sup>&</sup>lt;sup>3</sup>Reference: [de]20, Tag 00V7].

# **DEFINITION B.3.1** ► SUBPRESHEAVES

A **subpresheaf** of a presheaf G on C is a subobject F of G.

#### REMARK B.3.2 ► UNWINDING DEFINITION B.3.1

In detail, a **subpresheaf** of G is an injective map  $\mathcal{F} \hookrightarrow G$  of presheaves, consisting therefore of a presheaf  $\mathcal{F}$  satisfying the following conditions:

- 1. For each  $U \in \text{Obj}(C)$ , we have  $\mathcal{G}_U \subset \mathcal{G}_U$ .
- 2. For each morphism  $f: U \longrightarrow V$  of C, the diagram

$$\begin{array}{ccc}
\mathcal{S}_{U} & \xrightarrow{\mathcal{S}_{f}} & \mathcal{S}_{V} \\
\downarrow & & \downarrow \\
\mathcal{G}_{U} & \xrightarrow{\mathcal{C}_{t}} & \mathcal{C}_{V}
\end{array}$$

commutes.

# B.4 The Image Presheaf

Let C be a category.

### DEFINITION B.4.1 ► IMAGE PRESHEAVES

The **image** of a morphism  $\phi \colon \mathcal{F} \longrightarrow \mathcal{G}$  of presheaves on  $\mathcal{C}$  is the presheaf  $\mathrm{Im}(\phi)$  defined by

$$\operatorname{Im}(\phi)_U \stackrel{\text{def}}{=} \operatorname{Im}(\phi_U)$$

for each  $U \in Obj(C)$ .

### PROPOSITION B.4.2 ► THE UNIVERSAL PROPERTY OF THE IMAGE PRESHEAF

The image presheaf satisfies the following universal property:

(**UP**) There exists a unique injective morphism of presheaves  $\mathrm{Im}(\phi) \stackrel{\exists !}{\longrightarrow} \mathcal{G}$  such

that the diagram



commutes.

### PROOF B.4.3 ► PROOF OF PROPOSITION B.4.2

Suppose we had a factorisation

$$\mathcal{F} \xrightarrow{\phi} \mathcal{G}' \hookrightarrow \mathcal{G},$$

with G' a subpresheaf of G. Then we would have

$$\mathcal{F}(U) \xrightarrow{\phi_U} \mathcal{G}'(U) \longleftrightarrow \mathcal{G}(U), \tag{B.4.1}$$

for each  $U \in \operatorname{Obj}(C)$ . But we know that in Sets the unique subset of G(U) giving the factorisation in Diagram (B.4.1) is  $\operatorname{Im}(\phi_U)$ . Thus  $G'(U) = \operatorname{Im}(\phi_U)$  for each  $U \in \operatorname{Obj}(C)$  and  $G' = \operatorname{Im}(\phi)$ .

# **C** Other Chapters

### Logic and Model Theory

- 1. Logic
- 2. Model Theory

# Type Theory

- 3. Type Theory
- 4. Homotopy Type Theory

### **Set Theory**

- 5. Sets
- 6. Constructions With Sets
- 7. Indexed and Fibred Sets
- 8. Relations
- 9. Posets

### **Category Theory**

- 10. Categories
- 11. Constructions With Categories
- 12. Limits and Colimits
- 13. Ends and Coends
- 14. Kan Extensions
- 15. Fibred Categories
- 16. Weighted Category Theory

### Categorical Hochschild Co/Homology

- Abelian Categorical Hochschild Co/Homology
- 18. Categorical Hochschild Co/Homology

### **Monoidal Categories**

- 19. Monoidal Categories
- 20. Monoidal Fibrations
- 21. Modules Over Monoidal Categories
- 22. Monoidal Limits and Colimits
- 23. Monoids in Monoidal Categories
- 24. Modules in Monoidal Categories
- 25. Skew Monoidal Categories
- 26. Promonoidal Categories
- 27. 2-Groups
- 28. Duoidal Categories
- 29. Semiring Categories

# Categorical Algebra

- 30. Monads
- 31. Algebraic Theories
- 32. Coloured Operads
- 33. Enriched Coloured Operads

### **Enriched Category Theory**

- 34. Enriched Categories
- 35. Enriched Ends and Kan Extensions
- 36. Fibred Enriched Categories
- Weighted Enriched Category Theory

### **Internal Category Theory**

- 38. Internal Categories
- 39. Internal Fibrations
- 40. Locally Internal Categories
- 41. Non-Cartesian Internal Categories
- 42. Enriched-Internal Categories

### Homological Algebra

- 43. Abelian Categories
- 44. Triangulated Categories
- 45. Derived Categories

# Categorical Logic

- 46. Categorical Logic
- 47. Elementary Topos Theory
- 48. Non-Cartesian Topos Theory

### Sites, Sheaves, and Stacks

- 49. Sites
- 50. Modules on Sites
- 51. Topos Theory
- 52. Cohomology in a Topos
- 53. Stacks

# **Complements on Sheaves**

54. Sheaves of Monoids

# **Bicategories**

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